

Introductory Course for Commercial Dog Breeders

Part 12: Brokers and Auctions





Learning Objectives

By the end of this unit you should be able to:

1. Explain the type of identification needed for animals being sold to a broker or sold at an auction.
2. Describe the recordkeeping requirements for animals sold at an auction

Affected Groups

Affected Groups

- Class B licensees:
 - Purchase and resell animals (wholesale or retail sight unseen)
 - Brokers (negotiate the sale of animals)
 - Auction sale operators
- Class A licensees (Commercial Dog Breeders)
 - Sell dogs to brokers or at auction



Identification

Class A Licensees (Breeder)

- Adult dogs:
 - Collar with USDA tag OR
 - Legible tattoo OR
 - Microchip
 - Tattoo or microchip must be approved
- Puppies < 16 wks old:
 - Official tag OR
 - Tattoo OR
 - Plastic type collar
- Unweaned:
 - No individual I.D.
 - Stay with litter





Class B Licensees (Brokers & Auction Owners)

- Official tag, tattoo or microchip
- Use existing number on records and transfers
 - If given a new number, both numbers noted on purchase records; new number on subsequent records
- Puppies < 16 wks old:
 - Official tag, tattoo OR
 - Plastic collar with tag number OR
 - Microchip
- Unweaned:
 - No individual I.D.
 - Stay with litter



Records



Required Records for Class B Licensees

1. Name and address of previous owner/consigner
2. Name and address of buyer/consignee
3. USDA license and registration number
4. Vehicle license number and State, driver's license Number
5. Date of consignment
6. Official USDA tag number or tattoo
7. Description of animal
8. Auction sales number or records number



Required Records for Class B Licensees (cont.)

- Copy of records given to:
 - Seller or consigner
 - Purchaser or consignee
 - Broker/auction owner

Required Records for Class A Licensees

APHIS Form 7005

– Date of disposition of animal

This record is required by law (7 USC 2131-2156), (9 CFR, Subchapter A, Parts 1, 2 and 3). Failure to maintain this record can result in a suspension or revocation of license and/or imprisonment for not more than 1 year, or a fine of not more than \$1,000, or both.

RECORD OF ACQUISITION AND DOGS AND CATS ON HAND

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

FORM APPROVED
OMB NO. 0079-0056

See reverse side for OMB information

1. RECORD FOR ("X")		USDA LICENSE OR REGISTRATION NO.	2. NAME AND ADDRESS OF LICENSEE, REGISTRANT, OR HOLDING FACILITY			3. BUSINESS YEAR		4. PAGE NO.		
<input type="checkbox"/> Dealer <input type="checkbox"/> Holding Facility (Submit copy to Dealer) <input type="checkbox"/> Other						FROM (Mo., Day, Yr.)	TO (Mo., Day, Yr.)			
<input type="checkbox"/> Exhibitor (Dogs and Cats only)										
IDENTIFICATION OF EACH ANIMAL BEING DELIVERED (See reverse for Breed Abbreviations)										
A.	B.	C.	D.	E.	F.	G.	H.	I.	J.	K.
TATTOO OR USDA TAG NO.	DOG "x" M or F	CAT M or F	AGE OR DATE OF BIRTH	WT.	BREED OR TYPE (If mixed breed, list 2 dominant breeds)	DESCRIPTION OF ANIMAL (Color, Distinctive Marks, Hair, Tail Tattoos, etc.)	DATE ACQUIRED	NAME AND ADDRESS USDA LICENSE OR REGISTRATION NUMBER, OR DRIVER'S LICENSE NUMBER AND STATE, VEHICLE LICENSE NUMBER AND STATE.	Date Removed or Sold	Date Died or Euthanized (Specify)
	M	M								
		F	F							
	M	M								
		F	F							
	M	M								
		F	F							
	M	M								
		F	F							
	M	M								
		F	F							
	M	M								
		F	F							

APHIS FORM 7005 (JUN 95)	INSPECTOR USE ONLY	LAST INSPECTION (Date)	TOTAL NO. ANIMALS ENTERED SINCE LAST INSPECTION	COUNT TOTAL NO. ANIMALS ACTUALLY ON PREMISES	DIFFERENCE (+ OR -)	DATE	INITIALS
(Replaces VS Form 18-5 which may be used.)							



Conclusion

You should now be able to:

1. Explain the type of identification needed for animals being sold to a broker or sold at an auction.
2. Describe the recordkeeping requirements for animals sold at an auction

Questions?



Acknowledgments

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