

Lacey Act Samples

# CBP and Trade Automated Interface Requirements

USDA APHIS Lacey Act Samples

June 2019



U.S. Customs and  
Border Protection



## Lacey Act Samples

### Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	23JUL15	<p>PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries.</p> <p>PG14: Type Code, A8, PPQ505 is deprecated and not required.</p> <p>PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you.</p> <p>PG02: PG02 C is allowed but the use should be considered as uncommon.</p>
2.8.1	2AUG15	Correct Example 7 to PG02 C
2.9	13AUG15	<p>Discontinue use of PG29; Delete PG29 from samples;</p> <p>Reconfigure samples to demonstrate concepts for use without PG29 in examples of unknown species or country of harvest;</p> <p>Add example of multiple PG05's with one PG06; Add PG24;</p> <p>Swap PG21/PG22; Delete example of component code.</p>
2.9.1	16SEP15	<p>Change all sequence to full Parent Child. Add Example 3C.</p> <p>Modify examples of unknown country, unknown quantity to PG04, PG05, PG06 sequence. Update format to all caps.</p>
2.9.2	30SEP15	<p>Modify PG04, PG05, PG06 sequences to demonstrate possible examples of Using the PG04 Y flag for lists of either possible species or possible countries of harvest. Remove PG04 recycled percentage placeholders.</p>
2.9.3	05OCT15	Correct PG04 change "U" to "Y"
3.0	04JAN16	<p>Clarify PG04, PG05, PG06, PG21, PG22. PG24 deprecated.</p> <p>Add PG60. Introduction, add LA email address.</p>
3.1	11MAY16	<p>Add Unit of Measure valid units to introduction; update introduction. Add example 1a showing use of cubic millimeter.</p> <p>Change disclaimer example, Example 9, to clay sculpture. Add PG29, PG50 and PG51 usage in Example 5a. Add PG19-PG20 to each example.</p>
3.2	01JUN19	<p>Introduction: add note regarding not using 80 col for the samples; remove duplicate sentences; add PG14 deprecated. Add Unit of Measure changes to introduction. Update page numbers.</p> <p>All examples remove bold from "P"; correct quantities for implied two places.</p> <p>Swap Examples 1 and 1a.</p> <p>Example 1, correct HTS code to railroad crossties, remove reference to oak.</p> <p>Example 1a correct title; modify quantity; correct HTS code.</p>

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		<p>Example 3 clarify the description regarding the use of the unknown flag in PG04.</p> <p>Example 5 removed, it duplicated example 3.</p> <p>Rename Example 5a to 5.</p> <p>Remove Example 8: Declaration with a Permit. No longer needed.</p> <p>Add Example 8: Manufacturer MID</p>
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## Introduction

The APHIS Lacey Act ACE message set samples are examples of the APHIS Lacey Act message set and are not the definitive set or the complete set of examples. These examples are not complex. These examples are not all of the possible combinations of message records. The examples presented are representative of entry filings developed during talks with developers and brokers during the development and pilot of the APHIS Lacey Act ACE implementation.

## Notes:

- The samples are not in 80 column display, refer to the Lacey IG for data descriptions
- PG22 must be before PG21
- PG24 has been deprecated in the Lacey PGA message set on December 22, 2015, it is replaced with PG60. PG24 will continue to be used by other PGAs.
- PG14 has been deprecated in the Lacey PGA message set. It is no longer required for Lacey
- Do not zero fill quantities or back fill quantities

- **Valid Units of Measure are:**

<u>UoM</u>	<u>Description</u>
• Grams	G
• Millimeters	MM
• Square Millimeters	MM2
• Cubic Millimeters	MM3
• Centigrams	CG
• Centimeter	CM
• Square Centimeter	CM2
• Cubic Centimeter	CM3
• Kilogram	KG
• Meter	M
• Square Meter	M2
• Cubic Meter	M3
• Milliliter	ML
• Centiliter	CTL
• Liter	L
• Kiloliter	KL

## Reference Documents

- CBP ACE CATAIR
- CBP ACE Lacey Act PGA Message Set, v3.2
- CBP ACE Appendix: PGA
- CBP ACE PGA Data Corrects CA/CC,
  - If both the goods and the entry are arrived and released, the CA application is the only method for submitting corrections to PGA data, up to ten (10) days after the entry is released.
- [APHIS Lacey Act](#) Special Use Designator Guidance
- [APHIS Lacey Act](#) Clarification of Quantity and Unit of Measure

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## Lacey Act Samples

### Example 1: Simple Declaration

Example 1 is an entry of used railroad ties with only one PGA line item. Each tie is made of maple. There are 100.04 m<sup>3</sup>, 100.04 cubic meter, two decimal places implied, of *Acer saccharum* from Canada, The value of the CBP line is \$2,501, and the shipment is in one container.

00 H5001CA 4406100000ZZABCTEC123XXX  
01 OI USED RAILROAD TIES  
02 PG01 001 APHAPL  
03 PG02 P  
04 PG10 USED MAPLE RAILROAD TIES  
05 PG04 MAPLE TIES 10004 M3  
06 PG05 ACER SACCHARUM  
07 PG06 HRV CA  
08 PG22 IM AP6 Y 12182014  
09 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM  
10 PG19 1234 WEST SPECIAL ROAD  
11 PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345  
12 PG25 2501  
13 PG27 WHXU4101474

## Lacey Act Samples

### Example 1a: Simple Declaration One Product, Many Species

Example 1a is an entry of used railroad ties with only one PGA line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the CBP line is \$10,000, and the shipment is in two containers.

```
00 H5001CA 4406100000ZZABCTEC123XXX
01 OI USED RAILROAD TIES
02 PG01 001 APHAPL
03 PG02 P
04 PG10 USED MAPLE AND OAK RAILROAD TIES
05 PG04 MAPLE TIES 10000 KG
06 PG05 ACER SACCHARUM
07 PG06 HRV CA
08 PG04 OAK TIES 20000 KG
09 PG05 QUERCUS RUBRA
10 PG06 HRV CA
11 PG04 OAK TIES 30000 KG
12 PG05 QUERCUS ALBA
13 PG06 HRV CA
14 PG04 MAPLE TIES 40000 KG
15 PG05 ACER SACCHARUM
16 PG06 HRV US
17 PG04 OAK TIES 50000 KG
18 PG05 QUERCUS RUBRA
19 PG06 HRV US
20 PG04 OAK TIES 60000 KG
21 PG05 QUERCUS ALBA
22 PG06 HRV US
23 PG22 IM AP6 Y 12182014
24 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
25 PG19 1234 WEST SPECIAL ROAD
26 PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345
27 PG25 10000
28 PG27 WHXU4101474 WHXU4101475
```

## Lacey Act Samples

### Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

```
00    H5001CA9403308000ZZABCTEC123XX
01    OI    WOODEN DESK
02    PG01 001  APHAPL
03    PG02 P
05    PG10 WOODEN DESK MODEL 0415
06    PG04 PINE DESK TOPS LEGS SIDE DRAWERS      10000 M3
07    PG05 PINUS TAEDA
08    PG06 HRV  CA
09    PG25 10000
10    PG01 002  APHAPL
11    PG02 P
12    PG10 WOODEN DESK MODEL 0522
13    PG04 PINE DESK TOPS LEGS SIDE DRAWERS      20000 M3
14    PG05 PINUS TAEDA
15    PG06 HRV  CA
16    PG25 20000
17    PG01 003  APHAPL
18    PG02 P
19    PG10 PINE DESK MODEL 0553
20    PG04 PINE DESK TOPS DRAWERS                30000 M3
21    PG05 PINUS TAEDA
22    PG06 HRV  US
23    PG04 PINE DESK TOPS LEGS SIDE              40000 M3
24    PG05 PINUS TAEDA
25    PG06 HRV  CA
26    PG22 IM   AP6  Y    12182014
27    PG21 IM   JOHN Q IMPORTER          3015552232  JQI@IMP.COM
28    PG19 1234 WEST SPECIAL ROAD
29    PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345
30    PG25 30000
```



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### Example 3: Unknown Species Breakdown

**This sample is not common and requires the exercise of due care to determine applicability.**

Example 3 is an entry of softwood lumber made of three species of pine with a total quantity of 300 cubic meters.\* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. PG04 has the Unknown flag set.

\*The statute requires a unique quantity for each species/country pairing. Equal division of quantity is not expected.

```
00  H5001CA4403200008AFABCTEC123FAL
01  OI    SOFTWOOD PULPWOOD
02  PG01 001  APHAPL
03  PG02 P
04  PG10 EUROPEAN UNION PINE PULPWOOD
05  PG04 Y UK PINE PULPWOOD          3400 M3
06  PG05 PINUS TAEDA
07  PG06 HRV  GB
08  PG04 Y UK PINE PULPWOOD          1700 M3
09  PG05 PINUS      RIGIDA
10  PG06 HRV  GB
11  PG04 Y UK PINE PULPWOOD          4900 M3
12  PG05 PINUS ECHINADA
13  PG06 HRV  GB
14  PG04 Y FRENCH PINE PULPWOOD      6700 M3
15  PG05 PINUS TAEDA
16  PG06 HRV  FR
17  PG04 Y FRENCH PINE PULPWOOD      3300 M3
18  PG05 PINUS RIGIDA
19  PG06 HRV  FR
20  PG04 Y FRENCH PINE PULPWOOD      10000 M3
21  PG05 PINUS ECHINADA
22  PG06 HRV  FR
23  PG22 IM  AP6  Y    12182014
24  PG21 IM  JOHN Q IMPORTER          3015552232  JQI@IMP.COM
25  PG19 1234 WEST SPECIAL ROAD
26  PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345
27  PG25 30000
28  PG27 WHXU4101474
```

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Example 3 PG04 with Y (unknown) Flag	List of possible species	Known Countries	Quantity
PINUS	TAEDA	GB	34.00
PINUS	RIGIDA	GB	17.00
PINUS	ENCHINADA	GB	49.00
PINUS	TAEDA	FR	67.00
PINUS	RIGIDA	FR	33.00
PINUS	ENCHINADA	FR	100.00

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### Example 4: Unknown Country Breakdown – Over 10 Countries

Example 4 is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

- 1) First PGA line is lumber made of a species of pine, quantity is a total of 200 cubic meters, The line is valued at \$20,000, and is all in one container.
- 2) Second PGA line is lumber made of a species of pine, 300 cubic meters. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

The statute requires a unique quantity for each species/country pairing. Current APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “\*\*\*” in lieu of the Country of Harvest. \*The statute requires a unique quantity for each species/country pairing.

```
00 H5001CA4403200008ZZABCTEC123XX
01 OI SOFTWOOD PULPWOOD
02 PG01 001 APHAPL
03 PG02 P
04 PG10 SOFTWOOD PULPWOOD
05 PG04 Y EU PINE PULPWOOD 6600 M3
06 PG05 PINUS ECHINADA
07 PG06 HRV GB
07 PG04 Y EU PINE PULPWOOD 5600 M3
08 PG05 PINUS ECHINADA
09 PG06 HRV FR
10 PG04 Y EU PINE PULPWOOD 8800 M3
11 PG05 PINUS ECHINADA
12 PG06 HRV DE
13 PG25 20000
14 PG27 WHXU4101474
15 PG01 002 APHAPL
16 PG02 P
17 PG10 SOFTWOOD PULPWOOD
18 PG04 Y NA PINE PULPWOOD 1500 M3
19 PG05 PINUS ECHINADA
20 PG06 HRV **
21 PG04 Y NA PINE PULPWOOD 20000 M3
22 PG05 PINUS ECHINADA
23 PG06 HRV **
24 PG04 Y NA PINE PULPWOOD 8500 M3
25 PG05 PINUS ECHINADA
26 PG06 HRV **
27 PG22 IM AP6 Y 12182014
28 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
29 PG19 1234 WEST SPECIAL ROAD
30 PG20 UNIT 40 SUITE 3 NAP TOWN MD US 12345
31 PG25 30000
32 PG27 WHXU4101474
```

LINE 1

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LINE 2

PINUS	ENCHINADA	GB	63.00
PINUS	ENCHINADA	DE	88.00

PINUS	ENCHINADA	**	15.00
PINUS	ENCHINADA	**	200.00
PINUS	ENCHINADA	**	85.00
		Over ten countries of harvest	

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### Example 5: List of possible Species Breakdown Using PG29

**This sample is not common and requires the exercise of due diligence to determine applicability.** This PGA record is not expected to be in common or regular use. Use is limited to specific HTS codes, 4401XXXXXX and 4402900000.

Example 5a is an entry of softwood fuel wood chips made of three species of pine with a quantity of 300 cubic meters.\* After exercising due diligence the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom of four species and 200 M3 was harvested in France, Germany and Belgium of one species. The shipment is valued at \$30,000 and is shipped in one container.

\*The statute requires a unique quantity for each species/country pairing.

```

00  H5001CA4401210000AFABCTEC123FAL
01  OI      FUEL WOOD CHIPS
02  PG01001APHAPL
03  PG02P
04  PG10                CONIFEROUS WOOD CHIPS
05  PG22                IMAP6 Y03312016
06  PG21IM JANE Q IMPORTER      3015552232      JQI@IMP.COM
07  PG19 1234 WEST SPECIAL ROAD
08  PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345
09  PG25                                                    000000030000
10  PG04 EU PINE FUEL WOOD CHIPS
11  PG50
12  PG05PINUS      TAEDA
13  PG05PINUS      RIGIDA
14  PG05PINUS      ECHINADA
15  PG05PINUS      PINASTER
16  PG06HRVBE
17  PG29                                                    KG00000010000
18  PG51
19  PG04 EU PINE FUEL WOOD
20  PG50
21  PG05PINUS      TAEDA
22  PG06HRVFR
23  PG06HRVDE
24  PG06HRVBE
25  PG29                                                    KG0000000200
26  PG51
27  PG27WHXU4101474
    
```

**Note:**

Each genus species and country of harvest pair will be assigned an equal portion of the PG29 quantity. (e.g., 2 species and 1 country of harvest, gross quantity would be divided by 2; 2 species and 2 countries of harvest, gross quantity would be divided by 4).

PINUS	TAEDA	GB	25.00
PINUS	RIGIDA	GB	25.00
PINUS	ECHINADA	GB	25.00

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PINUS	PINASTER	GB	25.00
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PINUS	TAEDA	US	50.00
PINUS	TAEDA	FR	50.00
PINUS	TAEDA	DE	50.00
PINUS	TAEDA	BE	50.00

## Example 6: Special Use Designation

**This Sample is not common and requires the exercise of due care to determine applicability.**

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and \*after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

\*The statute requires the species and country of harvest for each plant or plant product.

```
00 H5001CA9403704010ZZABCTEC123XX
01 OI SEATS WITH WOOD FRAMES
02 PG01 001 APHAPL
03 PG02 P
04 PG10 SEATS WITH WOODEN FRAMES
05 PG04 VINTAGE SEATS 10000 M3
06 PG05 SPECIAL PREAMENDMENT
07 PG06 HRV CA
08 PG22 IM AP6 Y 12182014
09 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
10 PG19 1234 WEST SPECIAL ROAD
11 PG20 UNIT 40 SUITE 3 NAPTOWN MD US 12345
12 PG25 7000
13 PG01 002 APHAPL
14 PG02 P
15 PG10 SEATS WITH WOODEN FRAMES
16 PG04 PINE VENEER 10000 M3
17 PG05 PINUS STROBUS
18 PG06 HRV CA
19 PG04 PARTICLEBOARD BASE 20000 M3
20 PG05 SPECIAL COMPOSITE
21 PG06 HRV CA
22 PG04 PARTICLEBOARD BASE 30000 M3
23 PG05 SPECIAL COMPOSITE
24 PG06 HRV US
25 PG25 40000
```

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### Example 7: Product-level Declaration

Example 7 is a product-level declaration of an entry of wooden side chairs.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the CBP line is \$10,000, and the shipment is in one container.

```
00 H5001CA 9403704010ZZABCTEC123XX
01 OI WOODEN K.D FURNITURE
02 PG01 001 APHAPL
03 PG02 P
04 PG10 WOODEN K.D FURNITURE
05 PG04 CHAIR - SIDES AND SEAT 140000 M3
06 PG05 PINUS RADIATA
07 PG06 HRV RU
08 PG04 CHAIR - BACK AND LEGS 70000 M3
09 PG05 BETULA PLATYPHYLLA
10 PG06 HRV RU
11 PG25 10000
12 PG01 002 APHAPL
13 PG02 P
14 PG10 WOODEN K.D FURNITURE
15 PG04 CHAIR - LEGS 2200000 M3
16 PG05 PINUS RADIATA
17 PG06 HRV NZ
18 PG04 CHAIR - BACK 4000000 M3
19 PG05 BETULA ALLEGHANIENSIS
20 PG06 HRV CA
21 PG22 IM AP6 Y 12182014
22 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
23 PG19 1234 WEST SPECIAL ROAD
24 PG20 UNIT 40 SUITE 3 NAP TOWN MD US 12345
25 PG25 10000
26 PG27 WHXU4101474
```



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### Example 8: Disclaimer Declaration

Example 10 is a disclaimed declaration for an entry of clay sculpture. The Lacey Act Declaration is flagged for shipments of HTS 9703, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

Disclaimer Code Use:

No disclaimer code PG01, position 80, NULL or blank – the entry will process through ACE

Data not present because:

- “A” – Product not regulated by this agency,
- “B” – data is not required per agency guidance
- “C” – data filed through other agency means
- “D” – data filed through paper

```
00 H5001CA 97030000051ZZABCTEC123XX
01 OI CLAY SCULPTURE
02 PG01 001 APHAPL A
```