



United States
Department of
Agriculture

Animal and Plant
Health Inspection
Service

Animal Care
Western Region

2150 Centre Ave.
Building B
Mail Stop # 3W11
Ft. Collins, CO 80526
Phone: 970-494-7478

(b)(6), (b)(7)c
University Of California, Santa Barbara
Office Of Research
Santa Barbara, CA 93106

RE: ANNUAL REPORT REMINDER
Certificate Number: 93-R-0438

Certified Mail Receipt:

November 10, 2009
Customer ID Number: 9197

Dear Registrant:

This is a reminder that an Annual Report of Research Facility (APHIS Form 7023 and 7023A) documenting your facility activities and animal usage for the Federal Fiscal Year 2009 is due by December 1. Such reports are required under the Animal Welfare Act (AWA) Subchapter A, Section 2.36. As of the date of this reminder, we have not received a report from your facility.

Please ensure that your report is submitted by mail and received in the appropriate Regional Office by December 1.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M
Regional Director – Animal Care
Western Region

cc: Alexandra Andricos, D.V.M.
cc: Manuel A. Adviento, D.V.M.

Enclosures



United States
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Phone: 970/494-7478
Fax: 970/494-7461

October 21, 2009

Registration Number: 93-R-0438
Customer Number: 9197

(b)(6), (b)(7)c

University of California, Santa Barbara
Office of the Vice Chancellor for Research
Santa Barbara, CA 93106-2050

Dear Dr. Witherell:

Thank you for your recent letter advising us of the change in your facility's
(b)(6), (b)(7)c We have updated our
database as you have requested to reflect this change.

Thank you for your efforts to comply with the Animal Welfare Act (AWA).
If you have any questions about this letter or the AWA, please contact this
office at 970-494-7471.

Sincerely,

Robert M. Gibbens
Western Region Director
Animal Care

cc: Alexandra Andricos, DVM



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SANTA BARBARA • SANTA CRUZ

SANTA BARBARA

Santa Barbara, CA 93106-2050

Fax: (805) 893-2611

Web: research.ucsb.edu

October 8, 2009

Robert M. Gibbons
Regional Director- Animal Care
Western Region
USDA
2150 Centre Avenue
Mail Stop # 3W11
Ft Collins, CO 80526

RE: Certificate 93-R-0438

This letter is to inform you that effective October 1, 2009 [REDACTED] is no longer [REDACTED] at the University of California, Santa Barbara. We have appointed [REDACTED] effective October 1, 2009.

Sincerely,

[REDACTED]

cc:

[REDACTED]
IACUC Office

OCT 16 2009



United States
Department of
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Animal and Plant
Health Inspection
Service

Animal Care
Western Region

2150 Centre Ave.
Building B
Mail Stop # 3W11
Ft. Collins, CO 80526
Phone: 970-494-7478

RE: ANNUAL REPORT
Certificate Number: 93-R-0438

Fiscal Year: 2009
September 15, 2009
Customer ID Number: 9197

(b)(6), (b)(7)c

University Of California, Santa Barbara
Office Of Research
Santa Barbara, CA 93106

Dear Registrant:

Under the Animal Welfare Act (AWA) regulations, all active or inactive research facilities, or those cancelled during the fiscal year, must file an Annual Report of Research Facility (APHIS Form 7023 and 7023A) with the Animal Care Regional Office documenting their activities and animal usage for the Federal fiscal year (October 1 through September 30) by December 1 of that year. Research facilities, whose registrations were terminated during the fiscal year, must also fulfill this requirement. Failure to do so constitutes a violation of the AWA and its regulations.

In past years we offered two methods for submission of the Annual Report (APHIS Form 7023); regular mail or electronic submissions via the Internet. However, this year, all annual reports must be mailed to the Regional Office.

Please complete the enclosed APHIS Form 7023 and return the original to the address listed to the left. Detailed instructions are provided on the enclosed Annual Report Checklist. All research facilities should complete Items 4 through 13 if applicable. Negative reports are required. Also required is the date, signature and title of the Chief Executive Officer or other legally responsible official who attests to the accuracy of the report and to the assurance statements. We appreciate your prompt attention to this matter.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M
Regional Director - Animal Care
Western Region

cc: Alexandra Andricos, D.V.M.
cc: Manuel A. Adviento, D.V.M.

Enclosures

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0036. The time required to complete this information collection is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

OMB APPROVED
0579-0036

This report is required by law (7 U.S.C. 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

Interagency Report Control
No. 0180-DOA-AN

Fiscal Year: 2009

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

REGISTRATION NUMBER: 93-R-0438

Customer Number: 9197

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include ZIP Code)

University Of California, Santa Barbara
Office Of Research
Santa Barbara, CA 93106

Telephone: (805) 893 5855

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS (Sites) See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A.)

A.	B.	C.	D.	E.	F.
Animals Covered By The Animal Welfare Regulations	Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	Number of animals upon which teaching, experiments, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress on these animals and the reasons such drugs were not used must be attached to this report.)	TOTAL NUMBER OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs			24		24
7. Hamsters					
8. Rabbits			15		15
9. Non-human Primates	39				0
10. Sheep					
11. Pigs					
12. Other Farm Animals					
Cattle		46			46
13. Other Animals					
Belting Ground Squirrel		383			383
Deer mouse		15			15
Douglas Tree Squirrel		2			2

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provisions of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL
(Chief Executive Officer (C.E.O.) or Legally Responsible Institutional Official (L.O.))
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

SIGNATURE OF C.E.O. OR L.O.

NAME AND TITLE OF C.E.O. OR L.O. (Type or Print)

DATE SIGNED

(b)(6), (b)(7)c

11-6-09