

Trade Control and Expert System (TRACES)

TRACES is the EU's electronic Internet related to the trade of animal and animal-origin commodities. Animal by-products must be produced and exported from facilities listed on TRACES to export to the European Union (EU). The EU implemented this requirement on March 4, 2011.

The EU now requires facilities exporting animal by-products under Regulations 1069/2009 and 142/2011 to be listed on TRACES. Any exceptions would be noted under the pertinent links below.

Exporters should have their importers confirm with the pertinent EU border inspection post (BIP) authorities that all requirements for entry of the consignment have been met prior to shipment. This should include verifying that all necessary information (in the interpretation of the BIP related to the specific materials in the consignment) is posted on TRACES and that all required documentation (e.g. export certificates where required) is available and satisfactory to the BIP.

If a facility is approved by APHIS under Regulations 1069/2009 and 142/2011, the information is generally automatically submitted to TRACES upon the approval being granted. However, exporters can check with their local VS Area Office to confirm whether or not APHIS has submitted their information to TRACES. If a facility allows their approval to expire, TRACES will be notified.

If a facility will not be referenced in any way on by-products EU certificates, they do not technically have to be on TRACES.

Please note: **The only assurance APHIS provides regarding exports are the specific declarations on certificates that are actually endorsed by APHIS.** (APHIS has our own internal database that we utilize to determine which facilities are currently approved for which activities and certificates related to the production and export of animal by-products to the EU.) Additionally there will generally be a period of time between expiration of a facility's approval, and delisting from TRACES. Listing on TRACES does **NOT** confirm any of the following:

- Any particular product from any facility meets any particular requirements;
- That APHIS will endorse any certificate; or
- That a supplier has the necessary current approval to supply any particular product to U.S. facilities seeking approval by APHIS under Regulations 1774/2002 or 1069/2009-142/2011.

The "live" information currently in TRACES is available on the EU's website at:

https://webgate.ec.europa.eu/sanco/traces/output/non_eu_listsPerCountry_en.htm#

Once to this website, click on "United States" to access the pertinent lists.

Section

By-products facilities are listed in TRACES under one or more of the following 7 'sections':

Animal by-products

- [Section III : Other facility for the collection or handling of animal by-products \(i.e. unprocessed/untreated materials\)](#)
- [Section IV : Processing plants](#)
- [Section V : Petfood plants \(Including plants manufacturing dogchews and flavouring innards\)](#)
- [Section VI : Game trophies plants](#)
- [Section VII : Plants or establishments manufacturing intermediate products](#)
- [Section VIII : Fertiliser and soil improvers](#)
- [Section IX : Storage of derived products](#)

Facilities with approvals from other USG agencies would generally be entered in different parts of TRACES through a different process. Facilities exporting other commodities should contact the agency responsible for certification of their exports if they have questions regarding TRACES.

The section a facility must be listed under is determined by the commodity, its intended purpose, and/or the certificate/document which is required for import to the EU. Based upon these and other factors, APHIS has developed the following table to indicate which "section" (related to certificate to be endorsed), APHIS generally lists facilities in TRACES. The content of this table is subject to change, and provides no guarantees. If a facility is not listed in the relevant section of TRACES, facilities should not export the commodity to the EU, nor seek APHIS endorsement of the pertinent certificates.

Certificate	SECTION IN TRACES
1 (other than soil/fert)	IV
1(soil/fert)	VIII
2A	II
2B	N/A
3A	V
3B	V
3C	V
3D	V
3E	V
3F	III
4A	III
4B	IV
4C	III
4D	III
5A	III
5B	IV
5C	N/A
6A	VI
6B	VI
7A	III
7B	N/A
8	III
9	N/A
10A	IV
10B BIODIESEL	IV
11	IV
12	IV
13	N/A
14A	IV
14B	IV
15	N/A
16 IMPORTER DECLARATION	III
17	VIII
18	III
19 (photographic gelatin)	IV
20 (intermediate products)	VII
Beeswax (commercial document)	IV
Wool and hair (no certificate)	III
Treated feathers (commercial document)	III
“Warehouses” shipping all of the above except: 3D, 3F, 5A, 8, and 20	IX ¹

- The above referenced certificate numbers are from Regulation (EU) 142/2011. APHIS is still developing the approval process for some of these certificates.
- If a facility is not approved for direct export, but would be approved for the certificate if it were not for some “final” labeling, that Section is still utilized.
- Information in TRACES provides no guarantee regarding whether APHIS will endorse certificates or whether a particular item from a supplier meets certain criteria. The only assurances APHIS provides are the declarations included on certificates we endorse.

¹ If this section is noted in TRACES, the warehouse facility reference number must be noted in section I.11 of the export certificate. The actual manufacturer must be listed in section I.28 of the certificate, and in TRACES under the relevant section for the specific commodity.