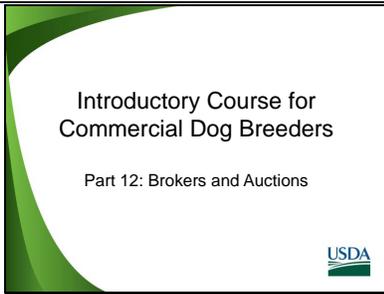


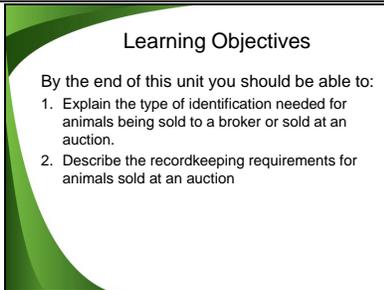
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This presentation will discuss issues related to brokers and auctions.

By the end of this presentation you should be able to:

1. Explain the type of identification needed for animals being sold to a broker or sold at an auction.
2. Describe the recordkeeping requirements for animals sold at an auction.

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Class B licensees are involved in the purchase and resale of animals. Class B licensees include brokers and auction sale operators.

Class A licensees (Commercial Dog Breeders) may sell their dogs to brokers or at auction. Because of their interaction with brokers and auctions, it is important that they understand the identification and recordkeeping requirements associated with such sales.

Brokers include anyone that negotiates the sale of regulated animals. Anyone who deals in regulated animals but does not take physical possession must be licensed.

Auction operators who operate an auction at which regulated animals are sold must be licensed.

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Animals sold at auction must be identified properly. This section briefly explains how to do that.

Class A Licensees (Breeders)

- Adult dogs:
 - Collar with USDA tag **OR**
 - Legible tattoo **OR**
 - Microchip
 - *Tattoo or microchip must be approved
- Puppies < 16 wks old:
 - Official tag **OR**
 - Tattoo **OR**
 - Plastic type collar
- Unweaned:
 - No individual I.D.
 - Stay with litter



Class A licensees (breeders)

One of the following methods must be used to identify all live dogs and cats on licensed premises.

Adult dogs:

Official USDA tag affixed to a collar worn on the animal’s neck.

Acceptable collar materials include: leather or plastic, some chains may be acceptable, but must be approved by APHIS.

Unacceptable materials: Wire, elastic or sharp metal

OR

Legible tattoo – All tattoos must be approved by the Administrator Policy 13 (March 25, 2011) in the Animal Care Policy Manual describes the procedure for use of tattoos for identification.

OR

Microchip – as approved by the Administrator. Animal Care Policy 13 describes the procedure for seeking approval to use microchips. (discussed in detail in Presentation 6: Identification)

Puppies less than 16 weeks old:

An official tag

A distinctive and legible tattoo or

A plastic-type collar acceptable to the Administrator with the information from the official tag legibly placed on it.

Unweaned puppies do not need to be individually identified while they are maintained as part of a litter with their dam in the same primary enclosure, as long as the dam is identified.

Photos: M. Radel: USDA APHIS

Class B Licensees (Brokers & Auction Owners)

- Official tag, tattoo or microchip
- Use existing number on records and transfers
 - If given a new number, both numbers noted on purchase records; new number on subsequent records
- Puppies < 16 wks old:
 - Official tag, tattoo **OR**
 - Plastic collar with tag number **OR**
 - Microchip
- Unweaned:
 - No individual I.D.
 - Stay with litter

Class B Licensees must identify all dogs on their premises as follows:

- All live dogs held, purchased or acquired must be immediately identified with:

Official tag affixed to a collar worn around the animal’s neck, or USDA approved tattoo or a microchip

2. If the animal is already identified by a tag or tattoo from another dealer or exhibitor:

The Class B dealer may continue to use to existing number or replace it with a new tag or tattoo. Both the old and the new identification numbers must be noted on purchase records. The new number is used in records of subsequent sales or transfers of the animal. (2.50 (b)(2))

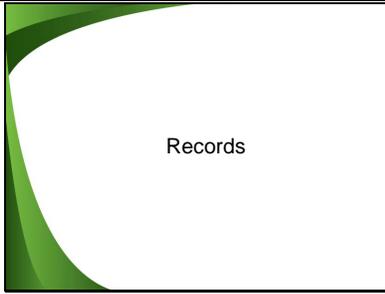
3. Puppies or kittens less than 16 weeks of age must be identified by either:

- An official tag
- Tattoo, or
- Plastic collar with official tag number legibly written or a microchip

4. Unweaned puppies or kittens do not need to be individually identified while they are maintained as part of a litter with their dam in the same primary enclosure, as long as the dam is identified. However, puppies or kittens less than 8 weeks of age may not be transported in commerce.

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Records need to be kept at these sort of sales. The next section describes record regulations.

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Brokers and Auction sale operators must make and keep records or forms fully and correctly containing the following information about each animal consigned for auction or sold, whether or not a fee or commission is charged.

1. Name and address of the person who owned or consigned the animal(s) for sale
2. Name and address of the buyer or consignee who received the animal
3. USDA license or registration number of the person selling, consigning, buying or receiving the animals, if licensed under the AWA
4. The vehicle license number and State, and driver's license number, or state-issued ID number and State if not registered under the AWA
5. Date of the consignment
6. Official USDA tag number or tattoo assigned to the animal
7. Description of the animal including:
 - Species, breed or type of animal
 - Sex
 - Date of birth or approximate age
 - Color and any distinctive markings
8. Auction sales number or records number assigned to the animal

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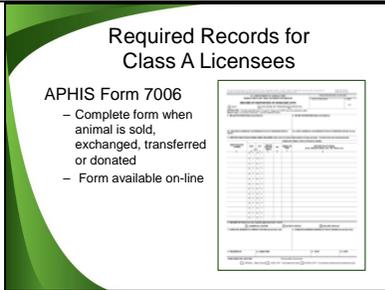


Copies of the record described above go to:

- Seller or consignor of the animal(s)
- Purchaser or consignee (this record need not contain information regarding the source and date of consignment of any animal)
- The broker/auction owner

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Required Records for Class A Licensees selling dogs to brokers or at auctions.

APHIS Form 7006

Class A licensees must complete APHIS Form 7006 each time a dog is sold, exchanged, transferred or donated. Detailed instructions for completing this form are included in the section on Identification and Recordkeeping.

This form is available on line:

http://www.reginfo.gov/public/do/PRAViewIC?ref_nbr=201102-0579-002&icID=2101

