

**MODEL ANIMAL HEALTH CERTIFICATE FOR THE ENTRY INTO THE UNION OF  
AQUATIC ANIMALS INTENDED FOR CERTAIN AQUACULTURE  
ESTABLISHMENTS, FOR RELEASE INTO THE WILD OR FOR OTHER PURPOSES,  
EXCLUDING HUMAN CONSUMPTION (MODEL 'AQUA-ENTRY-  
ESTAB/RELEASE/OTHER')**

COUNTRY UNITED STATES			Animal health certificate to the EU			
Part I: Description of consignment	I.1	Consignor/Exporter	I.2	Certificate reference	I.2a	IMSOC reference
		Name	I.3	Central Competent Authority	QR CODE	
		Address				
		Country	ISO country code	I.4	Local Competent Authority	
	I.5	Consignee/Importer	I.6	Operator responsible for the consignment		
		Name		Name		
		Address		Address		
		Country	ISO country code		Country	ISO country code
	I.7	Country of origin	ISO country code	I.9	Country of destination	ISO country code
	I.8	Region of origin	Code	I.10	Region of destination	Code
I.11	Place of dispatch	Registration/Approval No	I.12	Place of destination	Registration/Approval No	
	Name			Name		
	Address			Address		
	Country	ISO country code		Country	ISO country code	
I.13	Place of loading		I.14	Date and time of departure		
I.15	Means of transport		I.16	Entry Border Control Post		
	<input type="checkbox"/> Aircraft	<input type="checkbox"/> Vessel	I.17	Accompanying documents		
	<input type="checkbox"/> Railway	<input type="checkbox"/> Road vehicle		Type	Code	
	Identification			Country	ISO country code	
				Commercial document reference		
I.18	Transport conditions	<input type="checkbox"/> Ambient	<input type="checkbox"/> Chilled	<input type="checkbox"/> Frozen		
I.19	Container number/Seal number					
	Container No		Seal No			
I.20	Certified as or for					
<input type="checkbox"/> Confined establishment						
I.21	<input type="checkbox"/> For transit		I.22	<input type="checkbox"/> For internal market		
	Third country	ISO country code	I.23			

I.24	Total number of packages		I.25	Total quantity		I.26	Total net weight/gross weight (kg)	
I.27	Description of consignment							
CN code	Species	Subspecies/Category		Nature of commodity	Type of packaging		Age	Quantity
					Number of packages		Net weight	
					Approval or registration number of plant/establishment/centre			

<b>Part II: Certification</b>	<b>II. Health information</b>	<b>Certificate reference</b>	<b>IMSOC reference</b>
		<b>II.a</b>	<b>II.b</b>
	<p>I, the undersigned official veterinarian, hereby certify:</p> <p>II.1. According to official information, the aquatic animals referred to in Box I.27 of Part I meet the following animal health requirements:</p> <p style="margin-left: 40px;">II.1.1. The aquatic animals originate from <sup>(1)</sup>[an establishment] <sup>(1)</sup>[a habitat] which is not subject to national restriction measures for animal health reasons or because of the occurrence of abnormal mortalities with an undetermined cause, including the relevant listed diseases referred to in Annex I to Commission Delegated Regulation (EU) 2020/692 <sup>A</sup> and relevant for the species and emerging diseases.</p> <p style="margin-left: 40px;">II.1.2. The aquatic animals are not intended to be killed under a national programme for the eradication of diseases, including the relevant listed diseases referred to in Annex I to Commission Delegated Regulation (EU) 2020/692 and emerging diseases.</p> <p><sup>(1)</sup>II.2. The aquaculture animals referred to in Box I.27 of Part I meet the following requirements:</p> <p style="margin-left: 40px;">II.2.1. They come from an aquaculture establishment which is <sup>(1)</sup>[registered] <sup>(1)</sup>[approved] by, and under the control of, the competent authority of the third country or territory of origin and has a system in place to maintain and to keep for a period of at least three years, up-to-date records containing information regarding:</p> <p style="margin-left: 80px;">(i) the species, categories and number of aquaculture animals on the aquaculture establishment;</p> <p style="margin-left: 80px;">(ii) movements of aquatic animals into, and aquaculture animals out of, the aquaculture establishment;</p> <p style="margin-left: 80px;">(iii) mortality in the aquaculture establishment.</p> <p style="margin-left: 40px;">II.2.2. They come from an aquaculture establishment which receives regular animal health visits from a veterinarian for the purpose of the detection of, and information on, signs indicative of the relevant listed diseases referred to in Annex I to Commission Delegated Regulation (EU) 2020/692 and of emerging diseases, at a frequency that is proportionate to the risk posed by the aquaculture establishment.]</p> <p><b>II.3. General health requirements</b></p> <p>The aquatic animals referred to in Box I.27 of Part I meet the following animal health requirements:</p> <p style="margin-left: 40px;">II.3.1. The aquatic animals originate from a <sup>(1)</sup>[country] <sup>(1)</sup>[territory], <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] with code: ____ - ____ <sup>(2)</sup> which, at the date of issuing this certificate is listed in Part 1 of Annex XXI to Commission Implementing Regulation (EU) 2021/404 <sup>B</sup> for the entry into the Union of certain species of aquatic animals.</p> <p style="margin-left: 40px;">II.3.2. They have undergone clinical inspection in accordance with Article 166 of Delegated Regulation (EU) 2020/692 within a period of 72 hours prior to the time of loading. During the inspection, the aquatic animals showed no clinical symptoms of transmissible disease and, according to the relevant records of the aquaculture establishment, there was no indication of disease problems.</p> <p style="margin-left: 40px;">II.3.3. They will be dispatched directly from the establishment of origin to the Union.</p> <p style="margin-left: 40px;">II.3.4. They have not been in contact with aquatic animals of a lower health status.</p> <p><sup>(1)</sup> either <b>II.4. Specific health requirements</b></p>		

**<sup>(1)</sup>[II.4.1. Requirements for <sup>(3)</sup>listed species for Epizootic haematopoietic necrosis, Infection with Mikrocystos mackini, Infection with Perkinsus marinus, Infection with Taura syndrome virus and Infection with yellow head virus**

The aquatic animals of the consignment described in Box I.27 originate from a <sup>(1)</sup>[country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] declared free from <sup>(1)</sup>[Epizootic haematopoietic necrosis] <sup>(1)</sup>[Infection with Mikrocystos mackini] <sup>(1)</sup>[Infection with Perkinsus marinus] <sup>(1)</sup>[Infection with Taura syndrome virus] <sup>(1)</sup>[Infection with yellow head virus] in accordance with conditions which are at least as stringent as those set out in Article 66 or in Article 73(1) and Article 73(2)(a) of Commission Delegated Regulation (EU) 2020/689 <sup>C</sup> and where all <sup>(3)</sup>listed species for the relevant disease(s):

- (i) are introduced from another <sup>(1)</sup>[country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] which has been declared free from the same disease(s);
- (ii) are not vaccinated against <sup>(1)</sup>[that] <sup>(1)</sup>[those] disease(s).]

**<sup>(1)(4)</sup> [II.4.2. Requirements for <sup>(3)</sup>listed species for Viral haemorrhagic septicaemia (VHS), Infectious haematopoietic necrosis (IHN), infection with HPR-deleted infectious salmon anaemia virus (ISAV), infection with Marteilia refringens, infection with Bonamia exitiosa, infection with Bonamia ostreae, and infection with White spot syndrome virus**

The aquatic animals of the consignment described in Box I.27 originate from a <sup>(1)</sup>[country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] declared free from <sup>(1)</sup>[Viral haemorrhagic septicaemia (VHS)] <sup>(1)</sup>[Infectious haematopoietic necrosis (IHN)] <sup>(1)</sup>[infection with HPR-deleted infectious salmon anaemia virus (ISAV)] <sup>(1)</sup>[infection with Marteilia refringens] <sup>(1)</sup>[infection with Bonamia exitiosa] <sup>(1)</sup>[infection with Bonamia ostreae] <sup>(1)</sup>[infection with White spot syndrome virus] in accordance with Part II, Chapter 4, of Delegated Regulation (EU) 2020/689 and where all <sup>(3)</sup>listed species for the relevant disease(s):

- (i) are introduced from another <sup>(1)</sup>[country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] which has been declared free from the same disease(s);
- (ii) are not vaccinated against <sup>(1)</sup>[that] <sup>(1)</sup>[those] disease(s).]

**<sup>(1)(5)</sup> [II.4.3. Requirements for <sup>(6)</sup>species susceptible to infection with Spring viraemia of carp virus (SVC), Bacterial Kidney disease (BKD), infection with Infectious pancreatic necrosis virus (IPN), infection with Gyrodactylus salaris (GS), infection with Salmonid alphavirus (SAV) infection with Ostreid herpes virus 1  $\mu$ var (OsHV-1  $\mu$ var) and <sup>(3)</sup>species susceptible to Koi herpes virus disease**

The aquatic animals of the consignment described in Box I.27 originate from a <sup>(1)</sup>[country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] which fulfils the health guarantees as regards <sup>(1)</sup>[SVC], <sup>(1)</sup>[BKD], <sup>(1)</sup>[IPN], <sup>(1)</sup>[G.salaris], <sup>(1)</sup>[SAV], <sup>(1)</sup>[OsHV-1  $\mu$ var], <sup>(1)</sup>[KHV], which are necessary to comply with the national measures which apply in the Member State of destination in accordance with Article 175 of Delegated Regulation (EU) 2020/692, and for which the Member State or part thereof, is listed in <sup>(1)</sup>[Annex I] <sup>(1)</sup>[Annex II] to Commission Implementing Decision (EU) 2021/260<sup>D</sup>.]

**<sup>(1)</sup>or [II.4. Specific health requirements**

The aquatic animals referred to in Box I.27 of Part I are aquatic animals destined for a confined establishment fulfilling the requirements of Article 9 of Commission Delegated Regulation (EU) 2020/691 <sup>E</sup> where they are to be used for research purposes.]

**<sup>(1)</sup>or [II.4. Specific health requirements**

The aquatic animals referred to in Box I.27 of Part I are wild aquatic animals which, <sup>(1)</sup>[have been subject to quarantine in an establishment approved for that purpose by the competent authority in the <sup>(1)</sup>[country] <sup>(1)</sup>[territory] of origin in accordance with Article 15 of Commission Delegated Regulation (EU) 2020/691.] <sup>(1)</sup>[will be subject to quarantine in an establishment which is approved for that purpose in accordance with Article 15 of Commission Delegated Regulation (EU) 2020/691.]

II.5. To the best of my knowledge, and as declared by the operator, the animals in the consignment show no symptoms of disease and come from <sup>(1)</sup>[an establishment] <sup>(1)</sup>[a habitat] where:

- (i) there were no abnormal mortalities with an undetermined cause; and
- (ii) the aquatic animals have not been in contact with kept animals of <sup>(3)</sup>listed species which did not comply with the requirements referred to in point II.1.

## II.6. Transport requirements

Arrangements have been made to transport the aquatic animals referred to in Box I.27 of Part I in accordance with the requirements laid down in Articles 167 and 168 of Commission Delegated Regulation (EU) 2020/692 and specifically that:

- II.6.1. the aquatic animals are dispatched directly from the establishment of origin to the Union and are not unloaded from their container when transported by air, sea, railway or by road;
- II.6.2. the water in which they are transported is not changed in a third country or territory, zone or compartment which is not listed for entry of the particular species and category of aquatic animals into the Union;
- II.6.3. the animals are not transported under conditions that jeopardise their health status, in particular:
  - (i) when the animals are transported in water, it does not alter their health status;
  - (ii) the means of transport and the containers are constructed in such a way that the health status of the aquatic animals is not jeopardised during transportation;
  - (iii) the <sup>(1)</sup>[container] <sup>(1)</sup>[well-boat] is previously unused or cleaned and disinfected, in accordance with a protocol and with products approved by the competent authority of the <sup>(1)</sup>[third country] <sup>(1)</sup>[territory] of origin, prior to loading for dispatch to the Union;
- II.6.4. from the time of loading at the establishment of origin until the time of arrival in the Union, the animals in the consignment are not transported in the same water or <sup>(1)</sup>[container] <sup>(1)</sup>[well-boat] together with aquatic animals which are of a lower health status or which are not intended for entry into the Union;
- II.6.5. where a water exchange is necessary in a <sup>(1)</sup>[third country] <sup>(1)</sup>[territory] <sup>(1)</sup>[zone] <sup>(1)</sup>[compartment] which is listed for entry of the particular species and category of aquatic animals into the Union, it only occurs <sup>(1)</sup>[in the case of transport on land, at water exchange points approved by the competent authority of the <sup>(1)</sup>[third country] <sup>(1)</sup>[territory] where the water exchange takes place.] <sup>(1)</sup>[in the case of transport by well-boat, at a distance which is at least 10 km from any aquaculture establishments which are located en-route from the place of origin to the place of destination in the Union.]

## II.7. Labelling requirements

Arrangements have been made to identify and label the <sup>(1)</sup>[means of transport] <sup>(1)</sup>[containers] in accordance with Articles 169(1) and 169(2) of Commission Delegated Regulation (EU) 2020/692 and specifically that:

II.7.1. the consignment is identified by <sup>(1)</sup>[a legible and visible label on the exterior of the container] <sup>(1)</sup>[an entry in the ships manifest when transported by well-boat,] which clearly links the consignment to this animal health certificate;

II.7.2. the legible and visible label will contain at least the following information:

- (a) the number of containers in the consignment;
- (b) the name of the species present in each container;
- (c) the number of animals in each container for each of the species present;
- (d) the purpose for which the animals are intended.

#### II.8. Validity of the animal health certificate

This animal health certificate is valid for a period of 10 days from the date of issuing. In the case of transport by waterway/sea of aquatic animals, this period of 10 days may be extended by the duration of the journey by waterway/sea.

#### Notes

In accordance with the Agreement on the withdrawal of the United Kingdom of Great Britain and Northern Ireland from the European Union and the European Atomic Energy Community, and in particular Article 5(4) of the Protocol on Ireland / Northern Ireland in conjunction with Annex 2 to that Protocol, references to European Union in this certificate include the United Kingdom in respect of Northern Ireland.

‘Aquatic animals’ are animals as defined in Article 4, point (3), of Regulation (EU) 2016/429.

‘Aquaculture animals’ are aquatic animals which are subject to aquaculture as defined in Article 4, point (7) of Regulation (EU) 2016/429.

This animal health certificate is intended for entry into the Union of aquatic animals for the purposes indicated in its title, including when the Union is not the final destination of those animals.

This animal health certificate shall not be used for the entry into the Union of aquatic animals intended for human consumption in accordance with Regulation (EC) No 853/2004 of the European Parliament and of the Council <sup>H</sup> and Commission Regulation (EC) No 2073/2005 <sup>I</sup>, including those animals which are intended for the following aquaculture establishments:

- (i) a disease control aquatic food establishment as defined in point (52) of Article 4 of Regulation (EU) 2016/429, or
- (ii) a dispatch centre as defined in point (3) of Article 2 of Commission Delegated Regulation (EU) 2020/691.

For those aquatic animals, the model certificate FISH-CRUST-HC, as set out in Chapter 28 of Annex III to Commission Implementing Regulation (EU) 2020/2235 <sup>F</sup>, or MOL-HC as set out in Chapter 31 of Annex III to that Regulation, must be used, as relevant.

This animal health certificate shall be completed according to notes for the completion of certificates provided for in Chapter 4 of Annex I to Implementing Regulation (EU) 2020/2235.

#### Part II:

- (1) Keep if appropriate/ delete if not applicable. In the case of Part II.4.1, deletion is not permitted if the consignment contains listed species for Epizootic haematopoietic necrosis, Infection with *Mikrocytos mackini*, Infection with *Perkinsus marinus*, Infection with Taura syndrome virus or Infection with yellow head virus.
- (2) Code of the third country/ territory/zone/compartiment as it appears in column 2 of Part 1 of Annex XXI to Commission Implementing Regulation (EU) 2021/404.

	<p>(3) Listed species as referred to in columns 3 and 4 of the table in the Annex to Commission Implementing Regulation (EU) 2018/1882<sup>G</sup>. Vector species listed in column 4 of that table shall only be regarded as vectors if they fulfil the conditions set out in Annex XXX to Delegated Regulation (EU) 2020/692.</p> <p>(4) Applicable in all cases when aquatic animals are to be released into the wild in the Union or when the Member State of destination either has disease-free status for a category C disease as defined in Article 1, point (3), of Implementing Regulation (EU) 2018/1882 or is subject to an optional eradication programme established in accordance with Article 31(2) of Regulation (EU) 2016/429.</p> <p>(5) Only applicable when the Member State of destination or part thereof, has approved national measures for a specific disease as listed in Annex I or Annex II to Implementing Decision (EU) 2021/260, otherwise delete.</p> <p>(6) Species listed in column 2 of the table in Annex III to Implementing Decision (EU) 2021/260.</p> <hr/> <p><sup>A</sup> Commission Delegated Regulation (EU) 2020/692 of 30 January 2020 supplementing Regulation (EU) of the European Parliament and of the Council as regards rules for entry into the Union, and the movement and handling after entry of consignments of certain animals, germinal products and products of animal origin (OJ L 174, 3.6.2020, p. 379).</p> <p><sup>B</sup> Commission Implementing Regulation (EU) 2021/404 of 24 March 2021 laying down the lists of third countries, territories or zones thereof from which the entry into the Union of animals, germinal products and products of animal origin is permitted in accordance with Regulation (EU) 2016/429 of the European Parliament and of the Council (OJ L 114, 31.3.2021, p. 1).</p> <p><sup>C</sup> Commission Implementing Decision (EU) 2020/689 of 17 December 2019 supplementing Regulation (EU) 2016/429 of the European Parliament and of the Council as regards rules for surveillance, eradication programmes, and disease-free status for certain listed and emerging diseases (OJ L 174, 3.6.2020, p.211).</p> <p><sup>D</sup> Commission Implementing Decision (EU) 2021/260 of 11 February 2021 approving national measures designed to limit the impact of certain diseases of aquatic animals in accordance with Article 226(3) of Regulation (EU) 2016/429 of the European Parliament and of the Council and repealing Commission Decision 2010/221/EU (OJ L 59 19.2.2021, p. 1).</p> <p><sup>E</sup> Commission Delegated Regulation (EU) 2020/691 of 30 January 2020 supplementing Regulation (EU) 2016/429 of the European Parliament and of the Council as regards rules for aquaculture establishments and transporters of aquatic animals, (OJ L 174, 3.6.2020, p.345).</p> <p><sup>F</sup> Commission Implementing Regulation (EU) 2020/2235 of 16 December 2020 laying down rules for the application of Regulations (EU) 2016/429 and (EU) 2017/625 of the European Parliament and of the Council as regards model animal health certificates, model official certificates and model animal health/official certificates, for the entry into the Union and movements within the Union of consignments of certain categories of animals and goods, official certification regarding such certificates and repealing Regulation (EC) No 599/2004, Implementing Regulations (EU) No 636/2014 and (EU) 2019/628, Directive 98/68/EC and Decisions 2000/572/EC, 2003/779/EC and 2007/240/EC (OJ L 442, 30.12.2020, p. 1).</p> <p><sup>G</sup> Commission Implementing Regulation (EU) 2018/1882 of 3 December 2018 on the application of certain disease prevention and control rules to categories of listed diseases and establishing a list of species and groups of species posing a considerable risk for the spread of those listed diseases (OJ L 308, 4.12.2018, p. 21).</p> <p><sup>H</sup> Regulation (EC) No 853/2004 of the European Parliament and of the Council of 29 April 2004 laying down specific hygiene rules for food of animal origin (OJ L 139, 30.4.2004, p. 55).</p> <p><sup>I</sup> Commission Regulation (EC) No 2073/2005 of 15 November 2005 on microbiological criteria for foodstuffs (OJ L 338, 22.12.2005, p.1.).</p>
--	--

**Official veterinarian**

Name (in capital letters)

Date

Qualification and title

Stamp

Signature

**Official veterinarian**

Name (in capital letters)

Date

Qualification and title

Stamp

Signature