# Table of Contents

I. EXECUTIVE SUMMARY ........................................................................................................... 3

II. CURRENT TRACEABILITY SITUATION ................................................................................. 4
  2.1 Who are we?  
  2.2 Where are we now?  

III. VISION AND MISSION CONTEXT FOR ADVANCING TRACEABILITY ......................... 10
  3.1 Vision and Mission statement  

IV. TRACEABILITY ADVANCEMENT ......................................................................................... 10
  4.1 Strategic goals  
  4.2 Programmatic Goals (Objectives and Priorities for Advancement)  
  4.3 Implementation of objectives ......................................................................................... 11
    - Animal disease traceability performance measures  
    - Data requirements  
    - Information technology plan  
    - Resource requirements  
    - Monitoring and reporting interstate movement activity  
  4.4 Organizational needs ....................................................................................................... 12
    - Executive support  
    - Coordination and oversight procedures  
    - Policy  
    - Staffing  
    - Budget requirements  
  4.5 Outreach ......................................................................................................................... 13
    - Accredited veterinarians  
    - Livestock markets  
    - Industry as a whole  

---

I. EXECUTIVE SUMMARY

The Indiana State Board of Animal Health (BOAH) is implementing several strategies to improve animal disease traceability in Indiana.

- Premises Registration: Since 2005 Indiana law has required any person that buys, sells or exhibits cattle, swine, sheep, goats and captive cervids to register their livestock premise(s) with BOAH.
- Traceability Rules: In 2014 BOAH rewrote the Indiana state animal disease traceability rules to align them with the USDA APHIS final rule on traceability, clarify requirements for moving animals within the state, and
enhance record keeping by livestock producers. BOAH will review and amend rules as needed to advance traceability goals.

• Collaboration: BOAH has and will continue to collaborate with livestock and poultry farmers, livestock markets, veterinarians and other interested groups to implement reasonable and effective changes in the types of livestock identification and traceability documentation utilized.

• Technology: BOAH has emphasized the use of improved technology and techniques within common production and marketing practices. Substantial progress has been made in the use of electronic identification and electronic traceability documentation. Continued emphasis and development of electronic identification devices, electronic documentation options and collecting, moving and accessing data electronically are high priority objectives for the traceability program.

Sustained incremental changes are producing real improvements in the capacity for timely animal disease traceability in Indiana. BOAH is committed to continue to lead these ongoing efforts on behalf of the citizens of Indiana.

II. CURRENT TRACEABILITY SITUATION

2.1 Who are we?

The Indiana State Board of Animal Health is charged with preventing, controlling and eradicating diseases and pests of animals that present an economic or public health hazard to the citizens of the state.

The board consists of 11 members appointed by the Governor. Seven of those members represent the following livestock and poultry industries: equine, companion animals, cattle, dairy, swine, goats and sheep, and poultry. Additionally, four members are appointed to represent: general veterinary medicine (2), livestock markets, and the Purdue College of Veterinary Medicine.

2.2 Where are we now?

Premises Registration
Since 2006 Indiana BOAH has required registration of premises associated with buying, selling or exhibiting cattle, pigs, sheep, goats and cervids. BOAH believes the compliance rate to be very good. Premises registration provides an excellent base for animal disease traceability.

Other livestock species and poultry may register premises in the Indiana program. The commercial poultry industry participates in the premises registration program with all Indiana National Poultry Improvement Plan (NPIP) participants’ premises registered.
BOAH also includes in the database other locations that are important to the animal disease control mission, for example: livestock markets, aquaculture facilities, laboratories, slaughter plants and egg processors. There are also more than 3000 premises in the system for out of state locations that interface often with BOAH. As of 12/31/2018 there were 68,614 active premises in Indiana’s USAHerds animal health database.

**Technology - Electronic Identification and Documentation**

**Electronic Documentation**

**Certificates of Veterinary Inspection (CVI)**
In June 2013 the Board of Animal Health began distributing a new electronic Certificate of Veterinary Inspection for use by Indiana veterinarians preparing certificates of veterinary inspection (CVI) for Indiana animals. The eCVI was developed collaboratively by the Colorado and Kansas Departments of Agriculture and complies with USDA APHIS data standards for the use of electronic CVI’s. It has been customized for use in Indiana. A data bridge that facilitates electronic movement of the CVI data into BOAH’s animal health database (USAHERDS) has been developed and implemented.

In 2014 BOAH began offering an iPad application for creation of certificates of veterinary inspection, the iCVI. The iCVI has gone through a couple changes in the past few years and has been upgraded to the AgView mCVI. The application is both for mobile phones as well as a web-based application that can be used with a computer with internet access. The information loads into the HERDS database automatically.

BOAH has been meeting with interested veterinary clinics directly to implement the eCVI and mCVI technology. BOAH provides training for veterinarians and their staff in their clinic which has helped transition practitioners to using these electronic forms. Training of additional veterinarians on use of the eCVI and mCVI is ongoing.

Indiana has promoted the use of electronic certificates of veterinary inspection (eCVI) by accredited veterinarians for years. In addition to the Indiana eCVI and mCVI forms, BOAH has approved the use of eCVI forms submitted by other private vendors such as GlobalVetink, and the USDA VSPS system form. An increasing number of animal health certificates are being created, submitted and routed electronically in Indiana.

Since introduction of the IN eCVI, BOAH Animal Programs staff have trained a high percentage of our veterinarians on use of the eCVI/mCVI
tools. For the year 2018 there was a net gain of 234 Indiana licensed veterinarians trained to use the Indiana Electronic Certificates. As of January 1, 2019 there are a total of 1139 users, which represents a net gain of 234 veterinarians in year 2018.

<table>
<thead>
<tr>
<th>CVI Type</th>
<th>Net # New or Upgraded Certificate Users</th>
<th>Total # Users</th>
<th>Total # Users</th>
<th>Total # Users</th>
<th>Total # Users</th>
</tr>
</thead>
<tbody>
<tr>
<td>IN eCVI v2.1</td>
<td>-5</td>
<td>25</td>
<td>26</td>
<td>30</td>
<td>30</td>
</tr>
<tr>
<td>IN eCVI v3.0</td>
<td>-81</td>
<td>160</td>
<td>164</td>
<td>178</td>
<td>241</td>
</tr>
<tr>
<td>IN eCVI v3.2</td>
<td>271</td>
<td>767</td>
<td>745</td>
<td>645</td>
<td>496</td>
</tr>
<tr>
<td>IN mCVI v2.1</td>
<td>49</td>
<td>187</td>
<td>180</td>
<td>159</td>
<td>138</td>
</tr>
<tr>
<td><strong>NET TOTAL GAIN</strong></td>
<td><strong>234</strong></td>
<td><strong>1139</strong></td>
<td><strong>1115</strong></td>
<td><strong>1002</strong></td>
<td><strong>905</strong></td>
</tr>
</tbody>
</table>

Indiana uses USAHERDS to manage animal disease traceability information. The USAHERDS system provides BOAH the platform and power necessary to synthesize animal disease traceability information. Animal disease traceability information recorded in USAHERDS provides the launching point for planning, preparedness, response, and recovery to animal disease related incidences. BOAH utilizes the system for recording information, documenting compliance with program standards and reporting results. BOAH continues to discover new and improved methods for utilizing the USAHERDS system. BOAH actively participates in a multi-state USAHERDS user group that coordinates improvements to USAHERDS through an ongoing collaborative process.

**Permits**

Early in 2014, BOAH launched two new methods for customers to use when applying for livestock movement permits. Purposes for the new methods were: to make the permitting process more convenient for our constituents, to improve the quality of the information they provide to us and to increase the efficiency of managing the information upon receipt. A priority was minimizing use of an answering machine and phone calls. The graph that follows shows the percentage distribution of permits by source for the period January 2014 thru September 2018. During Q4 2018 permits issued via website application was 62.64% of total permits and the phone permits as a percentage of total permits fell to an all-time low of 13.4%.
Electronic Identification

Indiana BOAH encourages livestock producers to transition to 840 tags for identification of their animals. In October 2008, the Indiana State Board of Animal Health launched the 840 RFID Tag Pilot Program for cattle. At that time, BOAH was interested in promoting advancements in animal identification technologies and improvements in traceability, using radio frequency identification (RFID). From 2008-2010, with state and federal cooperative agreement funding, BOAH purchased 840 RFID tags, wand readers and handheld PDAs. Beginning in 2008, BOAH provided these tags to cattle producers free of charge for the purpose of introducing the technology. On October 2010, BOAH celebrated the two-year anniversary of the 840 RFID Pilot Program with 110,000 tags having been allocated during the pilot period. During this time, BOAH worked with veterinarians and exhibition managers to promote the use of the tags on farms and at fairs and exhibitions. BOAH no longer provides these tags, but many producers have chosen to continue using them. Most large dairies in Indiana have transitioned to using 840 ID. Many other cattle operations have adopted 840 ID (e.g., heifer growers, beef producers, etc.).
In 2010, BOAH teamed with Indiana 4-H and the Indiana Beef Cattle Association to facilitate use of 840 RFID tags in exhibition cattle. As a result of this partnership, since 2010, 4-H cattle exhibitions and the Hoosier Beef Congress (the nation’s largest youth cattle show) have had an ongoing requirement for the use of 840 RFID tags at their events. The success of 840 tags in 4-H cattle and the Beef Congress led BOAH to seek opportunities for their use in other species. BOAH cooperated with Indiana 4-H and the Indiana State Fair (ISF) to use this technology in swine at the 2012 Indiana State Fair swine show. BOAH provided 2000 tags and support staff to tag swine for the 2012 ISF. The State Fair swine show has used 840 RFID tags for the show each year since. Other exhibitions are beginning to utilize this form of official identification for their shows.

In 2013, the BOAH staff worked closely with the Purdue University Extension and county and state fair management on the distribution and use of 840 RFID tags for swine exhibitions, such as 4-H fairs. Managers of 80 of Indiana’s 92 county fairs required 840 RFID tags for identification of swine. The Indiana State Fair required 840 RFID tags for all cattle and swine exhibits. Those 80 fairs meant 10,475 (840 RFID) tags were distributed to swine exhibitors. A grant from the Indiana State Department of Agriculture covered the cost of the swine tags for the 4-H exhibitors. BOAH continues to work with county and state fair management on animal identification opportunities.

BOAH has facilitated official identification of commercial swine by working with swine veterinarians and swine farmers to implement the use of official identification ear tags and widespread use of eCVIs. BOAH has fostered the use of commuter herd agreements by working with farmers, veterinarians and trading states.

Beginning in 2014 BOAH has worked directly with key livestock markets to introduce electronic recording of electronic identification in the markets through the use of wands, PDAs and panel readers. BOAH staff consulted with markets, ensured each market had the equipment necessary to implement the technology and provided staff to assist in making the technology and the process work in each market.

BOAH strongly encourages livestock producers to transition to 840 tags for identification of their animals. IN 2019 BOAH will implement a “trade-in trade-up” program that will allow producers to trade in some of their old technology animal tags in exchange for electronic 840 tags.
In the final quarter of 2018, there were 105,671 of the 840 tags shipped to Indiana veterinarians, producers and exhibitors per export of data from the AIN management system. The Indiana industry is continuing to support the use of 840s in their livestock.

<table>
<thead>
<tr>
<th>YEAR</th>
<th>QTR 1</th>
<th>QTR 2</th>
<th>QTR 3</th>
<th>QTR 4</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>31,721</td>
<td>24,183</td>
<td>19,491</td>
<td>21,860</td>
<td>97,255</td>
</tr>
<tr>
<td>2014</td>
<td>27,281</td>
<td>34,538</td>
<td>51,414</td>
<td>52,439</td>
<td>165,672</td>
</tr>
<tr>
<td>2015</td>
<td>87,968</td>
<td>63,366</td>
<td>65,858</td>
<td>83,137</td>
<td>300,329</td>
</tr>
<tr>
<td>2016</td>
<td>65,694</td>
<td>47,170</td>
<td>71,846</td>
<td>60,694</td>
<td>245,404</td>
</tr>
<tr>
<td>2017</td>
<td>71,458</td>
<td>72,732</td>
<td>70,359</td>
<td>71,439</td>
<td>285,988</td>
</tr>
<tr>
<td>2018</td>
<td>61,745</td>
<td>65,474</td>
<td>30,803</td>
<td>105,671</td>
<td>263,693</td>
</tr>
</tbody>
</table>

Traceability Rules
In 2013, BOAH began the process to review and revise all of the state animal health rules that relate to the movement of animals and traceability. BOAH completed the rulemaking process in 2014 and began implementing traceability rule education programs. BOAH will continue to modify agency rules as needed to maintain consistency with national standards and advance animal disease traceability.

Collaboration
BOAH has engaged in a long-term collaboration with livestock and poultry producers, veterinarians, livestock markets and transporters to implement the traceability system. BOAH works with state animal health officials in states with high trading volume with Indiana to develop state-to-state agreements that facilitate animal disease traceability and trade between the states. BOAH works with USDA APHIS VS to monitor traceability performance and coordinate ADT compliance activities.
III.  VISION AND MISSION CONTEXT FOR ADVANCING TRACEABILITY

3.1 Vision and Mission
The Indiana State Board of Animal Health is charged with the:
(1) the prevention, detection, control, and eradication of diseases and pests affecting the health of animals within and in transit through Indiana; and
(2) the production, manufacture, processing, and distribution of products derived from animals; to control health hazards that may threaten the public health and welfare of the citizens of Indiana. IC 15-17-3-11.

IV. TRACEABILITY ADVANCEMENT

In 2019 BOAH created an agency traceability working group to evaluate traceability implementation, research and propose changes to improve implementation and work with all parties to ensure implementation of improvements to the traceability system.

4.1 Strategic goal(s)
Exceed federal requirements for traceability and implement an animal disease traceability system that is effective for Indiana.

4.2 Programmatic goals (Objectives and Priorities for Advancement)
Expand the use of official identification in markets, veterinary practices and on the farm. Emphasis the use of 840 RFID identification to facilitate electronic documentation and record keeping.

Expand the use of electronic documentation, such as electronic certificates of veterinary inspection, for animal movements and collection and transfer of identification and movement data electronically to facilitate rapid traces of animals for disease control purposes.

Ranking of priorities for advancement

2. Continued advancement of electronic data collection, storage, transfer and retrieval.
4.3 Implementation of objectives

BOAH will accomplish the objectives in this Roadmap by collaborating with farmers, livestock markets, veterinarians, tag suppliers, Extension, USDA APHIS VS and other interested parties to implement Indiana’s traceability plan. BOAH’s proposed traceability plan has been received positively. With some additional work to make improvements, BOAH is confident that additional improvements to animal disease traceability will occur in a timely and efficient manner.

Animal disease traceability performance measures
BOAH is committed to using performance measures for evaluating the traceability program. Every time an officially identified animal is traced, BOAH staff members review the time it takes to accomplish relevant tasks. BOAH reviews any issues associated with meeting standards and takes steps to correct any shortcomings. Each trace, whether an actual trace or an exercise, is recorded on the appropriate forms and reported to the appropriate offices. The traces are summarized and reported quarterly. The Indiana State Board of Animal Health intends to participate in development of national traceability standards in the future.

Data requirements
BOAH collects traceability data. The data is stored in the agency database, USAHERDS. BOAH retrieves traceability data from USAHERDS. BOAH will continue to work on methods that will streamline data collection, data entry into USAHERDS and reporting.

Information technology plan
BOAH uses USAHERDS to store and retrieve data. BOAH utilizes the AIN manager program for traceability. Both systems are available 24/7 for IN traceability users. BOAH utilizes personal computers and other data collection devices (smart phones, tablets and wand readers) to collect data in the field and transmit the data for entry into USAHERDS.

Resource requirements
USAHERDS user states need to continue to update and improve the interface between USAHERDS and other systems, such as the NVSL/NAHLN database system and other laboratory systems for laboratory results. Indiana will continue to work on improved methods to communicate information to other states.
BOAH will continue to work with livestock markets to implement electronic identification and data capture technology to improve animal identification and documentation.

BOAH will continue to research and implement changes necessary to automate data entry and other record keeping functions.

Monitoring and reporting interstate movement activity
BOAH monitors interstate movement activity and reports selected data quarterly. BOAH will report appropriate interstate movement activity as needed to participate with other states in the national traceability effort.

BOAH meets regularly with the USDA APHIS VS Indiana professional staff to discuss areas of mutual concern, including traceability.

4.4 **Organizational needs**

**Executive support**
Indiana’s executive leadership and legislature support animal disease traceability.

**Coordination and oversight procedures**
The Indiana State Board of Animal Health is the coordinating and oversight body for animal disease traceability in Indiana. The Indiana State Veterinarian leads traceability programs for the Board of Animal Health. The Animal Programs Division Director is primarily responsible for managing traceability programs.

**Policy**
Indiana’s animal health statute and rules are sufficient to accomplish traceability requirements. BOAH completed revisions to state rules relating to traceability in 2014. BOAH will evaluate if the rules should be amended based on the implementation experience.

**Staffing**
BOAH’s staffing resources are dictated by the state general fund budget allocation. Indiana’s personnel policy is dictated by a state personnel statute.

**Budget requirements**
BOAH receives one line item from the state legislature for animal health which includes all funding for animal disease traceability. BOAH utilizes USDA cooperative agreement funds to supplement state appropriations.

BOAH anticipates requiring at least $150,000 each year from outside the state line item appropriation to accomplish traceability objectives.
Cost sharing is accomplished by BOAH paying for all traceability activity outside of funds provided by USDA. BOAH cost shares by contributing staff hours for traceability activities, paying for information technology infrastructure and purchasing necessary supplies and equipment.

Judicious use of permanent staff and enhancements to USAHERDS to facilitate ease of data entry and retrieval provide a buffer against shortfalls. However, reductions in state appropriations will lead to fewer staff to complete traceability implementation.

The long-term goal is to encourage livestock producers and markets to invest their own funds in electronic animal identification and EID infrastructure. Education and demonstration will show producers and markets the utility of electronic traceability and increase their willingness to purchase the needed hardware themselves.

BOAH is not aware of any other funding sources.

4.5 Outreach

BOAH’s website provides traceability information materials for farmers, accredited veterinarians, livestock markets and other industry partners. BOAH will present the Indiana traceability program to livestock farmers, veterinarians and livestock markets at industry meetings and personal visits to key industry participants, in addition to regular communication via industry magazines/newsletters.

Accredited veterinarians

BOAH uses quarterly updates distributed by email, fax and USPS to inform accredited veterinarians of traceability needs. BOAH provides veterinary continuing education on animal health matters, including traceability, at the Purdue Veterinary Conference and the Indiana Veterinary Medical Association annual meetings for state-licensed veterinarians. These sessions qualify for required training under the USDA accreditation program. BOAH and USDA collaborate to instruct new veterinary graduates about official animal health programs, including traceability programs. BOAH provides onsite training and consultation to veterinary practices on animal disease traceability. Key messages are emphasized during these visits such as encouraging the use of electronic certificates of veterinary inspection and TB MIMS technology (with the APHIS ID Coordinator for Indiana).
Livestock markets

BOAH rules require livestock markets to maintain traceability records. Indiana licensed livestock markets are approved tagging sites under the Indiana traceability rules. BOAH is working with individual markets to improve the use of official identification, data collection and reporting of traceability information. BOAH will utilize cooperative agreement funds to purchase electronic identification reading equipment to assist markets in transitioning to 840 electronic identification devices and automated recording of identification information.

Livestock industry

Indiana has a broad and deep animal agriculture industry. Information on our industries is available online in NASS reports.

BOAH attends and briefs farmers at industry association meetings and trade shows. Sectors include beef, dairy, pork, sheep and goats, farmed cervids and equine. BOAH also works closely with Purdue Extension Service and 4-H.

The state veterinarian briefs the BOAH Board members on traceability during the Board’s quarterly meetings. The Board frequently asks questions and provides input at these meetings.

BOAH’s website provides traceability information to the public: [https://www.in.gov/boah/](https://www.in.gov/boah/).

BOAH works regularly with exhibition managers to integrate premises ID, 840 RFID and RFID reader technology into exhibition management.

BOAH works closely with Purdue Extension Service to promote 840 use and understanding.

BOAH works with individual producers to expand the use of 840 RFID into their production management.

Indiana’s under-represented and under-served communities are included as their needs and BOAH’s needs are identified.