



USDA HORSE PROTECTION ACT FEDERAL DISQUALIFICATION AND CIVIL PENALTY LIST

(As of June 1, 2015)

The following list identifies individuals and/or companies that have been assessed a Federal Disqualification under the Horse Protection Act. While under Federal Disqualification, a person is prohibited from showing, exhibiting or entering any horse, directly or indirectly through any agent, employee, or other device, and from judging, managing or otherwise participating in any horse show, horse exhibition or horse sale or auction. Please note that while the list below identifies the disqualification periods for the individuals and companies, the list is not controlling. The controlling documents setting forth the terms and dates of the Federal Disqualification are the signed Decisions and Orders, hyperlinked below.

Docket Number	Last Name	First Name	WHAT	CITY	ST	Disqualification Period	Start Date	End Date	CIVIL PENALTIES	Notes
11-0367	Holley	Timothy Wayne	Default Decision and Order	Amory	MS	Additional 10 years	05/10/2017	05/09/2027	\$19,400.00	
06-0005	Holley	Timothy Wayne	Oral Decision and Order	Byhalia	MS	10 Years	05/10/2007	05/09/2017	\$145,511.00	
12-0466	McConnell	Jackie	Consent Decision and Order	Collierville	TN	Permanent	08/27/2012	n/a	\$150,000***	*** Fine held in abeyance provided no further violations of HPA
12-0192	Sims	Terry Wayne	Default Decision and Order	Louisville	KY	3 years	09/01/2012	08/31/2015	\$6,600.00	
11-0311	Baucom	Jeanette	Consent Decision and Order	Monroe	NC	5 years	01/07/2013	01/06/2018		
13-0035	Baucom	Brice Edwin "Eddie"	Consent Decision and Order	Monroe	NC	5 years	01/01/2013	12/31/2017		
13-0022	Chiappari	Michael	Consent Decision and Order	Santa Rose	CA	3 years	12/02/2013	12/01/2016		
13-0297	Gray	Bill	Consent Decision and Order	Shelbyville	TN	4 years	01/14/2014	01/13/2018	\$2,200.00	
13-0296	Gray	Tim	Consent Decision and Order	Shelbyville	TN	4 years	01/13/2014	01/12/2018	\$2,200.00	
14-0057, 14-0058, 14-0059	West	Mark West	Consent Decision and Order	Manchester	TN	2 years	03/11/2014	03/10/2016		
13-0231, 13-0249	Dean	James Wayne	Consent Decision and Order	Lewisburg	TN	2 years	01/27/2014	01/26/2016	\$3,500*****	***** All but \$1,100 held in abeyance provided no violation of Order or HPA for 3 years



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13-0024	Ware	Tom	Consent Decision and Order	Cynthiana	KY	1 year**	05/19/2014	05/18/2015	\$3,500.00	** If Respondent fails to pay the assessed civil penalty by 5/18/2015, his disqualification shall remain in effect until the first day after APHIS receives payment of the civil penalty. [5/20/2015-- fine not yet paid]
14-0053	Heffington	Jack	Consent Decision and Order	Shelbyville	TN	7 months	12/03/2014	07/02/2015	\$1,100.00	If civil penalty is not paid by 7/2/2015, DQ will continue until first day after civil penalty is paid in full. Respondent may not gift or gratuitously transfer horses during period of DQ.
13-0309	Beaty	Michael Scott	Consent Decision and Order	Byrdstown	TN	16 months	07/14/2014	11/13/2015	\$2,200.00	Settles all violations alleged to have occurred up to effective date of order
13-0265	Baker	Megan	Consent Decision and Order	Richmond	KY	8 months	4/15/2015	12/14/2015		
15-0040	Gower	Kevin	Consent Decision and Order	Lewisburg	TN	3 years	04/15/2015	04/15/2018		
13-0232	Strickland	Alvin	Consent Decision and Order	Edgefield	SC	1 year	03/01/2015	02/28/2016		



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13-0233	Strickland	Toni	Consent Decision and Order	Edgefield	SC	1 year	03/01/2015	02/28/2016		
13-0364	Smith	Dennis	Consent Decision and Order	Bloomington	IL	4 years	01/30/2015	01/29/2019		Respondent agreed to transfer ownership and possession of "Pusher's Shady Deal" and "Raising the Bar" within 90 days of effective date of order. Failure to transfer ownership and possession of horses will result in an additional four year disqualification
14-0111	Dick	Rodney	Consent Decision and Order	Unionville	TN	16 months	12/19/2014	04/18/2016	\$2,200.00	
14-0112	McDonald	Judy	Consent Decision and Order	Tullahoma	TN	2 years	12/01/2014	11/30/2016		
14-0113	Walling	Gail	Consent Decision and Order	Wartrace	TN	8 months	12/01/2014	07/31/2015	\$1,100.00	
14-0114	Beard	Heather	Consent Decision and Order	Wartrace	TN	1 year	12/01/2014	11/30/2015		
14-0121	Polk	David	Consent Decision and Order	Midland	NC	1 year	05/05/2015	05/04/2016		David Polk, dba David Polk Stables
15-0038	Smith	Dustin	Consent Decision and Order	Alvaton	KY	4 years	05/01/2015	05/01/2019		
14-0110	Simpson	Andrew	Consent Decision and Order	Indian Mound	TN	8 months	06/01/2015	01/31/2016		