

CHAPTER 24

**MODEL ANIMAL HEALTH/OFFICIAL CERTIFICATE FOR THE ENTRY INTO THE UNION OF DAY-OLD CHICKS OTHER THAN RATITES (MODEL "DOC")**

<b>COUNTRY</b> United States		<b>Animal Health/Official Certificate to the EU</b>	
<b>Part I: Description of Consignment</b>	<b>I.1 Consignor/Exporter</b> Name Address Country ISO country code	<b>I.2 Certificate Reference</b>	<b>I.2a IMSOC Reference</b>  <b>QR CODE</b>
		<b>I.3 Central Competent Authority</b>	
		<b>I.4 Local Competent Authority</b>	
	<b>I.5 Consignee/Importer</b> Name Address Country ISO country code	<b>I.6 Operator Responsible for the Consignment</b> Name Address Country ISO country code	
	<b>I.7 Country of Origin</b> ISO country code	<b>I.9 Country of Destination</b>	ISO country code
	<b>I.8 Region of Origin</b> Code	<b>I.10 Region of Destination</b>	Code
	<b>I.11 Place of Dispatch</b> Name Registration/Approval No Address Country ISO country code	<b>I.12 Place of Destination</b> Name Registration/Approval No Address Country ISO country code	
	<b>I.13 Place of Loading</b>	<b>I.14 Date and Time of Departure</b>	
	<b>I.15 Means of Transport</b> <input type="checkbox"/> Aircraft <input type="checkbox"/> Vessel <input type="checkbox"/> Railway <input type="checkbox"/> Road vehicle Identification	<b>I.16 Entry Border Control Post</b>	
		<b>I.17 Accompanying Documents</b> Type Code Country ISO country code Commercial document reference	
	<b>I.18 Transport Conditions</b> <input type="checkbox"/> Ambient <input type="checkbox"/> Chilled <input type="checkbox"/> Frozen		
	<b>I.19 Container Number/Seal Number</b> Container No Seal No		
	<b>I.20 Certified As or For</b> <input type="checkbox"/> Further keeping		
<b>I.21</b> <input type="checkbox"/> For Transit Third country ISO country code	<b>I.22</b> <input type="checkbox"/> For Internal Market		
	<b>I.23</b>		
<b>I.24 Total Number of Packages</b>	<b>I.25 Total Quantity</b>	<b>I.26 Total Net Weight/Gross Weight (kg)</b>	
<b>I.27 Description of Consignment</b> CN code Species Subspecies/Category			Quantity

<b>II. Health Information</b>	<b>II.a Certificate Reference</b>	<b>II.b IMSOC Reference</b>
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**II.1. Public Health Attestation** [Delete when the Union is not the final destination of the animals]  
 I, the undersigned official veterinarian, hereby certify, the following as regards the day-old chicks <sup>(6)</sup> other than ratites of the consignment described in Part I:

<sup>(1)</sup> [II.1.1. The *Salmonella* control programme referred to in Article 10 of Regulation (EC) No 2160/2003 and the specific requirements for the use of antimicrobials and vaccines in Commission Regulation (EC) No 1177/2006, have been applied to the parent flock of origin and that parent flock has been tested for *Salmonella* serotypes of public health significance:

Identification of the Flock	Age of the Birds	Date of Last Sampling of the Flock from Which the Testing Result is Known [dd/mm/yyyy]	Result of All Testing in the Flock <sup>(2)</sup>	
			positive	negative

The specific requirements for the use of antimicrobials and vaccines in Regulation (EC) No 1177/2006 have been applied to the day-old chicks.

For reasons other than the *Salmonella* control programme:

<sup>(3)</sup> *either* [antimicrobials were not administered to the day-old chicks (including in-ovo injection).]  
<sup>(3)(4)</sup> *or* [the following antimicrobials were administered to the day-old chicks (including in-ovo injection) .....]

<sup>(1)</sup> [II.1.2. If the day-old chicks are intended for breeding, neither *Salmonella* Enteritidis nor *Salmonella* Typhimurium were detected within the control programme referred to in point II.1.1.]

<sup>(5)</sup> [II.1.3. If the Member State of destination is Finland or Sweden, the day-old chicks for introduction into flocks of breeding poultry or flocks of productive poultry come from flocks which have tested negative for *Salmonella* in accordance with the rules laid down in Commission Decision 2003/644/EC.]

**II.2. Animal Health Attestation**

I, the undersigned official veterinarian, hereby certify, that the day-old chicks <sup>(6)</sup> other than ratites of the consignment described in Part I:

II.2.1. have hatched in the zone with code \_\_ - \_ <sup>(7)</sup> which, at the date of issue of this animal health/official certificate:  
 (a) is authorised and listed in Part I, Section B, of Annex V to Commission Implementing Regulation (EU) 2021/404 for the entry into the Union of day-old chicks other than ratites;  
 (b) carries out a disease surveillance programme for highly pathogenic avian influenza in accordance with Article 37, point (a), of Commission Delegated Regulation (EU) 2020/692;  
 (c) is considered free from highly pathogenic avian influenza in accordance with Article 38 of Delegated Regulation (EU) 2020/692;  
 (d) is considered free from infection with Newcastle disease virus in accordance with Article 39 of Delegated Regulation (EU) 2020/692;

II.2.2. come from the zone referred to in point II.2.1, in which:

<sup>(3)</sup> *either* [(a) vaccination against highly pathogenic avian influenza is not carried out;]  
<sup>(3)(8)</sup> *or* [(a) vaccination against highly pathogenic avian influenza is carried out in accordance with a vaccination programme that complies with the requirements set out in Annex XIII to Delegated Regulation (EU) 2020/692;]

<sup>(3)</sup> *either* [(b) vaccination against infection with Newcastle disease virus with vaccines which do not comply with both the general and specific criteria of Annex XV to Delegated Regulation (EU) 2020/692 is prohibited;]

<sup>(3)(9)</sup> *or* [(b) vaccination against infection with Newcastle disease virus with vaccines which comply only with the general criteria of Annex XV to Delegated Regulation (EU) 2020/692 is not prohibited, and the birds:  
 (i) have not been vaccinated with such vaccines;  
 (ii) come from flocks which:  
 - have not been vaccinated with such vaccines for at least 12 months prior to the date of loading of the consignment for dispatch to the Union;  
 - underwent a virus isolation test <sup>(10)</sup> for infection with Newcastle disease virus carried out on a random sample of cloacal swabs taken from at least 60 birds in each flock, not earlier than 2 weeks prior to the date of loading of the consignment for dispatch to the Union, and in which no avian paramyxoviruses with an ICPI of more than 0,4 were found;

Part II: Certification

- were kept in isolation under official surveillance on the establishment of origin during the last 2 weeks prior to the date of loading of the consignment for dispatch to the Union;
  - during the last 60 days prior to the date of loading of the consignment for dispatch to the Union, were not in contact with poultry which do not fulfil the conditions referred to in the first and the second indent;
  - (iii) come from hatching eggs which have not been in contact in the hatchery or during transport thereto with poultry or hatching eggs not meeting the requirements referred to in point (ii);]
- II.2.3. come from a hatchery, indicated in box I.11, approved by the competent authority of the third country or territory of origin in accordance with requirements which are at least as stringent as those laid down in Article 7 of Commission Delegated Regulation (EU) 2019/2035, and:
- (a) the approval of which has not been suspended or withdrawn;
  - (b) which is under the control of the competent authority of the third country or territory of origin and has a system in place to maintain and to keep records in accordance with Article 8 of Delegated Regulation (EU) 2020/692;
  - (c) which receives regular animal health visits from a veterinarian for the purpose of the detection of, and information on, signs indicative of the occurrence of diseases, including the listed diseases referred to in Annex I to Delegated Regulation (EU) 2020/692 relevant for the species and emerging diseases, at a frequency that is proportional to the risk posed by the establishment;
  - (d) which was not subject to national restriction measures for animal health reasons, including for the listed diseases referred to in Annex I to Delegated Regulation (EU) 2020/692 relevant for the species and emerging diseases, at the time of loading of the consignment of dispatch to the Union;
  - (e) within a 10 km radius of which, including, where appropriate, the territory of a neighbouring country, there has been no outbreak of highly pathogenic avian influenza or infection with Newcastle disease virus for at least 30 days prior to the date of loading of the consignment for dispatch to the Union;
- II.2.4. come from a flock which:
- (a) has remained in zone referred to in point II.2.1 for a continuous period of at least 3 months immediately prior to the date of collection of the eggs from which the day-old chicks have hatched; and where the flock was introduced into the zone referred to in point II.2.1, that introduction took place under animal health requirements at least as stringent as those for the entry into the Union of breeding poultry other than ratites and productive poultry other than ratites laid down in Regulation (EU) 2016/429 and Delegated Regulation (EU) 2020/692, and from a third country or territory, or zone thereof listed in Part 1, Section B, of Annex V to Implementing Regulation (EU) 2021/404 or a Member State ;
  - (b) has been kept for a continuous period of at least 6 weeks immediately prior to the date of collection of the eggs from which the day-old chicks have hatched in an establishment:
    - (i) approved by the competent authority of the third country or territory of origin in accordance with requirements which are at least as stringent as those laid down in Article 8 of Delegated Regulation (EU) 2019/2035;

(11)

Name of Establishment	Address	Approval Number

- (ii) the approval of which has not been suspended or withdrawn at the date of dispatch of the hatching eggs, from which the day-old chicks have hatched, to the hatchery;
- (iii) in which no confirmed case of infection with low pathogenic avian influenza viruses has been reported for at least 21 days prior to the date of collection of the hatching eggs, from which the day-old chicks have hatched;
- (iv) in which:
  - <sup>(3)</sup> either [infection with *Salmonella* Pullorum, *S. Gallinarum* or *S. arizonae* was not confirmed during the last 12 months prior to date of loading of the consignment for dispatch to the Union;]
  - <sup>(3)</sup> or [infection with *Salmonella* Pullorum, *S. Gallinarum* or *S. arizonae* was confirmed during the last 12 months prior to date of loading of the consignment for dispatch to the Union and the measures provided for in Article 46, point (d), of Delegated Regulation (EU) 2020/692 have been applied;]
- (v) in which:
  - <sup>(3)</sup> either [avian mycoplasmosis (*Mycoplasma gallisepticum* and *M. meleagridis*) was not confirmed during the last 12 months prior to date of loading of the consignment for dispatch to the Union;]
  - <sup>(3)</sup> or [avian mycoplasmosis (*Mycoplasma gallisepticum* and *M. meleagridis*) was confirmed during the last 12 months prior to date of loading of the consignment for dispatch to the Union and the measures provided for in Article 46, point (e), of Delegated Regulation (EU) 2020/692 have been applied;]

- (<sup>3</sup>) *either* [(c) has not been vaccinated against highly pathogenic avian influenza;]  
 (<sup>3</sup>)<sup>(8)</sup> *or* [(c) has been vaccinated against highly pathogenic avian influenza in accordance with a vaccination programme which complies with the requirements set out in Annex XIII to Delegated Regulation (EU) 2020/692;]  
 (<sup>3</sup>) *either* [(d) has not been vaccinated against infection with Newcastle disease virus within the last 12 months prior to the date of loading of the consignment for dispatch to the Union;]  
 (<sup>3</sup>) *or* [(d) has been vaccinated against infection with Newcastle disease virus within the last 12 months prior to the date of loading of the consignment for dispatch to the Union, with vaccines that comply with both the general and specific criteria of Annex XV to Delegated Regulation (EU) 2020/692;

(12)

Identification of the Flock	Age of the Birds	Date of Vaccination	Name and Type of Virus Strain Used	Batch Number of the Vaccine	Name of the Vaccine	Manufacturer of the Vaccine

- (e) underwent a disease surveillance programme that meets the requirements set out in Annex II to Delegated Regulation (EU) 2019/2035 and was found not to be infected or showed any grounds for suspecting any infection, by the following agents:  
 (<sup>3</sup>) *either* [*Salmonella Pullorum*, *Salmonella Gallinarum* and *Mycoplasma gallisepticum* (in case of *Gallus gallus*);]  
 (<sup>3</sup>) *or* [*Salmonella arizonae* (serogroup O:18(k)), *Salmonella Pullorum* and *Salmonella Gallinarum*, *Mycoplasma meleagridis* and *Mycoplasma gallisepticum* (in case of *Meleagris gallopavo*);]  
 (<sup>3</sup>) *or* [*Salmonella Pullorum* and *Salmonella Gallinarum* (in case of *Numida meleagris*, *Coturnix coturnix*, *Phasianus colchicus*, *Perdix perdix* and *Anas spp*);]
- II.2.5. come from hatching eggs which:  
 (a) comply with the requirements for the entry into the Union laid down in Title 2 of Part III of Delegated Regulation (EU) 2020/692;  
 (b) prior to the date of their dispatch to the hatchery, have been marked in accordance with the instructions of the competent authority of the third country or territory of origin;  
 (c) have been disinfected in accordance with the instructions of the competent authority of the third country or territory of origin;  
 (d) have had no contact in the hatchery or during transport thereto with poultry or hatching eggs of lower health status, captive birds or wild birds;
- II.2.6. have remained:  
 (a) in the third country or territory, or zone thereof referred to in point II.2.1 since the date of hatching;  
 (b) in the establishment indicated in box I.11 since the date of hatching;
- II.2.7. have not been vaccinated against highly pathogenic avian influenza;
- II.2.8. are not to be killed under a national programme for the eradication of diseases, including the listed diseases referred to in Annex I to Delegated Regulation (EU) 2020/692 relevant for the species and emerging diseases;
- II.2.9. are loaded for dispatch to the Union in the containers which:  
 (a) are constructed in such a way that:  
 (i) the birds cannot escape or fall out;  
 (ii) visual inspection of the space where birds are kept is possible;  
 (iii) the escape of bird excrements, litter, feed or feathers is prevented or minimized;  
 (b) contain only poultry of the same species and category coming from the same establishment;  
 (c) are disposable, clean and used for the first time;  
 (d) are closed in accordance with the instructions of the competent authority of the third country or territory of origin to avoid any possibility of substitution of the content;  
 (e) bear the information set out in Point 3 of Annex XVI to Delegated Regulation (EU) 2020/692 relevant for day-old chicks;
- II.2.10. are loaded for dispatch to the Union on \_\_\_/\_\_\_/\_\_\_ (dd/mm/yyyy) <sup>(13)</sup> in a means of transport which is constructed in accordance with II.2.9., point (a), and was cleaned and disinfected prior to loading of the consignment for dispatch to the Union with a disinfectant authorised by the competent authority of the third country or territory of origin;
- <sup>(14)</sup> [II.2.11. are intended for a Member State or zone thereof which has been granted the status free from infection with Newcastle disease virus without vaccination in accordance with Article 66 of Commission Delegated Regulation (EU) 2020/689, and:  
 (a) have not been vaccinated against infection with Newcastle disease virus;

- (b) come from hatching eggs coming from flocks which:
- (3) *either* [have not been vaccinated against infection with Newcastle disease virus;]
- (3) *or* [have been vaccinated against infection with Newcastle disease virus with an inactivated vaccine;]
- (3) *or* [have been vaccinated against infection with Newcastle disease virus with a live vaccine at the latest within the last 60 days prior to the date of collection of the eggs;]
- (c) come from a hatchery where working practices ensure that the hatching eggs from which the day-old chicks have hatched, were incubated at the completely separate times and locations from the eggs not satisfying the requirements referred to in point (b).]

**Notes:**

This animal health/official certificate is intended for the entry into the Union of day-old chicks other than ratites, including when the Union is not the final destination of those animals.

In accordance with the Agreement on the withdrawal of the United Kingdom of Great Britain and Northern Ireland from the European Union and the European Atomic Energy Community, and in particular Article 5(4) of the Protocol on Ireland/Northern Ireland in conjunction with Annex 2 to that Protocol, references to the Union in this animal health/official certificate include the United Kingdom in respect of Northern Ireland.

This animal health/official certificate shall be completed in accordance with the notes for the completion of certificates provided for in Chapter 4 of Annex I to Commission Implementing Regulation (EU) 2020/2235.

**Part I:**

Box reference I.8: Provide the code of the zone as it appears in column 2 of the table in Part 1, Section B, of Annex V to Implementing Regulation (EU) 2021/404.

Box reference I.27: Description of consignment:  
 “CN code”: Indicate the appropriate Harmonised System (HS) code(s) of the World Customs Organisation under the following headings: 01.05 or 01.06.39.  
 “Category”: Select one of the following: Pure line/grandparents/parents/laying stock/broilers/others.

**Part II:**

- (1) This guarantee applies only for day-old chicks belonging to the species of *Gallus gallus* and turkeys.
- (2) If any of the results were positive for the serotypes below during the life of the flock, indicate as positive:  
 - flocks of breeding poultry: *Salmonella* Hadar, *Salmonella* Virchow and *Salmonella* Infantis;  
 - flocks of productive poultry: *Salmonella* Enteritidis and *Salmonella* Typhimurium.
- (3) Delete if not applicable.
- (4) Keep if appropriate: indicate the name and active substance of antimicrobials used.
- (5) Delete if consignment is not intended for Finland or Sweden.
- (6) ‘Day-old chicks’ means poultry less than 72 hours old, as defined in Article 2 of Delegated Regulation (EU) 2020/692.
- (7) Code of the zone as it appears in column 2 of the table in Part 1, Section B, of Annex V to Implementing Regulation (EU) 2021/404.
- (8) This applies only to the zones in which vaccination against highly pathogenic avian influenza is carried out in accordance with a vaccination programme that complies with the requirements set out in Annex XIII to Delegated Regulation (EU) 2020/692, and which are listed in the table Part 1, Section B, of Annex V to Implementing Regulation (EU) 2021/404 with an entry “A” in column 5 of that table.
- (9) This guarantee is required only for the poultry coming from the zones in which the use of vaccines against infection with Newcastle disease virus which comply only with the general criteria of Annex XV to Delegated Regulation (EU) 2020/692 is not prohibited, in accordance with Article 37, point (e)(ii), thereof, and which are listed in the table in Part 1, Section B, of Annex V to Implementing Regulation (EU) 2021/404 with an entry “B” in column 5 of that table.
- (10) Tests shall be carried out on samples taken by or under the control of the competent authority of the third country or territory of origin and testing shall be carried out in an official laboratory designated in accordance with Article 37 of Regulation (EU) 2017/625.
- (11) Indicate the name, address and approval number of the establishment where the flock of origin of the day-old chicks was kept during the 6 weeks immediately prior to the date of collection of the eggs from which the day-old chicks have hatched.
- (12) To be completed when animals were vaccinated against infection with Newcastle disease virus.
- (13) The date of loading shall not be prior to the date of authorisation of the zone for the entry into the Union, or a date in a period when restriction measures have been adopted by the Union in relation to the entry into the Union of those animals from that zone.
- (14) This guarantee is required only for the consignments intended for a Member State or zone thereof which has been granted the status free from infection with Newcastle disease virus without vaccination in accordance with Article 66 of Delegated Regulation (EU) 2020/689.

**Official Veterinarian**

Name (in capital letters)

Qualification and Title

Date

Stamp

Signature

**(15) III. Supplementary Health Information Concerning Animal Health/Official Certificate Reference Number (Box I.2.)**

.....  
 I, the undersigned official veterinarian, hereby certify, that:

- (a) the health conditions of Part II of this animal health/official certificates continue to be met;
- (b) the day-old chicks described in this animal health/official certificate:
  - (i) have hatched on ..... (dd/mm/yyyy);
  - (ii) have been subjected to a clinical inspection <sup>(16)</sup> on \_\_/\_\_/\_\_ (dd/mm/yyyy), within the last 24 hours prior to the time of loading of the consignment for dispatch to the Union, and showed no signs indicative of the occurrence of diseases, including the listed diseases referred to in Annex I to Delegated Regulation (EU) 2020/692 relevant for the species and emerging diseases;
  - (iii) had no contact with other birds of a lower health status since the date of hatching.

<sup>(15)</sup> This Section can be on a separate sheet provided it is attached to Part II of the animal health/official certificate.

<sup>(16)</sup> The clinical inspection must have been carried out by an official veterinarian of the third country or territory of origin.

**Official Veterinarian**

Name (in capital letters)

Qualification and Title

Date

Stamp

Signature