

USDA Horse Protection Act Disqualification and Civil Penalty List (as of June 25, 2018)

The following list identifies individuals and/or companies that have been assessed a Federal Disqualification under the Horse Protection Act. While under Federal Disqualification, a person is prohibited from showing, exhibiting or entering any horse, directly or indirectly through any agent, employee, or other device, and from judging, managing or otherwise participating in any horse show, horse exhibition or horse sale or auction. Please note that while the list below identifies the disqualification periods for the individuals and companies, the list is not controlling. The controlling documents setting forth the terms and dates of the Federal Disqualification are the signed Decisions and Orders, hyperlinked below.

| <u>Disqualification</u> | | | <u>Person</u> | | | <u>Record</u> | | | |
|-------------------------|------------|--------------|---------------|-------------|-------|---|------------------------------|---------------------------------|--|
| Start Date | End Date | Disq. Period | Last Name | First Name | State | Docket Number | Date of Controlling Document | Civil Penalty | Action |
| 8/27/2012 | n/a | Permanent | McConnell | Jackie | TN | 12-0466 | 8/1/2012 | \$150,000 (held in abeyance) | Consent Decision |
| 1/30/2015 | 1/29/2019 | 4 years | Smith | Dennis | IL | 13-0364 | 1/22/2015 | n/a | Consent Decision |
| 5/1/2015 | 4/30/2019 | 4 years | Smith | Dustin | KY | 15-0038 | 4/29/2015 | | Consent Decision and Order |
| 3/17/2016 | 3/16/2020 | 4 years | Mills | Joshua Clay | NC | 12-0602, 12-0640, 12-0642, 12-0644, 13-0029, 14-0089 | 3/15/2016 | \$2,200 | Consent Decision and Order |
| 7/4/2016 | 7/3/2021 | 5 years | Simms | Terry Wayne | KY | 15-0150 | 4/29/2016 | \$2,200 | Decision and Order |
| 7/6/2016 | 7/5/2022 | 6 years | Baucom | Rhonda | NC | 14-0088, 16-0106 | 7/1/2016 | | Consent Decision |
| 7/26/2016 | 7/25/2019 | 3 years | Morgan | Bobby | TN | 16-0073 | 7/21/2016 | n/a | Consent Decision and Order |
| 9/12/2016 | 9/11/2018 | 2 years | Essary | Tracy | MS | 15-0041 | 8/29/2016 | \$4,400 | Decision and Order |
| 12/14/2016 | 12/13/2019 | 3 years | Allen | John | NC | 13-0348, 15-0063 | 12/15/2016 | \$6,600 | Decision and Order |
| 1/15/2017 | 1/14/2022 | 5 years | Way | Jack | AL | 15-0072, 16-0019, 17-0026, 17-0075 | 1/10/2017 | n/a | Consent Decision and Order |
| 1/15/2017 | 1/14/2024 | 7 years | Allen | Earsie | TN | 15-0098 | 1/12/2017 | n/a | Consent Decision and Order |

| Start Date | End Date | Disq. Period | Last Name | First Name | State | Docket Number | Date of Controlling Document | Civil Penalty | Action |
|------------|------------|--------------|-----------|---------------|-------|--|------------------------------|---------------|---|
| 3/9/2017 | 3/8/2019 | 2 years | Coburn | Brent | TN | 15-0141 | 3/8/2017 | n/a | Consent Decision and Order |
| 4/27/2017 | 4/26/2019 | 2 years | Campbell | Ronnie | TN | 17-0074 | 3/22/2017 | \$4,400 | Default Decision and Order |
| 5/10/2017 | 5/9/2027 | 10 years | Holley | Timothy Wayne | MS | 11-0367 | 2/9/2012 | \$19,400 | Default Decision and Order |
| 5/30/2017 | 5/29/2019 | 2 years | Groover | Winston | TN | 17-0146 | 6/1/2017 | n/a | Consent Decision and Order |
| 9/3/2017 | 9/2/2019 | 2 years | Upton | Ernest | TN | 14-0083, 17-0166 | 4/11/2017 | \$6,000 | Consent Decision and Order |
| 9/4/2017 | 9/3/2019 | 2 years | Webb | Link | TN | 15-0021, 16-0018 | 7/28/2017 | \$4,000 | Consent Decision and Order |
| 11/1/2017 | 4/30/2019 | 18 months | McSwain | Robert Keith | GA | 16-0139, 17-0183 | 10/20/2017 | \$19,800 | Consent Decision and Order |
| 11/1/2017 | 6/30/2018 | 8 months | McSwain | Daniel | GA | 17-0182 | 10/20/2017 | | |
| 11/7/2017 | 11/6/2018 | 1 year | Caudill | Jordan | KY | 17-0024 | 8/2/2017 | \$500 | Decision and Order |
| 11/5/2017 | 7/4/2018 | 8 months | Hannah | Mike | TN | 17-0030 | 11/14/2017 | \$1,100 | Consent Decision and Order |
| 11/19/2017 | 11/18/2018 | 1 year | McCoy | Rocky Roy | KY | Sixth Cir. 16-3842 (APHIS 16- 0026) | 8/21/2017 | \$2,200 | Order (by United States Court of Appeals for the Sixth Circuit) |
| 12/1/2017 | 7/31/2018 | 8 months | Beard | Heather | TN | 17-0097 | 11/14/2017 | \$0 | Consent Decision and Order |
| 11/15/2017 | 11/14/2018 | 1 year | Grant | Jimmy | AL | 17-0125 | 12/14/2017 | \$0 | Consent Decision and Order |
| 12/6/2017 | 8/5/2018 | 8 months | Kathman | Cassie | KY | 17-0112 | 12/14/2017 | \$0 | Consent Decision and Order |
| 12/6/2017 | 8/5/2018 | 8 months | Napier | Cynthia J. | KY | 17-0113 | 12/14/2017 | \$0 | Consent Decision and Order |
| 12/6/2017 | 8/5/2018 | 8 months | Napier | Mandie | KY | 17-0114 | 12/14/2017 | \$0 | Consent Decision and Order |
| 1/1/2018 | 12/31/2018 | 1 year | Boyd | Brett | TN | 17-0021 | 1/10/2018 | \$1,650 | Consent Decision and Order |
| 11/1/2017 | 6/30/2018 | 8 months | Burks | Hayden | TN | 17-0028 | 12/19/2017 | \$1,100 | Consent Decision and Order |
| 3/16/2017 | 3/15/2019 | 2 years | Gleghorn | Charles | TN | 17-0022 | 1/25/2018 | \$6,600 | Consent Decision and Order |