USDA

United States
Department of
Agriculture

Marketing and
Regulatory
Programs

Animal and Plant
Health Inspection
Services

Animal Care

920 Main Campus Drive
Suite 200
Raleigh, NC 27606

Tel No. 919-855-7100
Fax No. 919-855-7123

April 6, 2007
CERTIFIED MAIL
RETURN RECEIPT
7001 0360 0000 2162 9157
RE: Cust. # 27339
Lic/Reg # 33-B-0371
Warning Notice
APHIS Form 7060

Bruce Finley
Finley Farm
18100 New Dennison Rd
Marion, IL 62959

Dear Mr. Finley:

The enclosed APHIS Form 7060, "Official Warning, Violation of Federal Regulations," is being issued to you for alleged violations of the Federal Animal Welfare Act. This notice is being issued at this time as a serious warning that if you fail to comply with the requirements of the Animal Welfare Act in the future, this citation and all past and future documented violations will be used to justify a more severe penalty. The Animal Welfare Act provides for penalties of up to $3,750 per violation. If you have any questions regarding this citation or the Animal Welfare Act, please contact this office at the above address or phone (919) 855-7100.

Sincerely,

Kay A. Carter-Corker

for Elizabeth Goldentyer, DVM
Eastern Regional Director
Animal Care

Enclosure

cc: R. Huttenlocker, IES
E. Serven, ACI
C. Hovancsak, SACS
K. Carter-Corker, ARD
IL (27339) 33-B-0371

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING

VIOLATION OF FEDERAL REGULATIONS

CASE NO.
IL07101

VIOLATOR

Customer # 27339
Certificate # 33-B-0371
Bruce Finley
Finley Farms

ADDRESS *(Street, City, State, Zip Code)*

18100 New Dennison Rd
Marion, IL 62959

The Department of Agriculture has evidence that on or about **December 12, 2006, and March 13, 2007** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION	**Failure to**
2.126	• Allow APHIS officials to enter its place of business; to examine records required to be kept by the Act and the regulations in this part; to make copies of the records; to inspect and photograph the facilities, property and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations and the standards in this subchapter.

(On March 13, 2007 from 1:00 to 1:20 pm there was no one available at the premises for an Animal welfare inspection. This is the second attempted inspection. To avoid further action, someone must be available for inspections who is knowledgeable about the facility.)

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL *(Name and Title)*
for **Elizabeth Goldentyer, DVM** **Regional Director**

SIGNATURE: Kay A. Castro-Corker

DATE ISSUED: April 6, 2007

OFFICE ADDRESS:
920 Main Campus Dr., Suite 200
Raleigh, NC 27606
TELEPHONE NO. AC (919) 855-7100

FOR PERSONAL SERVICE - RECEIVED BY: *(Name and signature)*

DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO:
7001 0360 0000 2162 9157

APHIS FORM 7060 Previous editions may be used
(JUN 91) **PART 1 - VIOLATOR**

Public reporting burden for this collection of information is estimated to average .25 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Agriculture, Clearance Officer, OIRM, Room 404-W, Washington, D.C. 20250, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503

JUN 0 2 2008

FORM APPROVED OMB NO. 0579-0036

No license may be issued unless a completed application has been received (7 U.S.C. 2133-2143), and the applicant is in compliance with the standards and regulations Section 2133.

U.S DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APPLICATION FOR LICENSE

(TYPE OR PRINT)

LICENSE RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

SEND THE COMPLETED FORM TO: 920 Main Campus Drive Suite 200, Unit 3040
Raleigh, NC 27606
Telephone: (919) 855-7101

CERTIFICATE / CUSTOMER NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVED
CERT: 33-B-0371 CUST: 27339	22-JUN-2008	$40	02JUN08

1. NAME(S) OF LICENSEE(S) AND MAILING ADDRESS

Bruce Finley

18100 New Dennison Rd
Marion, IL 62959

Telephone: (618)982-2828

2. ALL BUSINESS (Site) LOCATIONS HOUSING ANIMALS; INCLUDE DIRECTIONS TO EACH LOCATION *(P.O. Box not acceptable)*

18100 New Dennison Rd
Marion, IL 62959
County: Williamson

Telephone: 618-982-2828
CELL 618-922-3794

3. LIST PERSONS 18 YEARS OF AGE OR OLDER AUTHORIZED TO CONDUCT BUSINESS. RESPONSIBLE OFFICIAL SIGNING BLOCK 10 SHOULD BE LISTED IN THIS BLOCK.

BRUCE FINLEY

(b)(6) & (b)(7)c

4. (A) PREVIOUS USDA LICENSE NUMBER (If any)

–

(B) ACTIVE USDA CERTIFICATE NUMBER IN WHICH YOU HAVE AN INTEREST:

5. TYPE OF LICENSE

☐ Class A - Breeder ☒ Class B - Dealer ☐ Class C - Exhibitor

6. DATE OF LAST 12-MONTH BUSINESS YEAR (CALENDAR OR FISCAL)

FROM	TO
01-JAN-2007	31-DEC-2007

7. TYPE OF ORGANIZATION

☒ Individual ☐ Corporation ☐ Partnership

☐ Other (Specify) _____

8. DEALERS ONLY. Class A or Class B licensees must complete this Block. *(Class C Exhibitors go to Block 9)*

CLASS A (BREEDER) - LINE 'D' = 1/2 OF LINE 'C'
CLASS B (DEALER) - LINE 'D' = LINE 'C' LESS THE AMOUNT PAID FOR THE ANIMAL(S (Sections 2.6)

A. TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	0
B. TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR	0
C. TOTAL GROSS DOLLAR AMOUNT DERIVED FROM REGULATED ACTIVITIES (SALES, BOOKING FEES, COMMISSIONS, ETC.)	$ 0
D. DOLLAR AMOUNT ON WHICH FEE IS BASED *(SECTIONS 2.6 AND 2.7)*	$ 0

9. CLASS C EXHIBITORS ONLY. (Number of animals holding now or held during the last business year, whichever is greater.)

DOGS	NONHUMAN PRIMATES	RODENTS (Do not include lab rats or mice)	
CATS	MARINE ANIMALS	WILD/EXOTIC HOOFSTOCK	
GUINEA PIGS	FARM ANIMALS	BEARS	
HAMSTERS	WILD/EXOTIC CANINES	WILD/EXOTIC MAMMALS (NOT LISTED ELSEWHERE)	
RABBITS	WILD/EXOTIC FELINES	TOTAL (ALL ANIMALS LISTED IN BLOCK 9)	

CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all the regulations and standards in 9 CFR, Subpart A, Parts 1, 2 and 3. I certify that I am 18 years of age or older.

10. SIGNATURE	11. PRINT NAME	12. SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER	13. DATE
(b)(6) & (b)(7)c	BRUCE	(b)(6), (b)(7)c	5-20-08

APHIS FORM 7003

EXPIRATION DATE: JUNE 22, 2009

USDA

United States Department of Agriculture

Marketing and Regulatory Programs

Animal and Plant Health Inspection Service

Animal Care

This is to certify that BRUCE FINLEY

is a licensed under the CLASS B DEALER

Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No. 33-B-0371

Customer No. 27339

Charles A. Gipson

Deputy Administrator

APHIS FORM 7007 (NOV 99)

Previous editions are obsolete.

Public reporting burden for this collection of information is estimated to average .25 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Agriculture, Clearance Officer, OIRM, Room 404-W, Washington, D.C. 20250, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503

MAY 1 3 2007

FORM APPROVED OMB NO. 0579-0036

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U.S DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APPLICATION FOR LICENSE

(TYPE OR PRINT)

LICENSE RENEWAL

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SEND THE COMPLETED FORM TO: 920 Main Campus Drive Suite 200, Unit 3040
Raleigh, NC 27606
Telephone: (919) 855-7101

CERTIFICATE / CUSTOMER NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVED
CERT: 33-B-0371 CUST: 27339	22-JUN-2007	[illegible]	15 May 07

1. NAME(S) OF LICENSEE(S) AND MAILING ADDRESS

Bruce Finley

18100 New Dennison Rd
Marion, IL 62959

Telephone: (618)982-2828

2. ALL BUSINESS (Site) LOCATIONS HOUSING ANIMALS; INCLUDE DIRECTIONS TO EACH LOCATION *(P.O. Box not acceptable)*

18100 New Dennison Rd
Marion, IL 62959
County: Williamson

Telephone:

3. LIST PERSONS 18 YEARS OF AGE OR OLDER AUTHORIZED TO CONDUCT BUSINESS. RESPONSIBLE OFFICIAL SIGNING BLOCK 10 SHOULD BE LISTED IN THIS BLOCK.

BRUCE FINLEY

4. (A) PREVIOUS USDA LICENSE NUMBER (If any)

–

(B) ACTIVE USDA CERTIFICATE NUMBER IN WHICH YOU HAVE AN INTEREST:

5. TYPE OF LICENSE

☐ Class A - Breeder ☒ Class B - Dealer ☐ Class C - Exhibitor

6. DATE OF LAST 12-MONTH BUSINESS YEAR (CALENDAR OR FISCAL)

FROM	TO
01-JAN-2006	31-DEC-2006

7.TYPE OF ORGANIZATION

☒ Individual ☐ Corporation ☐ Partnership

☐ Other (Specify) _____

8. DEALERS ONLY. Class A or Class B licensees must complete this Block. *(Class C Exhibitors go to Block 9)*

CLASS A (BREEDER) - LINE 'D' = 1/2 OF LINE 'C'
CLASS B (DEALER) - LINE 'D' = LINE 'C' LESS THE AMOUNT PAID FOR THE ANIMAL(S (Sections 2.6)

A. TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	— 0 —
B. TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR	— 0 —
C. TOTAL GROSS DOLLAR AMOUNT DERIVED FROM REGULATED ACTIVITIES (SALES, BOOKING FEES, COMMISSIONS, ETC.)	$ — 0 —
D. DOLLAR AMOUNT ON WHICH FEE IS BASED *(SECTIONS 2.6 AND 2.7)*	$ — 0 —

9. CLASS C EXHIBITORS ONLY. (Number of animals holding now or held during the last business year, whichever is greater.)

DOGS		NONHUMAN PRIMATES		RODENTS (Do not include lab rats or mice)	
CATS		MARINE ANIMALS		WILD/EXOTIC HOOFSTOCK	
GUINEA PIGS		FARM ANIMALS		BEARS	
HAMSTERS		WILD/EXOTIC CANINES		TIC S	
RABBITS		WILD/EXOTIC FELINES		S CK 9)	

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10. SIGNATURE	11. PRINT NAME	12. SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER	13. DATE
(b)(6) & (b)(7)c	BRUCE FINLEY	332488421	5 13 07

APHIS FORM 7003

USDA

Animal and Plant Health Inspection Servic

INSPECTION REPORT

FEB 1 3 2008

27339 cust_id
334141 insp_id
30352 site_id

BRUCE FINLEY
FINLEY FARMS

18100 NEW DENNISON RD
MARION, IL 62959

Customer ID: 27339
Certificate: 33-B-0371

Site: 001
FINLEY FARMS

Inspection
Type: ROUTINE INSPECTION
Date: JAN-23-2008

No non compliantt items identified in this inspection.

Exit interview conducted with licensee

Prepared By: ELIZABETH SERVEN, A C I , USDA, APHIS, Animal Care
Title: ANIMAL CARE INSPECTOR , Inspector ID: 1067
Date: JAN-23-2008

Received By: sent via regular mail
Title:
Date: JAN-23-2008

USDA

Animal and Plant Health Inspection Servi

INSPECTION REPORT

27339 cust_id
334022 insp_id
30352 site_id

BRUCE FINLEY
FINLEY FARMS

18100 NEW DENNISON RD
MARION, IL 62959

Customer ID: 27339
Certificate: 33-B-0371

Site: 001
FINLEY FARMS

Inspection Type: ATTEMPTED INSPECTION
Date: MAR-13-2007

2.126 **REPEAT NCI**

ACCESS AND INSPECTION OF RECORDS AND PROPERTY.

Each dealer, shall, during business hours, allow APHIS officials: to enter its place of business; to examine records required to be kept by the Act and the regulations in this part; to inspect and photograph the facilities, property and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations and the standards in this subchapter.

On March 13, 2007 from 1:00pm to 1:20pm there was no one available at the premises for an Animal welfare inspection. A message was left with the contact number provided on the license renewal form.

All inspections are unannounced and the licensee must make time for an inspection during normal business hours. An authorized person needs to be available during normal business hours to conduct an inspection with to ensure the well being of the animals.

This is the second attempted inspection, to avoid further action, someone must be available who is knowledgeable about the facility on a daily basis. This person can be contacted via phone but must be available for inspections when necessary.

Please contact me at 703-812-6652 if your hours of availability have changed.

Last inspection: December 12, 2006 attempted inspection
October 17, 2005 actual inspection

Prepared By: ELIZABETH SERVEN, A C I , USDA, APHIS, Animal Care — **Date:** MAR-13-2007
Title: ANIMAL CARE INSPECTOR , Inspector ID: 1067

Received By: sent via certified mail — **Date:** MAR-13-2007
Title: 70041350000324938342