

Pages 1 through 10 redacted for the following reasons:

-----

(b)(7)a



**USDA, APHIS, Animal Care  
ANIMAL WELFARE COMPLAINT**

Complaint No. E10-190	Date Entered 7Jun2010	Received By J.Wood
--------------------------	--------------------------	-----------------------

Referred To Geib/Wills/Smith	Reply Due 7Jul2010
---------------------------------	-----------------------

**Facility or Person Complaint Filed Against**

Name Carson & Barnes Circus	Customer/License/Registration No. 73-C-0001
--------------------------------	--

Address  
P O BOX J

City Choctaw	State OK	Zip 74743	Phone No 5803262233
-----------------	-------------	--------------	------------------------

**Complainant**

Name Deborah Robinson	Organization IDA
--------------------------	---------------------

Address

City	State	Zip	Phone No./Email address (860) 836-7761 deborahrobinson@idausa.org
------	-------	-----	---

How was complaint received? e-mail

Forward response to FOIA: Yes

No

**Details of Complaint: Yesterday, June 1, animal advocates outside the circus in Kingston, NY were informed by several departing customers that just four elephants, all adults, had performed. Today, the same advocates were outside the tent and were told, again by numerous circus-goers, that there were five elephants performing and that the baby was limping and having noticeable difficulty performing. In fact these customers left at the intermission because it was too difficult to watch the baby in such obvious pain.**

**Results:** On June 7, 2010 Karla Wills and I saw the 4:30 p.m. performance in Middletown, NY as part of the paying public attendance for Cole Bros. Circus. The elephants performed in the middle of the second half of the show. No elephants were limping. At the end of the act Val, the baby, kind of skips out of the ring. The back legs do a little kick, but it is part of the show. It is not an injury. Maybe this skipping gait was mistaken for limping?

According to the elephant manager/trainer, Tim Friscia, these five elephants are a recent addition to this circus and replaced an act with three elephants. For about the first week only three adults performed. Val and her mother did not perform until their pay was negotiated. Mr. Friscia believed that all five elephants started to perform together in Kingston, NY. The elephant act has been in the

JUN 21 2010

---

second half of the show. Val, the baby elephant would not have been seen by the audience until the second half of the show.

On June 8, 2010 we returned to complete the inspection. The elephants were in a paddock area. No one was limping. We examined Val's feet and numerous feet of the adults and observed no foot care problems.

---

Application packet provided? Yes   
No

INSPECTOR

Mary Geib

DATE

8-Jun-10

---

REVIEWED BY

Michael J. Smith VMO

DATE

9-Jun-10

JUN 21 2010



### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

Date: Jun-07-2010

No non-compliant items identified during this inspection.

This inspection was conducted on June 7, 2010 and June 8, 2010 at the Cole Bros. Circus in Middletown, NY and included the following five Asian elephants: Chang, Zola, LuLu, Wimpy, and Val. On June 7, 2010 the 4:30 p.m. performance was attended and then the animals and their enclosure were observed. The inspection and exit briefing were completed on June 8, 2010.

An exit briefing was conducted with the elephant manager; Karla Wills, ACI; and Mary Geib, VMO.

Prepared By: Mary E Geib Karla Wills  
MARY E GEIB, D V M                      USDA, APHIS, Animal Care  
Title: VETERINARY MEDICAL OFFICER                      Inspector 1021

Date:  
Jun-08-2010

Received By: (b)(6),(b)(7)c  
Title:

Date:  
Jun-08-2010



### ANIMAL WELFARE COMPLAINT

Complaint No. E10-150	Date Entered 29 April 2010	Received By T Tubia
--------------------------	-------------------------------	------------------------

Referred To Hackworth / Magid	Reply Due 30 May 2010
----------------------------------	--------------------------

#### Facility or Person Complaint Filed Against

Name Carson & Barnes Circus	Customer/License/Registration No. 3954 / 73-C-0001
--------------------------------	---

Address  
P O Box J

City Hugo	State OK	Zip 74743	Phone No 580.326.1133
--------------	-------------	--------------	--------------------------

#### Complainant

Name RaeLeann Smith / Deborah Robinson	Organization PETA / IDA
---	----------------------------

Address  
501 Front Street / 3010 Kerner BLVD

City Norfolk / San Rafael	State VA / CA	Zip 23510 / 94901	Phone No./Email address NA / 415.388.9641
------------------------------	------------------	----------------------	--

How was complaint received? Fax / Fax

Forward response to FOIA: Yes  No

**Details of Complaint: An elephant, Viola, escaped the circus after being spooked by a rabbit and was possibly hurt. She may have injured a shoulder and broke a nail when she tripped and fell into a ravine.**

**Results:** See memo

Application packet provided? Yes  No

INSPECTOR  
Doris Hackworth, DVM

DATE  
3-May-10

REVIEWED BY  
Ellen J. Magid, DVM

DATE  
5-May-10

MAY 24 2010

Pages 15 through 17 redacted for the following reasons:

-----

(b)(7)a



### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

Date: May-04-2010

This inspection was conducted in Hagerstown, MD. The incident involving "Viola" in Lynchburg, VA is under review.

Prepared By:

*Gloria S MCFadden*

GLORIA S MCFADDEN, D V M USDA, APHIS, Animal Care

Date:

Title:

Inspector 1048

May-04-2010

Received By:

(b)(6),(b)(7)c

Date:

Title:

May-04-2010

MAY 14 2010

MAY 24 2010



## Inspection Report

---

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

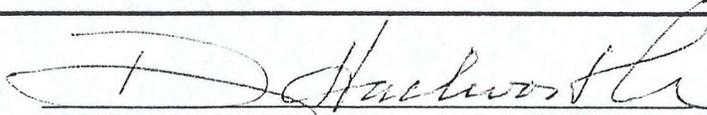
Date: Apr-28-2010

---

The incident involving Viola the elephant which occurred on April 27, 2010 in Lynchburg, VA is under review.

---

Prepared By:

  
DORIS T HACKWORTH, D V M    USDA, APHIS, Animal Care

Title:        VETERINARY MEDICAL OFFICER Inspector 2029

Date:

May-03-2010

Received By:

CERTIFIED MAIL

Title:        70001530000592825991

Date:

May-03-2010

April 29, 2010

Dr. Elizabeth Goldentyer  
USDA-APHIS-AC, Eastern Region  
920 Main Campus Dr., Ste. 200  
Raleigh, NC 27606-5210

Via e-mail: [betty.j.goldentyer@aphis.usda.gov](mailto:betty.j.goldentyer@aphis.usda.gov)

Dear Dr. Goldentyer:

Please consider this a request for the USDA to immediately investigate an incident that occurred on April 27, 2010, involving an elephant named Viola who was performing with Cole Bros. Circus in Lynchburg, Va., and is believed to be leased from Carson & Barnes Circus (#73-C-0001.)

An article in *The News & Advance* stated that Viola "broke away from her handlers and ended up a few hundred feet from her designated area" and that Viola "ran directly past a line of spectators waiting to buy tickets, sending some running toward the parking lot." Viola was loose for at least 30 minutes. The same article mentioned that "Viola appeared to have mildly injured herself when she stepped in a hole while loping down an embankment." A news report from WSET TV stated that Viola "bolted and tripped on the uneven ground, falling into a ditch. They say her shoulder was injured and she broke a toe nail." A DVD will be mailed of the WSET TV footage, which shows that, in fact, Viola fell into a steep ravine.

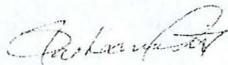
Please inspect the condition of Viola and ensure that she is receiving adequate veterinary care for her injuries and that she was not harmed by circus staff following this incident. We have received reports that it is standard practice in the circus industry to re-establish dominance by chaining and beating an elephant who has been involved in a dangerous incident. We are especially concerned about this possibility since Viola is in the care of the Frisco family. As you know, PETA captured video footage of Tim Frisco—who still handles elephants for the Carson & Barnes Circus—beating and shocking elephants behind the scenes.

In addition, we ask the USDA to thoroughly investigate this incident as well as the handling practices and safety protocol of the elephant exhibitor and—if the agency determines that the Animal Welfare Act was violated—to permanently revoke the exhibitor's license.

Finally, Viola is used to perform tricks at circuses and may even be used to give rides to the public. She clearly poses a risk to public safety and should be promptly taken off the road.

Thank you for your attention to this matter. Please advise me of the USDA's actions at 757-943-7270 or [RaeLeannS@peta.org](mailto:RaeLeannS@peta.org).

Sincerely,



RaeLeann Smith  
Circus & Government Affairs Specialist

Attachments: *News & Advance* article and WSET TV report, 27 April 2010

Enclosure via USPS: DVD of WSET TV Footage



**PETA**

PEOPLE FOR THE ETHICAL  
TREATMENT OF ANIMALS

501 FRONT ST.  
NORFOLK, VA 23510  
757-622-PETA  
757-622-0457 (FAX)

PETA.org  
[Info@peta.org](mailto:Info@peta.org)

MAY 24 2010

AN INTERNATIONAL  
ORGANIZATION DEDICATED  
TO PROTECTING  
THE RIGHTS OF ALL ANIMALS

MAY 03 2010

[http://www2.newsadvance.com/lna/news/local/article/circus\\_elephant\\_captured\\_after\\_escape/26509/](http://www2.newsadvance.com/lna/news/local/article/circus_elephant_captured_after_escape/26509/)

Circus elephant captured after escape

Staff reports

Published: April 27, 2010

Jason Snyder/The News & Advance

Disney's classic movie "Dumbo" drove home the idea that elephants are afraid of mice. If Viola, a female elephant performing with Cole Brothers Circus, speaks for the majority, they're also afraid of rabbits.

The elephant, who had already performed at Presbyterian Homes and Family Services on Tuesday evening, briefly broke away from her handlers and ended up a few hundred feet from her designated area after a wild rabbit jumped toward her, officials with the circus said.

"She got disoriented and didn't know which way to go," said Mark Morrison, vice president of development for Presbyterian Homes.

The elephant ran directly past a line of spectators waiting to buy tickets, sending some running toward the parking lot. Handlers and circus workers chased after the elephant.

Morrison said she broke from the group at about 6:30 p.m., and handlers had her back with the other two elephants about 30 minutes later.

He said few people were in the area at the time, as the show was still going on inside the tent.

No circus goers were in danger at any point during the incident, which lasted about 30 minutes, circus officials said.

City Councilman Michael Gillette, ward representative for this area, said he understood the incident was short-lived and presented no threat to the community.

He said he did not regret voting for the permit that allowed the circus to come to Presbyterian Homes. Some Peakland neighbors had asked the city not to grant the circus a permit to perform in that area.

"We certainly hoped this wouldn't happen and we hope it won't happen again, but I'm happy that at no time was anyone in any danger," Gillette said.

Elvin Bale, vice president of operations for the circus, said Viola had probably played her last act of the night.

"I think they're probably going to give her the night off, because she looked a little stiff to me," he said.

Officials said Viola appeared to have mildly injured herself when she stepped in a hole while loping down an embankment.

MAY 24 2010

Handlers were initially concerned that the elephant might be injured, but eventually determined she was well enough to walk back to her tent under her own power, Bale said.

This was the first time Presbyterian Homes had hosted the circus.

"We're just glad she's fine and we're glad nobody was hurt," he said.

Alicia Petska and Jason Snyder contributed.

MAY 23 2010

MAY 24 2010

<http://www.wset.com/news/stories/0410/730267.html>

Lynchburg, VA - **Updated: 11:00 p.m. Tuesday**

The Cole Brothers Circus in Lynchburg had a bit of a scare Tuesday evening. Just before 7:00 p.m., one of their elephants bolted.

The circus's lead elephant Viola fell into a ditch and hurt herself. She was back in her trailer about 20 minutes later, but the the whole ordeal caused quite a commotion.

It was all caused by a rabbit.

"What happened was she got spooked which can happen. She saw a rabbit jumped out in front of her. She turned around. She wanted to run home. We're in a whole different area and a different setup today. So she got confused and went the wrong way," Cole Bros. Vice President of Operations Elvin Bale said.

Viola ran away from the trailer she was being led to and tumbled downhill.

"She slid in the mud and when she went to get up, she couldn't," Bale said.

That had people concerned.

Kristen Bushing lives nearby. She heard about the commotion and came to check it out.

"We saw the circus last night, and they were so well trained, so I wasn't too worried," Bushing said.

And there was no need.

Minutes later, Viola, who's 33-years-old did get up- sore, a little stiff, and with her pride a little wounded.

"She wasn't running fast. She just got spooked and you know it's easy to slip and fall around here," Ringmaster Chris Connors said.

"She actually broke a toenail, and you ladies know how that is," Bale said.

Two other elephants were following Viola. They were not spooked and just stood where they were. We're told Viola will be performing again soon.

**Updated: 7:00 p.m. Tuesday**

The elephant that was on the loose near Presbyterian Home in Lynchburg has been captured. Around 7:00 p.m. Tuesday night, the elephant got loose from the Cole Brothers Circus.

Circus officials say they were walking the lead elephant, Viola, from the main circus tent to her trailer, when a rabbit spooked her. She bolted and tripped on the uneven ground, falling into a ditch.

MAY 24 2010

They say her shoulder was injured and she broke a toe nail.

She wandered about 200 yards from the circus area. Trainers eventually calmed her down enough to bring her back to the circus area. The whole ordeal lasted about 15-20 minutes.

MAY 24 2010

Pages 25 through 29 redacted for the following reasons:

-----

(b)(7)a



29 April 2010

United States  
Department of  
Agriculture

RaeLeann Smith  
PETA  
501 Front Street  
Norfolk, VA 23510

Marketing and  
Regulatory  
Programs

Dear Mrs. Smith:

Animal and Plant  
Health Inspection  
Services

Thank you for your fax dated 29 April 2010 concerning Carson & Barnes Circus. Your concern has been given complaint number E10-150. Please refer to this number when inquiring about this concern.

Animal Care

Please be assured that we will evaluate the situation and take appropriate enforcement action if necessary.

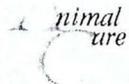
920 Main Campus Drive  
Suite 200  
Raleigh, NC 27606

Thank you for bringing this to our attention and for your concern for the welfare of animals.

Tel No. 919-855-7100  
Fax No. 919-855-7123

Sincerely,

Elizabeth Goldentyer, D.V.M.  
Regional Director  
Eastern Region, Animal Care



Animal Care is a part of the Department of Agriculture's Animal and Plant Health Inspection Service.

An Equal Opportunity Provider and Employer

MAY 24 2010

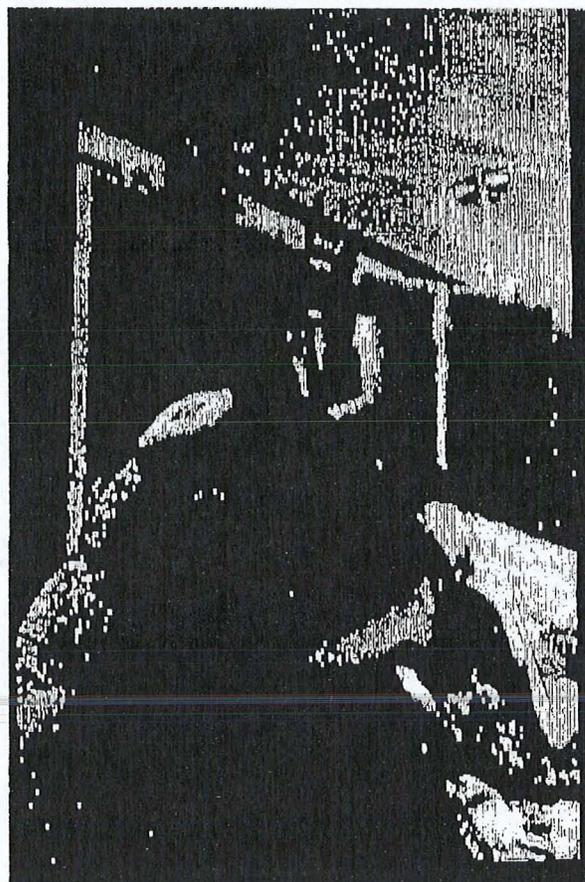
Pages 31 through 54 redacted for the following reasons:

-----

(b)(7)a



20



Please

to stop the

- [Quick Senate Contact List](#)
- [Quick House Member Contact List](#)
- [Quick Agriculture Committee Member List](#)

... retained you ... against Hawthorne ... where ... lost the elephant is due to his horrible treatment by him!

Hawthorne Company after John Unice, leader ... **Shrine Circuses, Walker Bros Circus, Jordan World Circus, Circus Vargas, Royal Palace Circus, George Carden Circus, Hanneford Circus, Hamid Circus, Alain Zerbini, and Tarzan Zerbini.**

The first EWV you may have called one of John Unice's elephants, Della, see what they say about Hawthorne. The hearing is in March in Washington, DC.

Read the **USDA CHARGES!**



**HOT NEWS:**

MAY 24 2010

Pages 57 through 63 redacted for the following reasons:

-----

(b)(7)a



### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

Date: Jun-07-2010

No non-compliant items identified during this inspection.

This inspection was conducted on June 7, 2010 and June 8, 2010 at the Cole Bros. Circus in Middletown, NY and included the following five Asian elephants: Chang, Zola, LuLu, Wimpy, and Val. On June 7, 2010 the 4:30 p.m. performance was attended and then the animals and their enclosure were observed. The inspection and exit briefing were completed on June 8, 2010.

An exit briefing was conducted with the elephant manager; Karla Wills, ACI; and Mary Geib, VMO.

Prepared By:

*Mary Geib* *Karla Wills*  
MARY E GEIB, D V M      USDA, APHIS, Animal Care

Date:

Jun-08-2010

Title:

Director 1021

Received By:

(b)(6),(b)(7)c

Date:

Jun-08-2010

Title:



## Inspection Report

CARSON & BARNES CIRCUS

Customer ID: **3954**

Certificate: **73-C-0001**

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

Date: May-04-2010

This inspection was conducted in Hagerstown, MD. The incident involving "Viola" in Lynchburg, VA is under review.

Prepared By:

*Gloria S. MCFadden*

GLORIA S MCFADDEN, D V M USDA, APHIS, Animal Care

Title:

Inspector 1048

Date:

May-04-2010

Received By:

(b)(6),(b)(7)c

Title:

Date:

May-04-2010

MAY 14 2010

MAY 24 2010



## Inspection Report

---

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

HUGO, OK 74743

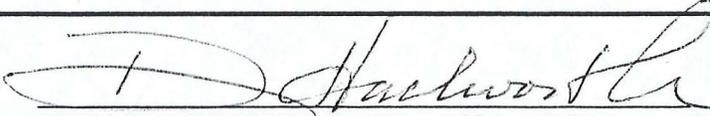
Date: Apr-28-2010

---

The incident involving Viola the elephant which occurred on April 27, 2010 in Lynchburg, VA is under review.

---

Prepared By:

  
DORIS T HACKWORTH, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 2029

May-03-2010

Received By:

CERTIFIED MAIL

Date:

Title: 70001530000592825991

May-03-2010

MAY 05 2010

MAY 24 2010

U.S. Postal Service  
**CERTIFIED MAIL RECEIPT**  
 (Domestic Mail Only. No Insurance Coverage Provided)

OFFICIAL USE

7000 1530 0005 9282 5991

Postage	\$ .44	Postmark Here  5-3-10
Certified Fee	2.80	
Return Receipt Fee (Endorsement Required)	2.30	
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$ 5.54	

Sent To  
 Carson & Barnes  
 Street, Apt. No., or PO Box No.  
 PO Box J  
 City, State, ZIP+ 4  
 Hugo, OK 74743

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:  
 Carson & Barnes  
 PO Box J  
 Hugo, OK 74743  
 73-C-0001

**COMPLETE THIS SECTION ON DELIVERY**

A. Received by (Please Print Clearly) B. Date of Delivery  
 (b)(6),(b)(7)c -10  
 gent  
 address  
 es  
 lo

3. Service Type  
 Certified Mail  Express Mail  
 Registered  Return Receipt for Merchandise  
 Insured Mail  C.O.D.  
 4. Restricted Delivery? (Extra Fee)  Yes

2. Article Number (Copy from service label)  
 70001530000592825991 MAY 17 2010



MAR 01 2010

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Feb-06-2010

HUGO, OK 74743

\*\*\*At the time of inspection there were no noncompliance items noted.

Items inspected: acquisition records, record of animals on hand, elephant holding areas, 3 FEMALE elephants

TRA inspection done in Macon, GA

Prepared By:

CHRISTOPHER E NICHOLS USDA, APHIS, Animal Care

Date:

Title:

VETERINARY MEDICAL OFFICER/Inspector 6007

Feb-06-2010

Received By:

(b)(6),(b)(7)c

Date:

Title:

CO-OWNER/OPERATOR

Feb-06-2010



FEB 08 2010

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Jan-30-2010

HUGO, OK 74743

NO NONCOMPLIANCES NOTED ON THIS INSPECTION.

REVIEWED PROGRAM OF VETERINARY CARE.

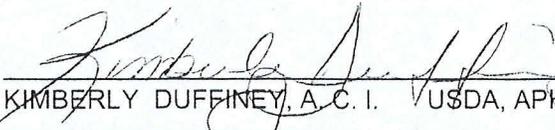
LAST TB TEST NOVEMBER 2009.

3 ASIAN ELEPHANTS: SUZY - 58 YRS, MINNI - 62 YRS, BUNNY - 42 YRS.

THIS INSPECTION WAS CONDUCTED AT THE LEON COUNTY CIVIC CENTER, TALLAHASSEE, FLORIDA.

END OF REPORT.

Prepared By:

  
KIMBERLY DUFFINEY, A.C.I. USDA, APHIS, Animal Care

Date:

Jan-30-2010

Title:

Inspector 1082

Received By:



(b)(6),(b)(7)c

Date:

Jan-30-2010

Title:



DEC 11 2009

United States Department of Agriculture  
Animal and Plant Health Inspection Service

328091246110394 RBOTELHO  
insp\_id

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Nov-23-2009

HUGO, OK 74743

Animals Inspected: 3 Asian Elephants - Viola, Nina and Libby.

NO NONCOMPLIANCE'S IDENTIFIED DURING THIS INSPECTION.

This inspection and exit interview was conducted with the trainer/handler at the Cole Bros, Circus, off Pine Island Road, in Cape Coral, Florida.

Prepared By:

*Richard J Botelho Jr*  
RICHARD BOTELHO, JR, A C I    USDA, APHIS, Animal Care

Date:  
Nov-24-2009

Title:

Inspector 2030

Received By:

(b)(6),(b)(7)c

Date:  
Nov-24-2009

Title:



RECEIVED  
JUL 21 2009  
BY: SNUSBAUM  
195091648200580 insp\_id

## Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

P O BOX J

Site: TRA

CARSON & BARNES CIRCUS

Type: ROUTINE INSPECTION

HUGO, OK 74743

Date: Jul-14-2009

No noncompliances were noted at the time of this inspection.

This inspection was conducted in Sharon Springs, NY

Prepared By:



STEVEN NUSBAUM, D V M USDA, APHIS, Animal Care

Date:

Title:

VETERINARY MEDICAL OFFICER Inspector 1068

Jul-14-2009

Received By:

(b)(6),(b)(7)c

Date:

Title:

Jul-14-2009

JUL 27 2009



JUL 15 2009

JLOPINTO  
insp\_id

189091402230258

BY: \_\_\_\_\_

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Jul-08-2009

HUGO, OK 74743

Inspection shows all standards in compliance 7/09

Inspection took place at Coney Island New York

3 elephants

Prepared By:

JOHN LOPINTO, D V M      USDA, APHIS, Animal Care

Title: VETERINARY MEDICAL OFFICER Inspector 1008

Date:

Jul-08-2009

Received By:

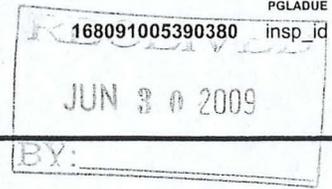
(b)(6),(b)(7)c

Title:

Date:

Jul-08-2009

JUL 21 2009



### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Jun-17-2009

HUGO, OK 74743

There were no non-compliant items identified during the inspection. Licensee was in compliance with AWA Regulations and Standards at the time of the inspection.

NOTE - This was a focused inspection of the Elephants that was conducted at Jillson Square located in Willimantic, CT on 6/17/09. The names of the Asian Elephants were Libby, Viola, and Nina.

Prepared By: *Paula S Gladue*  
 Title: PAULA S GLADUE, V M D USDA, APHIS, Animal Care Date: Jun-17-2009

Received By: (b)(6),(b)(7)c  
 Title: (b)(6),(b)(7)c Date: JUN 17 2009



JUN 12 2009

United States Department of Agriculture  
Animal and Plant Health Inspection Service

134091454210798 KWILLS insp\_id

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

P O BOX J

Site: TRA

CARSON & BARNES CIRCUS

HUGO, OK 74743

Type: ROUTINE INSPECTION

Date: May-14-2009

NO NON-COMPLIANT ITEMS IDENTIFIED DURING THIS INSPECTION.

Animal Inventory: 4 elephants (Zola, Lulu, Chang, and Val)

This inspection was conducted at the Mennen Sports Arena in Morristown, NJ.

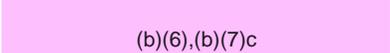
MAY 22 2009

Prepared By: *Karla Willis* *Andie Wilkins*  
KARLA WILLIS, A C I      USDA, APHIS, Animal Care

Title: 

Inspector 1014

Date: May-14-2009

Received By:  (b)(6),(b)(7)c

Title: 

Date: May-14-2009

JUN 22 2009



RECEIVED  
G MCFADDEN  
114091224300239 insp\_id  
APR 30 2009  
BY:

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: **3954**

P O BOX J

Certificate: **73-C-0001**

Site: TRA

CARSON & BARNES CIRCUS

HUGO, OK 74743

Type: ROUTINE INSPECTION

Date: Apr-24-2009

NO NON-COMPLIANT ITEMS IDENTIFIED THIS INSPECTION.

This inspection was conducted under the Cole Bros. Circus at the Gaithersburg Fairgrounds in Gaithersburg, MD..  
Elephants: Libby, Viola, Nina

Prepared By:

*Gloria S. MCFadden*

GLORIA S MCFADDEN, D V M USDA, APHIS, Animal Care

Title:

VETERINARY MEDICAL OFFICER Inspector 1048

Date:

Apr-24-2009

Received By:

(b)(6),(b)(7)c

Date:

Apr-24-2009

Title:



United States Department of Agriculture  
Animal and Plant Health Inspection Service

### INSPECTION REPORT

Customer #: 3954  
Certificate #: 73-C-0001

Site #: TRA

---

CARSON & BARNES

Site Name: TRA  
OMAHA CIVIC CENTER

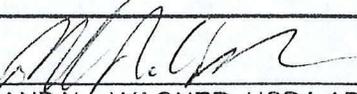
P. O. BOX J  
HUGO, OK, 74743

INSPECTION  
Type: ROUTINE  
Date: (27-FEB-2009)

### NARRATIVE

No non-compliant item(s) identified this inspection conducted at the Civic Center, Omaha, Ne.

---

Prepared by:  Date: 27-FEB-2009  
RANDALL WAGNER, USDA APHIS, ANIMAL CARE  
Title: ANIMAL CARE INSPECTOR Inspector ID: 4038

Received by:  Date: 27-FEB-2009  
Title: EM

Page 77 redacted for the following reason:

-----

(b)(7)a



United States Department of Agriculture  
Animal and Plant Health Inspection Service

cust\_id

insp\_id

site\_id

**INSPECTION REPORT**

**CARSON & BARNES CIRCUS**

P O BOX J

HUGO, OK 74743

Customer ID: 3954  
Certificate: 73-C-0001

Site: 001

**Inspection**

**Type:** Routine

**Date:** 2 February 2009

THIS INSPECTION AND EXIT INTERVIEW CONDUCTED BY CATHY NIEBRUEGGE, ACI AND OWNER OF FACILITY.

ALL ITEMS IN COMPLIANCE THIS INSPECTION.

LAST INSPECTION CONDUCTED ON 02-08

Prepared By: Cathy Niebruegge ACI

Date: 2-FEB-09

Title:

CNIEBRUEGGE, USDA, APHIS, Animal Care  
ACI Inspector ID: 4031

Received By: \_\_\_\_\_

Date: 2-FEB-09

Title:

(b)(6),(b)(7)c



Page 79 redacted for the following reason:

-----

(b)(7)a



RECEIVED

SEP 03 2009

United States Department of Agriculture  
Animal and Plant Health Inspection Service

246091037400766 JLOPINTO  
insp\_id

BY \_\_\_\_\_

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

Date: Sep-03-2009

HUGO, OK 74743

Inspection of this licensee took place at Coney Island NY. Inspection shows all standards in compliance 9/09

SEP 21 2009

Prepared By: John Lopinto Michael Dint  
JOHN LOPINTO, D V M      USDA, APHIS, Animal Care

Title: \_\_\_\_\_ FICER Inspector 1008

Date:  
Sep-03-2009

Received By: \_\_\_\_\_  
(b)(6),(b)(7)c

Title: \_\_\_\_\_

Date:  
Sep-03-2009



RECEIVED  
OCT 06 2009

BY: \_\_\_\_\_

### Inspection Report

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J

Type: ROUTINE INSPECTION

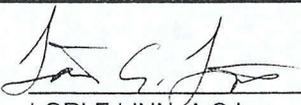
Date: Sep-03-2009

HUGO, OK 74743

There were no items of non-compliance observed this inspection.

Inspection and exit interview conducted with staff and Lori Linn, ACI.

Inspection of animals and records at performance in North Liberty, IN.

Prepared By: 

LORI E LINN, A C I

USDA, APHIS, Animal Care

Date:

Title: ANIMAL CARE INSPECTOR

Inspector 1080

Sep-03-2009

Received By: 

(b)(6),(b)(7)c

Date:

Title:

Sep-03-2009

OCT 19 2009

Page 82 redacted for the following reason:

-----

(b)(7)a



United States Department of Agriculture  
Animal and Plant Health Inspection Service

JAN 26 2009

cust\_id

insp\_id

site\_id

**INSPECTION REPORT**

**CARSON & BARNES CIRCUS**

Customer ID: 3954  
Certificate: 73-C-0001

**PO BOX J  
HUGO, OK 74743**

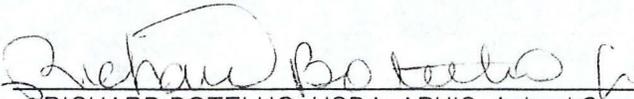
Site: TRA  
CARSON & BARNES CIRCUS  
Inspection

**Type:** Routine  
**Date:** 15 January 2009

Animals inspected: Three Asian elephants Minnie, Bunny and Suzy.

NO NONCOMPLIANT ITEMS IDENTIFIED DURING THIS INSPECTION.

This inspection and exit interview was conducted at the Teco Arena in Estero, Florida with Jason Gibson, Ringling Production Manager, Dr. Ellen Weidner DVM, Ringling and handler.

Prepared By:   
RICHARD BOTELHO, USDA, APHIS, Animal Care

Date: 15-JAN-09

Title:

Received By: 

Date: 15-JAN-09

Title:



United States Department of Agriculture  
Animal and Plant Health Inspection Service

cust\_id

insp\_id

site\_id

**INSPECTION REPORT**

**CARSON AND BARNES CIRCUS**  
PO BOX J

**HUGO, OK 74743**

**Customer ID:** 3954  
**Certificate:** 73-C-0001

**Site:** TRA  
AVIATION BLVD, VERO BEACH, FL

**Inspection**

**Type:** Routine

**Date:** 5 November 2008

No noncompliant items were identified on this inspection. This inspection was conducted on November 4, 2008 and the exit interview was conducted on November 5, 2008. This act was operating under Cole Brothers Circus of the Stars in Vero Beach, FL from Monday November 3, 2008 through Wednesday November 5, 2008.

Inventory of animals: 3 female Asian elephants. "Viola" born in 1969 "Libby" born in 1967 "Nina" born in 1962.

End of report.

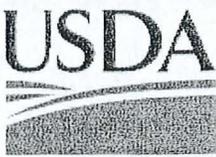
NOV 17 2008

**Prepared By:** \_\_\_\_\_  
MEGAN ADAMS, USDA, APHIS, Animal Care  
**Title:** ACI Inspector ID: 1027

**Date:** 5-NOV-08

**Received By:** \_\_\_\_\_  
**Title:** (b)(6),(b)(7)c

**Date:** 5-NOV-08



**INSPECTION REPORT**

SEP 24 2008

3954 cust\_id  
380009 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J  
HUGO, OK 74743

Inspection

Type: ROUTINE INSPECTION

Date: SEP-24-2008

No noncompliant items noted at the time of inspection.

Inspection conducted at the Eastern States Fair.

Prepared By:

*Tony Hadjis*  
TONYA HADJIS, V.M.O., USDA, APHIS, Animal Care

Title:

ID: 1081

Received By:

(b)(6),(b)(7)c

Title:

Date:

SEP-24-2008

Date:

SEP-24-2008

OCT - 6 2008



**INSPECTION REPORT**

SEP 11 2008

3954 cust\_id  
345153 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

Customer ID: 3954  
Certificate: 73-C-0001

P O BOX J  
HUGO, OK 74743

Site: TRA  
CARSON & BARNES CIRCUS

Inspection  
Type: ROUTINE INSPECTION  
Date: SEP-05-2008

ALL ITEMS ARE IN COMPLIANCE AT THIS INSPECTION.

This inspection was conducted at the MS Fairgrounds--Jackson Coliseum as part of the Ringling Bros-Barnum Bailey Circus.

The Asian elephants are Minnie(60 yrs), Bunny(40 yrs) and Suzy(56 yrs).

This inspection and exit interview was conducted with Dr Tami Howard, the Circus General Manager and the handler.

Prepared By:

*Tami Howard DVM, VMD*

TAMI HOWARD, D V M , USDA, APHIS, Animal Care

Title:

Inspector ID: 1065

Date:

SEP-05-2008

Received By:

(b)(6),(b)(7)c

Date:

SEP-05-2008

Title:

SEP 22 2008



3954 cust\_id  
289349 insp\_id  
45246 site\_id

**INSPECTION REPORT**

**CARSON & BARNES CIRCUS**

**Customer ID:** 3954

**Certificate:** 73-C-0001

**Site:** TRA

CARSON & BARNES CIRCUS

P O BOX J  
HUGO, OK 74743

**Inspection**

**Type:** ROUTINE INSPECTION

**Date:** JUL-28-2008

No non-compliances identified at the time of this inspection.

This inspection was conducted in Bismarck, ND.

**Prepared By:** \_\_\_\_\_

AMY JIRSA-SMITH, A.C.I., USDA, APHIS, Animal Care

**Title:** ANIMAL CARE INSPECTOR, Inspector ID: 5046

**Date:**

JUL-30-2008

**Received By:** \_\_\_\_\_

Sent by Email

**Title:**

**Date:**

JUL-30-2008

Page 88 redacted for the following reason:

-----

(b)(7)a



**INSPECTION REPORT**

JUN 02 2008 3954 cust\_id  
204886 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J  
HUGO, OK 74743

Inspection

Type: ROUTINE INSPECTION

Date: MAY-22-2008

NO NON-COMPLIANT ITEMS IDENTIFIED THIS INSPECTION.

This inspection was conducted at the Charles County Fairgrounds in LaPlata, MD.  
Elephants(3) - ISA and ILA, Obert; Camels (2); Pygmy hippo(1); Goats(9); Zebra(1); Zebu(1)

Prepared By: *Gloria A. MCFadden*  
GLORIA MCFADDEN, D V M , USDA, APHIS, Animal Care

Title:

Date:

MAY-22-2008

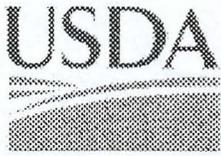
Received By:

(b)(6),(b)(7)c

Date:

MAY-22-2008

Title:



**INSPECTION REPORT**

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

Inspection

Type: ROUTINE INSPECTION

Date: MAR-25-2008

P O BOX J  
HUGO, OK 74743

THIS INSPECTION AND EXIT INTERVIEW CONDUCTED BY CATHY NIEBRUEGGE, ACI AND OWNER OF CIRCUS.

THIS INSPECTION WAS CONDUCTED AT THE ATOKA COUNTY FAIR GROUNDS IN ATOKA, OK.

ALL ITEMS IN COMPLIANCE THIS INSPECTION.

LAST INSPECTION CONDUCTED ON 02-08 AT THE WINTER QUARTERS IN HUGO, OK

Prepared By:

*Cathy Niebrugge, ACI*  
CATHY NIEBRUEGGE ACI, USDA, APHIS, Animal Care

APR 17 2008

Title:

Date:

MAR-25-2008

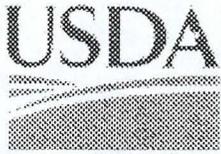
Received By:

(b)(6),(b)(7)c

Date:

MAR-25-2008

Title:



3954 cust\_id  
359064 insp\_id  
2556 site\_id

**INSPECTION REPORT**

CARSON & BARNES CIRCUS

Customer ID: 3954

Certificate: 73-C-0001

P O BOX J  
HUGO, OK 74743

Site: 001

CARSON & BARNES CIRCUS

Inspection

Type: ROUTINE INSPECTION

Date: FEB-25-2008

THIS INSPECTION AND EXIT INTERVIEW CONDUCTED BY CATHY NIEBRUEGGE, ACI AND OWNER OF FACILITY.

ALL ITEMS IN COMPLIANCE THIS INSPECTION.

LAST INSPECTION CONDUCTED ON 03-07

Prepared By:

*Cathy Niebruegge, ACI*  
CATHY NIEBRUEGGE A C I, USDA, APHIS, Animal Care

Title:

Date:

FEB-25-2008

MAR 10 2008

Received By:

(b)(6),(b)(7)c

Date:

FEB-25-2008



United States Department of Agriculture  
Animal and Plant Health Inspection Service

HICKS

**INSPECTION REPORT**

3954 cust\_id  
320148 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

Inspection

Type: ROUTINE INSPECTION

Date: SEP-27-2007

P O BOX J  
HUGO, OK 74743

This inspection was conducted at 2:45 pm at the VFW parking lot in Lebanon, MO.

Inventory: 1 Pigmy Hippo, 12 Pigmy Goats, 1 Zebra, 1 Alpaca, 3 Llama, 2 Dromedary Camel, 4 Horses, 12 Shetland, 1 miniature horse, 1 Zebu, 1 Burro, and 1 Sicilian Donkey.

No noncompliant items during this inspection.

\*\*\*In the camel pen, the camel had spilled the water container which was immediately replaced.

\*\*\*Health Certificates expire on this date, owner has a veterinarian enroute to this site for issuance of new Health Certificates.

THIS IS A CORRECTED REPORT, correcting the animal inventory of animals inspected on the previous report, that was conducted on September 27, 2007.

This was a FOCUS inspection in which the elephants have been removed from inventory.

Prepared By:

BEVERLY HICKS, A.C.I. , USDA, APHIS, Animal Care

Title: ANIMAL CARE INSPECTOR , Inspector ID: 5051

Received By:

Sent Certified Mail

Title: 7007 0710 0003 2821 7601

Date:

OCT-04-2007

Date:

OCT-04-2007

73C0001

7007 0710 0003 2821 7601

U.S. Postal Service  
**CERTIFIED MAIL RECEIPT**  
 (Domestic Mail Only. No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

HUGO OK 74743

Postage	\$ 40.41	0711 3954
Certified Fee	\$ 2.65	106 320148
Return Receipt Fee (Endorsement Required)	\$ 2.15	SITE ID 45246
Restricted Delivery Fee (Endorsement Required)	\$ 0.00	
Total Postage & Fees	\$ 45.21	

Official Use

LEBANON MO 64501  
 OCT 15 2007

Sent To: CARSON & BARNES  
 Street, Apt. No. or PO Box No.: PO Box J  
 City, State, ZIP+4: HUGO, OK 74743

PS Form 3811, August 2005 See Reverse for Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to: 73C0001  
 CARSON & BARNES Circus  
 PO Box J OCT 22 2007  
 HUGO, OK 74743

2. Article Number (Transfer from service label) 7007 0710 0003 2821 7601

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature (b)(6),(b)(7)c  
 Agent  
 Addressee  
 C. Date of Delivery 10/17/07

D. Is delivery address different from item 1?  Yes  
 If YES, enter delivery address below:  No

3. Service Type  
 Certified Mail  Express Mail  
 Registered  Return Receipt for Merchandise  
 Insured Mail  C.O.D.

4. Restricted Delivery? (Extra Fee)  Yes



**INSPECTION REPORT**

**CARSON & BARNES CIRCUS**

Customer ID: 3954  
Certificate: 73-C-0001

P O BOX J  
HUGO, OK 74743

Site: TRA  
CARSON & BARNES CIRCUS

Inspection  
Type: ROUTINE INSPECTION  
Date: SEP-27-2007

This inspection was conducted at 2:45 pm at the VFW parking lot in Lebanon, MO.

Inventory: 3 adult Asian Elephants (Lulu, Chang, & Zola) 1 juvenile Asian Elephant (Obert),  
1 Pigmy Hippo, 12 Pigmy Goats, 1 Zebra, 1 Alpaca, 3 LLama, 2 Dromedary Camel,  
4 Horses, 12 Shetland, 1 miniature horse, 1 Zebu, 1 Burro, and 1 Sicilian Donkey.

No noncompliant items during this inspection.

\*\*\*In the camel pen, the camel had spilled the water container which was immediately replaced.

\*\*\*Health Certificates expire on this date, owner has a veterinarian enroute to this site for issuance of new Health Certificates.

001 - 5 200

Prepared By: Beverly Hicks  
BEVERLY HICKS, A.C.I., USDA, APHIS, Animal Care

Date:  
SEP-27-2007

Title: (b)(6),(b)(7)c

Received By: Kristin Byrd

Date:  
SEP-27-2007

Title: OWNER



**INSPECTION REPORT**

RECEIVED  
SEP 11 2007  
BY:

3954 cust\_id  
238465 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

Customer ID: 3954

Certificate: 73-C-0001

Site: TRA

CARSON & BARNES CIRCUS

P O BOX J  
HUGO, OK 74743

Inspection  
Type: ROUTINE INSPECTION  
Date: AUG-30-2007

**2.40 ( b )( 2 ) REPEAT NCI**

**ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE (DEALERS AND EXHIBITORS).**

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries.

1) Results of TB testing on two of the three elephants inspected are invalid.

On both "Bunny" and "Minnie", two of the three trunk wash samples collected for culture during the period beginning 11/28/06 and ending 11/30/06 were found to be contaminated.

Because of the contamination, proper testing could not be performed.

In accordance with the guidelines for the control of TB in elephants, there should be three adequate trunk wash samples that can be tested by culture for TB.

The Exhibitor shall ensure that annual TB tests are valid for all elephants as part of an adequate program of veterinary care.

**2.131 ( c )( 1 )**

**HANDLING OF ANIMALS.**

(c)(1) During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

1) As this inspector first approached the site where the three elephants were located he noted that there was a gap between the barrier fence and the conveyance vehicle to which the fence was intended to connect. At the time, no attendant was present. This inspector left the area and returned about five minutes later. At that time an attendant was present and the gap in the fence had been closed. The gap appeared to serve as a gate and had probably been used as such when the attendant left the area. However, during the time that the elephants were unattended it would have been very possible for a member of the public to enter the area and endanger both him or her/self and /or the animals.

Two women with children were later noted to be in the same area a short time later.

This noncompliance was corrected immediately.

This inspection was conducted at the fairground in Schaghticoke, NY.

ANIMAL INVENTORY: 3 elephants

Prepared By:

*Steven Nusbaum*  
STEVEN NUSBAUM, D V M , USDA, APHIS, Animal Care

Title:

Received By:

Title:

(b)(6),(b)(7)c

Date:

AUG-30-2007

Date:

AUG-30-2007

SEP 20 2007



**INSPECTION REPORT**

RECEIVED  
SEP 20 2007  
BY: \_\_\_\_\_

3954 cust\_id  
322137 insp\_id  
45246 site\_id

**CARSON & BARNES CIRCUS**

**Customer ID: 3954**

**Certificate: 73-C-0001**

**Site: TRA**

**CARSON & BARNES CIRCUS**

P O BOX J  
HUGO, OK 74743

**Inspection**

**Type: ROUTINE INSPECTION**

**Date: AUG-29-2007**

No noncompliant items were identified during this inspection.

Note: This was a focused inspection on the condition of the animals. Four Asian elephants, (three adult females, Lulu, Chang, and Zola, and one juvenile male, Obert) were present during the time of inspection.

Chad Moore ACI, USDA, APHIS, AC conducted the inspection and exit interview with the undersigned circus superintendent.

This inspection was conducted at the DuPage county fairgrounds in Wheaton, IL.

**Prepared By:** \_\_\_\_\_

CHAD MOORE, A,C I, USDA, APHIS, Animal Care

**Title:** \_\_\_\_\_

ANIMAL CARE INSPECTOR ID: 1046

**Date:** \_\_\_\_\_

AUG-29-2007

OCT 29 2007

**Received By:** \_\_\_\_\_

(b)(6),(b)(7)c

**Date:** \_\_\_\_\_

AUG-29-2007

**Title:** \_\_\_\_\_



EXPIRATION DATE: MAY 18, 2011

**United States  
Department of  
Agriculture**

**Marketing and  
Regulatory  
Programs**

**Animal and  
Plant Health  
Inspection  
Service**

**Animal Care**

This is to certify that **CARSON & BARNES CIRCUS**

is a licensed  
under the **CLASS C EXHIBITOR**

## **Animal Welfare Act**

**(7 U.S.C. 2131 et seq.)**

Certificate No. 73-C-0001

Customer No. 3954

A handwritten signature in cursive script, reading "Charles A. Ripson".

Deputy Administrator



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

RE: ACKNOWLEDGEMENT OF RENEWAL

Certificate Number: 73-C-0001

Renewal Date: 05/18/2011

May 6, 2010

Customer ID Number: 3954

Kristin Parra  
Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

Dear Licensee:

Thank you for submitting your Animal Welfare Act (AWA) license renewal documents and applicable fees. Enclosed is a copy of the renewal form and a new certificate indicating that your AWA license has been renewed for another year.

As a reminder, you should file your application for renewal and pay your licensing fees on or before the expiration date each year. We will send you a renewal notice again next year about 60 days before the expiration date of your license. If you cease conducting regulated activities, you may cancel your license at any time by notifying us, in writing, that you wish to terminate your license.

The law also requires that you notify us, by certified mail, of any change in the name, address, location, management and control or ownership of your business within 10 days after such a change has occurred.

Please be advised that your facility records must be kept current and they are subject to review by APHIS Officials during compliance inspections. You may order record keeping forms from this office free of charge.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M  
Regional Director – Animal Care  
Western Region

cc: (b)(6),(b)(7)c A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs  
An Equal Opportunity Provider and Employer

185

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0036. The time required to complete this information collection is estimated to average .25 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO.: 0579-0036

No license may be issued unless a completed application has been received (7 U.S.C. 2132-2143), and the applicant is in compliance with the standards and regulations Section 2133.

U.S. DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

### APPLICATION FOR LICENSE

(TYPE OR PRINT)

RENEWAL

SEND THE COMPLETED FORM TO:  
USDA APHIS ANIMAL CARE  
Western Region  
2150 Centre Ave.  
Building B, Mailstop 3W11  
Fort Collins, CO 80526-8117  
(970) 494-7478

6272 100034  
23564

LICENSE NO./CUST NO	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVED
73-C-0001 3954	18-May-2010	185	03/19/10

1. NAME(S) OF OWNER(S) AND MAILING ADDRESS  
CARSON & BARNES CIRCUS  
P O Box J  
Hugo, OK 74743  
  
COUNTY: CHOCTAW TELEPHONE (580) - 326 - 2233

2. ALL BUSINESS NAME, LOCATIONS, AND ALL SITES HOUSING ANIMALS (P. O. Box not acceptable)  
2694 E 2070 Rd  
Hugo, OK 74743  
County: CHOCTAW TELEPHONE ( )

3. IF PREVIOUSLY LICENSED - NAME AND ADDRESS  
  
PREVIOUS LICENSE NO.:

4. NAME AND ADDRESS OF OTHER BUSINESS(S) HANDLING ANIMALS IN WHICH APPLICANT/LICENSEE HAS AN INTEREST

5. TYPE OF LICENSE  
 A - Dealer (Breeder)  B - Dealer  C - Exhibitor

6. DATE OF LAST BUSINESS YEAR

7. NATURE OF BUSINESS (Check item that describes nature of your business)  
 A - Zoo  B - Aquariums  C - Auction  
 D - Breeder  E - Pets  F - Roadside Zoo  
 G - Circus  H - Animal Acts  I - Carnival  
 J - Drive thru Zoo  K - Pet Store  L - Broker

FROM						TO					
MO	DAY	YEAR	MO	DAY	YEAR						
0	1	0	1	2	3	1	0	9			

8. TYPE OF ORGANIZATION  
 Partnership  Corporation  Individual  
 Other (Specify) \_\_\_\_\_

#### 9. LIST OWNERS, PARTNERS, AND OFFICERS

NAME AND TITLE	ADDRESS
Deary Byrd - President	PO Box J Hugo, OK 74743
(b)(6),(b)(7)c	2694 E. 2070 Rd. Hugo, OK 74743

10. DEALER ONLY Not A FOIA Deletion

11. EXHIBITOR ONLY (No. of animals holding now or held during the last business year, whichever is greater)

TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	DOGS	0	RABBITS	0
TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR	CATS	0	NONHUMAN PRIMATES	0
TOTAL GROSS AMOUNT DERIVED FROM THE SALE OF ANIMALS	GUINEA PIGS	0	MARINE MAMMALS	0
DOLLAR AMOUNT OF WHICH FEE IS BASED (Sections 2.6 and 2.7)	HAMSTERS	0	WILD OR EXOTIC MAMMALS	27
	OTHER (i.e., farm animals) (List Species and No.)	10	TOTAL:	45

#### CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all regulations and standards in 9 CFR, Subpart A, Parts 1, 2, and 3. I certify that I am over 18 years of age.

(b)(6),(b)(7)c 4. DATE 3/19/10

(b)(6),(b)(7)c



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

RE: LICENSE RENEWAL  
Certificate Number: 73-C-0001  
Renewal Date: 05/18/2010

March 12, 2010  
Customer ID Number: 3954

Kristin Parra  
Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

Dear Licensee:

This is to remind you that your U.S. Department of Agriculture (USDA) Animal Welfare Act (AWA) license is due for renewal on or before the above renewal date. This is the only renewal notice you will receive.

Please complete the enclosed application form, making any necessary corrections to the pre-printed information, and return it to the above address, along with the appropriate license renewal fee. The annual fee is calculated by using the enclosed fee schedule. You may pay by cashier's check, certified check, personal check or money order made payable to the United States Department of Agriculture. You can also pay with a Visa or MasterCard, using the enclosed credit card authorization form. However, we cannot accept cash payments.

Please note that you must have an approved, complete, and up-to-date program of veterinary care form on file at your place of business. You can obtain blank forms -- or any others you may need -- directly from this office or your USDA inspector. The AWA also requires that you report to us any changes in your name, address, location, management, control or ownership of your business via certified mail within 10 days after the change has occurred.

It is very important that you file your AWA license renewal application before the expiration date. Any renewal notices received after that date will be considered invalid and returned. Accordingly, to continue to conduct AWA regulated business, it would be necessary for you to reapply and undergo the entire pre-licensing process. If your license expires and you continue to operate as a dealer or exhibitor, you will be in violation of the AWA and subject to legal action.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M  
Regional Director – Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs

An Equal Opportunity Provider and Employer



EXPIRATION DATE: MAY 18, 2010

United States  
Department of  
Agriculture

Marketing and  
Regulatory  
Programs

Animal and  
Plant Health  
Inspection  
Service

Animal Care

This is to certify that CARSON & BARNES CIRCUS

is a licensed CLASS C EXHIBITOR  
under the

## Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No. 73-C-0001

Customer No. 3954

Customer No.

A handwritten signature in cursive script, appearing to read "Charles A. Gifford". The signature is written in black ink and is positioned above a horizontal line.

Deputy Administrator

U.S. DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**APPLICATION FOR LICENSE**  
(TYPE OR PRINT)

RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

SEND THE COMPLETED FORM TO:  
Western Region  
2150 Centre Ave.  
Building B, Mailstop 3W11  
Fort Collins, CO 80526-8117  
(970) 494-7478

WP-9-0035 Ch22760

LICENSE NO./CUST NO	RENEWAL DATE	FEES	
73-C-0001 3954	18-May-2009	AMOUNT 185.00	DATE RECEIVED 5/13/09

1. NAME(S) OF OWNER(S) AND MAILING ADDRESS  
CARSON & BARNES CIRCUS  
P O Box J  
Hugo, OK 74743

COUNTY: CHOCTAW TELEPHONE (580) - 326 - 2233

2. ALL BUSINESS NAME, LOCATIONS, AND ALL SITES HOUSING ANIMALS (P. O. Box not acceptable)  
2694 E 2070 Rd  
Hugo, OK 74743

County: CHOCTAW TELEPHONE (580) 326 - 2233

3. IF PREVIOUSLY LICENSED - NAME AND ADDRESS

PREVIOUS LICENSE NO.:

4. NAME AND ADDRESS OF OTHER BUSINESS(S) HANDLING ANIMALS IN WHICH APPLICANT/LICENSEE HAS AN INTEREST

5. TYPE OF LICENSE

A - Dealer (Breeder)     B - Dealer     C - Exhibitor

7. NATURE OF BUSINESS (Check item that describes nature of your business)

A - Zoo     B - Aquariums     C - Auction  
 D - Breeder     E - Pets     F - Roadside Zoo  
 G - Circus     H - Animal Acts     I - Carnival  
 J - Drive thru Zoo     K - Pet Store     L - Broker

6. DATE OF LAST BUSINESS YEAR

FROM						TO					
MO	DAY	YEAR		MO	DAY	YEAR					
0	1	0	1	0	8	1	2	3	1	0	8

8. TYPE OF ORGANIZATION

Partnership     Corporation     Individual  
 Other (Specify) \_\_\_\_\_

9. LIST OWNERS, PARTNERS, AND OFFICERS

NAME AND TITLE	ADDRESS
Geary Byrd - President  (b)(6),(b)(7)c	2694 E. 2070 Rd Hugo, OK 74743

10. DEALER ONLY	Not A FOIA Deletion	11. EXHIBITOR ONLY (No. of animals holding now or held during the last business year, whichever is greater)			
TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	(b)(6),(b)(7)c	DOGS	Ø	RABBITS	Ø
TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR		CATS	Ø	NONHUMAN PRIMATES	Ø
TOTAL GROSS AMOUNT DERIVED FROM THE SALE OF ANIMALS		GUINEA PIGS	Ø	MARINE MAMMALS	Ø
DOLLAR AMOUNT OF WHICH FEE IS BASED (Sections 2.6 and 2.7)		HAMSTERS	Ø	WILD OR EXOTIC MAMMALS	29
		OTHER (i.e., farm animals) (List Species and No.)	pls. see back →		

**CERTIFICATION**

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all regulations and standards in 9 CFR, Subpart A, Parts 1, 2, and 3. I certify that I am over 18 years of age.

(b)(6),(b)(7)c

DATE  
4-27-09

MAY 1 2009



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

RE: ACKNOWLEDGEMENT OF RENEWAL

Certificate Number: 73-C-0001

Renewal Date: 05/18/2010

May 14, 2009

Customer ID Number: 3954

Kristin Parra  
Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

Dear Licensee:

Thank you for submitting your Animal Welfare Act (AWA) license renewal documents and applicable fees. Enclosed is a copy of the renewal form and a new certificate indicating that your AWA license has been renewed for another year.

As a reminder, you should file your application for renewal and pay your licensing fees on or before the expiration date each year. We will send you a renewal notice again next year about 60 days before the expiration date of your license. If you cease conducting regulated activities, you may cancel your license at any time by notifying us, in writing, that you wish to terminate your license.

The law also requires that you notify us, by certified mail, of any change in the name, address, location, management and control or ownership of your business within 10 days after such a change has occurred.

Please be advised that your facility records must be kept current and they are subject to review by APHIS Officials during compliance inspections. You may order record keeping forms from this office free of charge.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M  
Regional Director – Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs

An Equal Opportunity Provider and Employer



May 4, 2009

United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970/494-7478  
Fax: 970/494-7461

(payment remitter: Kristin Parra)  
ATTN: Kristin Parra  
Carson & Barnes Circus  
P.O. Box J  
Hugo, OK 74743

Customer ID: 3954  
Certificate Number: 73-C-0001  
**Renewal Date: 18-MAY-2009**

Certified Mail, Return Receipt  
#7008 1830 0001 2307 8741

Dear Ms. Parra:

We recently received your check and application for a License Renewal under the Animal Welfare Act (AWA). However, we are unable to process it for the reason(s) indicated below. Please return the corrected application to our office **on or before May 21, 2009, to avoid cancellation.**

- Please complete and submit the original APHIS Form 7003.
- Please send the \$10.00 application fee.
- Please send the \$185.00 fee required under Block 11 of the form.
- Please return the corrected form along with the payment of \$ .
- Please correct/complete the enclosed Credit Card Authorization form.
- Please provide an original signature.

**XX\_** We have returned your **money order #6805547474** in the amount of **\$235.00**, which was received on **May 1, 2009**, because based on the information provided in Block 11 of the renewal form, the correct fee is \$185.00.

PLEASE CORRECT THE FOLLOWING BLOCKS ON THE FORM:

- |                                  |   |                                   |
|----------------------------------|---|-----------------------------------|
| <input type="checkbox"/> Block 1 | <input type="checkbox"/> Block 6                      | <input type="checkbox"/> Block 10 |
| <input type="checkbox"/> Block 2 | (12-month period)                                     | <input type="checkbox"/> Block 11 |
| <input type="checkbox"/> Block 3 | <input type="checkbox"/> Block 7                      |                                   |
| <input type="checkbox"/> Block 4 | <input type="checkbox"/> Block 8                      | <input type="checkbox"/> Block 13 |
| <input type="checkbox"/> Block 5 | <input type="checkbox"/> Block 9                      | <input type="checkbox"/> Block 14 |
|                                  | <input type="checkbox"/> Federal Tax ID (green sheet) |                                   |

If you have any questions regarding this letter or the Animal Welfare Act, please feel free to contact this office at (970) 494-7463.

Sincerely,

Robert M. Gibbens, DVM  
Director  
Western Region, Animal Care

cc: Cathy Niebruegge, ACI

**U.S. Postal Service**  
**CERTIFIED MAIL RECEIPT**  
(Domestic Mail Only. No Insurance Coverage Provided)

For delivery information visit our website at [www.usps.com](http://www.usps.com)

OFFICIAL USE

Postage \$	
Certified Fee	
Return Receipt Fee <small>(Endorsement Required)</small>	
Restrict (Endorse) Fee	
<b>Total</b>	

Postmark Here

73-C-0001 # 3954  
**Carson&Barnes Circus**  
**Attn: Kristin Parra**  
**P O Box J**  
**Hugo, OK 74743**

Sent To \_\_\_\_\_  
 Street or PO # \_\_\_\_\_  
 City, State, ZIP+4 \_\_\_\_\_

PS Form 3811, August 2005 See Reverse for Restrictions

7008 1830 0001 2307 8741

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> <li>■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>■ Print your name and address on the reverse so that we can return the card to you.</li> <li>■ Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul> <p>1. Article Addressed to: <b>73C0001</b></p> <p style="font-size: 0.8em;">73-C-0001 # 3954  <b>Carson&amp;Barnes Circus</b>  <b>Attn: Kristin Parra</b>  <b>P O Box J</b>  <b>Hugo, OK 74743</b></p>	<div style="background-color: #f0f0f0; padding: 5px; text-align: center; font-weight: bold;">(b)(6),(b)(7)c</div> <p><input type="checkbox"/> Agent  <input checked="" type="checkbox"/> Addressee</p> <p>Date of Delivery  <b>- 7-09</b></p> <p>D. Is delivery address different from Item 1? <input type="checkbox"/> Yes        If YES, enter delivery address below: <input type="checkbox"/> No</p> <p style="text-align: center; font-size: 1.2em; font-weight: bold;">MAY 11 2009</p> <p>3. Service Type  <input checked="" type="checkbox"/> Certified Mail    <input type="checkbox"/> Express Mail  <input type="checkbox"/> Registered    <input checked="" type="checkbox"/> Return Receipt for Merchandise  <input type="checkbox"/> Insured Mail    <input type="checkbox"/> C.O.D.</p> <p>4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes</p>
<p>2. A .....          ( ) 7008 1830 0001 2307 8741</p>	
<p>PS Form 3811, February 2004      Domestic Return Receipt      102595-02-M-1540</p>	

mefek LLK  
 66-04-09  
 CN



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

RE: LICENSE RENEWAL  
Certificate Number: 73-C-0001  
Renewal Date: 05/18/2009

March 23, 2009  
Customer ID Number: 3954

Barbara Byrd  
Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

Dear Licensee:

This is to remind you that your U.S. Department of Agriculture (USDA) Animal Welfare Act (AWA) license is due for renewal on or before the above renewal date. This is the only renewal notice you will receive.

Please complete the enclosed application form, making any necessary corrections to the pre-printed information, and return it to the above address, along with the appropriate license renewal fee. The annual fee is calculated by using the enclosed fee schedule. You may pay by cashier's check, certified check, personal check or money order made payable to the United States Department of Agriculture. You can also pay with a Visa or MasterCard, using the enclosed credit card authorization form. However, we cannot accept cash payments.

Please note that you must have an approved, complete, and up-to-date program of veterinary care form on file at your place of business. You can obtain blank forms -- or any others you may need -- directly from this office or your USDA inspector. The AWA also requires that you report to us any changes in your name, address, location, management, control or ownership of your business via certified mail within 10 days after the change has occurred.

It is very important that you file your AWA license renewal application before the expiration date. Any renewal notices received after that date will be considered invalid and returned. Accordingly, to continue to conduct AWA regulated business, it would be necessary for you to reapply and undergo the entire pre-licensing process. If your license expires and you continue to operate as a dealer or exhibitor, you will be in violation of the AWA and subject to legal action.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at (970) 494-7478 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens, D V M  
Regional Director – Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs

An Equal Opportunity Provider and Employer

EXPIRATION DATE: MAY 18, 2009



United States  
Department of  
Agriculture

Marketing and  
Regulatory  
Programs

Animal and  
Plant Health  
Inspection  
Service

Animal Care

This is to certify that CARSON & BARNES CIRCUS

CLASS C EXHIBITOR

is a licensed  
under the

## Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No. 73-C-0001  
3954

Customer No.

A handwritten signature in cursive script, appearing to read "Charles A. Givson".

Deputy Administrator



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

April 25, 2008

Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

Customer No: 3954  
Certificate No: 73-C-0001  
Renewal Date: 05/18/2009  
RE: ACKNOWLEDGEMENT OF RENEWAL

Dear Licensee:

Thank you for submitting your Animal Welfare Act (AWA) license renewal documents and applicable fees. Enclosed is a copy of the renewal form and a new certificate indicating that your AWA license has been renewed for another year.

As a reminder, you should file your application for renewal and pay your licensing fees on or before the expiration date each year. We will send you a renewal notice again next year about 60 days before the expiration date of your license. If you cease conducting regulated activities, you may cancel your license at any time by notifying us, in writing, that you wish to terminate your license.

The law also requires that you notify us, by certified mail, of any change in the name, address, location, management and control or ownership of your business within 10 days after such a change has occurred.

Please be advised that your facility records must be kept current and they are subject to review by APHIS Officials during compliance inspections. You may order record keeping forms from this office free of charge.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at 970-494-7470 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens  
Regional Director - Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs  
An Equal Opportunity Provider and Employer

U.S DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APPLICATION FOR LICENSE  
(TYPE OR PRINT)

LICENSE RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

SEND THE COMPLETED FORM TO: 2150 Centre Ave, Building B  
Mailstop # 3w11  
Fort Collins, CO 80526 8117  
Telephone: (970) 494-7478

22266, 042108  
ATT 042508 WE 80036

CERTIFICATE / CUSTOMER NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVED
CERT: 73-C-0001	18-MAY-2008	235	042208
CUST: 3954			

1. NAME(S) OF LICENSEE(S) AND MAILING ADDRESS

Carson & Barnes Circus

P O Box J  
Hugo, OK 74743

Telephone: (580)326-2233

2. ALL BUSINESS (Site) LOCATIONS HOUSING ANIMALS; INCLUDE DIRECTIONS TO EACH LOCATION (P.O. Box not acceptable)

2694 E 2070 Rd  
Hugo, OK 74743  
County: Choctaw

Telephone: (580)326-2233

3. LIST PERSONS 18 YEARS OF AGE OR OLDER AUTHORIZED TO CONDUCT BUSINESS. RESPONSIBLE OFFICIAL SIGNING BLOCK 10 SHOULD BE LISTED IN THIS BLOCK.

Archie Byrd - Pres

(b)(6),(b)(7)c

4. (A) PREVIOUS USDA LICENSE NUMBER (if any)

--

(B) ACTIVE USDA CERTIFICATE NUMBER IN WHICH YOU HAVE AN INTEREST:

73-C-0001

5. TYPE OF LICENSE

Class A - Breeder  Class B - Dealer  Class C - Exhibitor

6. DATE OF LAST 12-MONTH BUSINESS YEAR (CALENDAR OR FISCAL)

FROM

TO

01-JAN-2007

31-DEC-2007

7. TYPE OF ORGANIZATION

Individual  Corporation  Partnership  
 Other (Specify) \_\_\_\_\_

8. DEALERS ONLY. Class A or Class B licensees must complete this Block. (Class C Exhibitors go to Block 9)

CLASS A (BREEDER) - LINE 'D' = 1/2 OF LINE 'C'  
CLASS B (DEALER) - LINE 'D' = LINE 'C' LESS THE AMOUNT PAID FOR THE ANIMALS (Sections 2.6)

Not A FOIA Deletion

A. TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR

B. TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR

C. TOTAL GROSS DOLLAR AMOUNT DERIVED FROM REGULATED ACTIVITIES (SALES, BOOKING FEES, COMMISSIONS, ETC.)

D. DOLLAR AMOUNT ON WHICH FEE IS BASED (SECTIONS 2.6 AND 2.7)

9. CLASS C EXHIBITORS ONLY. (Number of animals holding now or held during the last business year, whichever is greater.)

DOGS	1	NONHUMAN PRIMATES	/	RODENTS (Do not include lab rats or mice)	/
CATS	/	MARINE ANIMALS	/	WILD/EXOTIC HOOFSTOCK	4
GUINEA PIGS	/	FARM ANIMALS	20	BEARS	/
HAMSTERS	/	WILD/EXOTIC CANINES	/	WILD/EXOTIC MAMMALS (NOT LISTED ELSEWHERE)	29
RABBITS	/	WILD/EXOTIC FELINES	/	TOTAL (ALL ANIMALS LISTED IN BLOCK 9)	54

CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all the regulations and standards in 9 CFR, Subpart A, Parts 1, 2 and 3. I certify that I am 18 years of age or older.

APR 22 2008

10. SIGNATURE

(b)(6),(b)(7)c

11. PRINT NAME

12. SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER

13. DATE

3/21/08



United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970/494-7461

Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

April 30, 2008

Customer No: 3954  
Certificate No: 73-C-0001  
Renewal Date: 05/18/2009  
RE: LICENSE APPLICATION FOR  
CORRECTION

Dear Sir/Madam:

We recently received your application for license under the Animal Welfare Act (AWA). However, we are unable to process the application for the reasons indicated below. Please complete the forms as instructed and return it/them to this office prior to to avoid further delays.

FORM 7003

- Please complete and submit original Form, which is enclosed.
- Please send \$10 application fee.
- Please send \$\_\_\_\_\_ fees required under Block \_\_\_\_\_.
- Please send \$\_\_\_\_\_ payment with corrected Form(s).
- Please correct/complete the enclosed Credit Card Authorization Form.

We have returned your check #22248 in the amount of \$236.00 because already renewed 04-25-08; not required.

Received  
04-25-08  
with 7003

PLEASE CORRECT THE FOLLOWING BLOCKS ON FORM 7003 :

- |   |                                  |                                   |
|---|----------------------------------|-----------------------------------|
| <input type="checkbox"/> Block 1                    | <input type="checkbox"/> Block 6 | <input type="checkbox"/> Block 10 |
| <input type="checkbox"/> Block 2 (12-months period) | <input type="checkbox"/> Block 7 | <input type="checkbox"/> Block 11 |
| <input type="checkbox"/> Block 3                    | <input type="checkbox"/> Block 8 | <input type="checkbox"/> Block 12 |
| <input type="checkbox"/> Block 4                    | <input type="checkbox"/> Block 9 | <input type="checkbox"/> Block 13 |
| <input type="checkbox"/> Block 5                    |                                  | <input type="checkbox"/> Block 14 |

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at 970-494-7470 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens  
Regional Director - Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs  
An Equal Opportunity Provider and Employer

U.S. Postal Service  
**CERTIFIED MAIL RECEIPT**  
 (Domestic Mail Only. No Insurance Coverage Provided)

9995 5247 7000 0992 2007  
 7007 2680 0001 1425 5668

For delivery information, visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$		043008 CL JV
Certified Fee			
Return Receipt Fee (Endorsement Required)			Postmark Here
Restricted Delivery Fee (Endorsement Required)			
Total Postage	73-C-0001		3954
Sent To	BARBARA BYRD CARSON & BARNES CIRCUS		
Street, Apt. No., or PO Box No.	P O BOX J		
City, State, ZIP+4	HUGO, OK 74743		

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

73-C-0001  
 BARBARA BYRD  
 CARSON & BARNES CIRCUS  
 P O BOX J  
 HUGO, OK 74743

3954

**COMPLETE THIS SECTION ON DELIVERY**

(b)(6),(b)(7)c

Agent  
 Addressee  
 Site of Delivery

D. Is delivery address different from item 1?  Yes  No  
 If YES, enter delivery address below:

MAY 02 2008  
 MAY - 5 2008

3. Service Type  
 Certified Mail  Express Mail  
 Registered  Return Receipt for Merchandise  
 Insured Mail  C.O.D.

4. Restricted Delivery? (Extra Fee)  Yes

2. Article Number  
 (Transfer from service label) 7007 2680 0001 1425 5668

U.S DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APPLICATION FOR LICENSE  
(TYPE OR PRINT)

LICENSE RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY  
SEND THE COMPLETED FORM TO: 2150 Centre Ave, Building B  
Mallstop # 3w11  
Fort Collins, CO 80526 8117  
Telephone: (970) 494-7478

CERTIFICATE / CUSTOMER NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVE
CERT: 73-C-0001 CUST: 3954	18-MAY-2008		

1. NAME(S) OF LICENSEE(S) AND MAILING ADDRESS

Carson & Barnes Circus

P O Box J  
Hugo, OK 74743

Telephone: (580)326-2233

2. ALL BUSINESS (Site) LOCATIONS HOUSING ANIMALS; IN CLUDE DIRECTIONS TO EACH LOCATION (P.O. Box not acceptable)

2694 E 2070 Rd  
Hugo, OK 74743  
County: Choctaw

Telephone: (580)326-2233

3. LIST PERSONS 18 YEARS OF AGE OR OLDER AUTHORIZED TO CONDUCT BUSINESS. RESPONSIBLE OFFICIAL SIGNING BLOCK 10 SHOULD BE LISTED IN THIS BLOCK.

Geary Byrd - Pres.

(b)(6),(b)(7)c

4. (A) PREVIOUS USDA LICENSE NUMBER (If any)

(B) ACTIVE USDA CERTIFICATE NUMBER IN WHICH YOU HAVE AN INTEREST

73-C-0001

5. TYPE OF LICENSE

Class A - Breeder  Class B - Dealer  Class C - Exhibitor

6. DATE OF LAST 12-MONTH BUSINESS YEAR (CALENDAR OR FISCAL)

FROM

TO

01-JAN-2007

31-DEC-2007

7. TYPE OF ORGANIZATION

Individual  Corporation  Partnership  
 Other (Specify) \_\_\_\_\_

8. DEALERS ONLY. Class A or Class B licensees must complete this Block. (Class C Exhibitors go to Block 9)

CLASS A (BREEDER) - LINE 'D' = 1/2 OF LINE 'C'  
CLASS B (DEALER) - LINE 'D' = LINE 'C' LESS THE AMOUNT PAID FOR THE ANIMALS  
(Sections 2.6) Not A FOIA Deletion

A. TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR

B. TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR

C. TOTAL GROSS DOLLAR AMOUNT DERIVED FROM REGULATED ACTIVITIES (SALES, BOOKING FEES, COMMISSIONS, ETC.)

D. DOLLAR AMOUNT ON WHICH FEE IS BASED (SECTIONS 2.6 AND 2.7)

9. CLASS C EXHIBITORS ONLY. (Number of animals holding now or held during the last business year, whichever is greater.)

DOGS	1	NONHUMAN PRIMATES	/	RODENTS (Do not include lab rats or mice)	/
CATS	/	MARINE ANIMALS	/	WILD/EXOTIC HOOFSTOCK	4
GUINEA PIGS	/	FARM ANIMALS	20	BEARS	/
HAMSTERS	/	WILD/EXOTIC CANINES	/	WILD/EXOTIC MAMMALS (NOT LISTED ELSEWHERE)	29
RABBITS	/	WILD/EXOTIC FELINES	/	TOTAL (ALL ANIMALS LISTED IN BLOCK 9)	54

CERTIFICATION

APR 25 2008

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all the regulations and standards in 9 CFR, Subpart A, Parts 1, 2 and 3. I certify that I am 18 years of age or older.

10. SIGNATURE

11. PRINT NAME

(b)(6),(b)(7)c

12. SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER

73-0797366

13. DATE

3/21/08

4/24/08



Carson & Barnes Circus  
P O Box J  
Hugo, OK 74743

March 14, 2008

Customer No: 3954  
Certificate No: 73-C-0001  
Renewal Date: 05/18/2008  
RE: LICENSE RENEWAL

United States  
Department of  
Agriculture

Animal and Plant  
Health Inspection  
Service

Animal Care  
Western Region

2150 Centre Ave.  
Building B  
Mail Stop # 3W11  
Ft. Collins, CO 80526  
Phone: 970/494-7478  
Fax: 970/494-7461

Dear Licensee:

This is to remind you that your U.S. Department of Agriculture (USDA) Animal Welfare Act (AWA) license is due for renewal on or before the above expiration date. This is the only renewal notice you will receive.

Please complete the enclosed application form, making any necessary corrections to the pre-printed information, and return it to the above address, along with the appropriate license renewal fee. The annual fee is calculated by using the enclosed fee schedule. You may pay by cashier's check, certified check, personal check or money order made payable to the United States Department of Agriculture. You can also pay with a Visa or MasterCard, using the enclosed credit card authorization form. However, we cannot accept cash payments.

Please note that you must have an approved, complete, and up-to-date program of veterinary care form on file at your place of business. You can obtain blank forms -- or any others you may need -- directly from this office or your USDA inspector. The AWA also requires that you report to us any changes in your name, address, location, management, control or ownership of your business via certified mail within 10 days after the change has occurred.

It is very important that you file your AWA license renewal application before the expiration date elapses -- any renewal notices received after that date will be considered invalid and returned. Accordingly, to continue to conduct AWA regulated business, it would be necessary for you to reapply and undergo the entire pre-licensing process. If your license expires and you continue to operate as a dealer or exhibitor, you will be in violation of the AWA and subject to legal action.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at 970-494-7470 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens  
Regional Director - Animal Care  
Western Region

cc: Cathy Niebruegge, A.C.I.

Enclosures



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Programs  
An Equal Opportunity Provider and Employer

No license may be issued unless a completed application has been received (7 U.S.C. 2133-2143), and the applicant is in compliance with the standards and regulations Section 2133

U.S. DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APP 21 1997

# APPLICATION FOR LICENSE

(TYPE OR PRINT)

RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

SEND THE COMPLETED FORM TO: USDA, APHIS, AC, Animal Care  
Central Region  
P.O. Box 6258  
Fort Worth, TX 76115-6258  
817-885-6925 or 817-885-6933

LICENSE NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECEIVED
73-C-0001	18-MAY-97	235 -	18-May-97

1. NAME(S) OF OWNER(S) AND MAILING ADDRESS

Carson & Barnes Circus  
P.O. Box J

Attn: James K. Judkins, VP

COUNTY: Hugo, OK 74743 TELEPHONE ( 405-326-3173

2. ALL BUSINESS NAMES, LOCATIONS, AND ALL SITES HOUSING ANIMALS (P.O. Box not acceptable)

HWY 93 & KIRK RD  
HUGO, OK 74743  
Choctaw

COUNTY: TELEPHONE ( 405-236-3173

3. IF PREVIOUSLY LICENSED, NAME AND ADDRESS

Choctaw

4. NAME AND ADDRESS OF OTHER BUSINESS(S) HANDLING ANIMALS IN WHICH APPLICANT/LICENSEE HAS AN INTEREST

PREVIOUS LICENSE NO.:

5. TYPE OF LICENSE

A - Dealer (Breeder)  B - Dealer  C - Exhibitor

7. NATURE OF BUSINESS (Check item that describes nature of your business)

A - Zoo  B - Aquariums  C - Auction  
 D - Breeder  E - Pets  F - Roadside Zoo  
 G - Circus  H - Animal Acts  I - Carnival  
 J - Drive thru Zoo  K - Pet Store  L - Broker

6. DATE OF LAST BUSINESS YEAR

FROM			TO		
MO	DAY	YEAR	MO	DAY	YEAR
0	1	0	1	9	6
1	2	3	1	9	6

8. TYPE OF ORGANIZATION

Partnership  Corporation  Individual  
 Other (Specify) \_\_\_\_\_

9. LIST OWNERS, PARTNERS, AND OFFICERS

NAME AND TITLE

ADDRESS

R. Miller, President

(b)(6),(b)(7)c

All are at the physical address of:  
Jct. Hwy 93 & East Kirk Road--in the Winter.  
On the road with the Circus--Spring, Summer,  
Fall.  
Mailing Address: P. O. Box J, Hugo, OK 74743

(All are shareholders in the corporation.)

10. DEALER ONLY

11. EXHIBITOR ONLY (No. of animals holding now or held during the last business year, whichever is greater)

TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	DOGS	RABBITS
TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR	CATS	NONHUMAN PRIMATES
TOTAL GROSS AMOUNT DERIVED FROM THE SALE OF ANIMALS	GUINEA PIGS	MARINE MAMMALS
DOLLAR AMOUNT ON WHICH FEE IS BASED (Sections 2.6 and 2.7)	HAMSTERS	WILD OR EXOTIC MAMMALS
	OTHER (i.e., farm animals) (List Species and No.)	Please see attached.

CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all the regulations and standards in 9 CFR, Subpart A, Parts 1, 2 and 3. I certify that I am over 18 years of age.

12. SIGNATURE

13. NAME AND TITLE (Type or Print)

14. DATE

(b)(6),(b)(7)c

4/23/97

Pages 115 through 119 redacted for the following reasons:

-----

(b)(7)a



**United States  
Department of  
Agriculture**

**Marketing and  
Regulatory  
Programs**

**Animal and  
Plant Health  
Inspection  
Service**

**Animal Care**

EXPIRATION DATE: MAY 18, 2011

This is to certify that **CARSON & BARNES CIRCUS**

is a licensed  
under the **CLASS C EXHIBITOR**

**Animal Welfare Act**  
(7 U.S.C. 2131 et seq.)

Certificate No. **73-C-0001**

Customer No. **3954**

*Charles A. Ripston*  
Deputy Administrator

MAY 28 2010



United States  
Department of  
Agriculture

Marketing and  
Regulatory  
Programs

Animal and  
Plant Health  
Inspection  
Service

Animal Care

EXPIRATION DATE: JULY 3, 2010

This is to certify that

DALLAS R ZOPPE

is a licensed  
under the

CLASS C EXHIBITOR

## Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No.

58-C-0908

Customer No.

13162

Deputy Administrator

MAY 28 2010

05/28/2010 09:32 580-326-7466

CARSON AND BARNES

PAGE 03

Pages 122 through 134 redacted for the following reasons:

-----

(b)(7)a