



Please note: These procedures may be revised as the situation develops.

DEFINITIONS

Infected Premises: Premises where a presumptive positive case or confirmed positive case was located based on laboratory results, compatible clinical signs, the highly pathogenic avian influenza (HPAI) case definition, and international standards.

Contact Premises: Premises with susceptible animals that may have been exposed to HPAI, either directly or indirectly, including but not limited to exposure to animals, animal products, fomites, or people from Infected Premises.

Suspect Premises: Premises under investigation due to the presence of susceptible animals reported to have clinical signs compatible with HPAI. This is intended to be a short-term premises designation.

At-Risk Premises: Premises that have susceptible animals, but none of those susceptible animals have clinical signs compatible with HPAI. Premises objectively demonstrates that it is not an Infected Premises, Contact Premises, or Suspect Premises. At-Risk Premises may seek to move susceptible animals or products within the Control Area by permit. Only At-Risk Premises are eligible to become Monitored Premises.

Monitored Premises: Premises objectively demonstrates that it is not an Infected Premises, Contact Premises, or Suspect Premises. Only At-Risk Premises are eligible to become Monitored Premises. Monitored Premises meet a set of defined criteria in seeking to move susceptible animals or products out of the Control Area by permit.

Infected Zone: Zone that immediately surrounds an Infected Premises; the perimeter should be at least 3 km (~1.86 miles) beyond the perimeters of the presumptive or confirmed Infected Premises. This zone may be redefined as the outbreak continues.

Buffer Zone: Zone that immediately surrounds an Infected Premises; this is the area that is at least 7 km (~4.35 miles) beyond the perimeter of the Infected Zone (10 km beyond the Infected Premises). This zone may be redefined as the outbreak continues.

Control Area: Consists of an Infected Zone and a Buffer Zone; the perimeter of the Control Area should be at least 10 km (~6.21 miles) beyond the perimeter of the closest Infected Premises. This area may be redefined as the outbreak continues.

Foreign Animal Disease Investigation: An investigation conducted according to *VS Guidance Document 12001* (Ready Reference Guide for investigations is [here](#)).

INTRODUCTION

An Infected Zone and Buffer Zone (a Control Area) will be created around an HPAI Infected Premises. This document provides surveillance guidance for backyard premises (non-commercial) within a Control Area. Surveillance activities and associated testing should be based on recommendations of the Unified (State-Federal) Incident Command; this guidance may require further modification based on epidemiological and situational information.

This document reflects the epidemiological information known about the behavior of circulating viruses in the 2014–2015 U.S. HPAI outbreak, in which HPAI infected both backyard and

commercial premises. For example, there is no evidence that the circulating viruses cause sub-clinical infection in gallinaceous poultry¹ species; clinical signs and mortality are apparent.

COMMUNICATION

It is critical to ensure that information about HPAI, as well as recommended biosecurity measures, are clearly communicated to all backyard premises in a Control Area. APHIS and State/Tribal officials must ensure that instructions are provided to owners to report clinical signs and abnormal mortality; there should be transparent procedures for managing reports of clinical signs or unusual mortality from backyard producers (also known as sick bird calls).

VISITING PREMISES

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PRIORITIES FOR SURVEILLANCE ACTIVITIES IN THE CONTROL AREA

The following are the priorities for backyard surveillance activities in the Control Area; it is critical to investigate Infected and Contact Premises as rapidly as possible to detect, control, and contain the virus as rapidly as possible. These premises should remain the top priority in any response effort.

SURVEILLANCE PLAN

Passive Surveillance

General Guidance

The IMT, in coordination with any subject matter experts, should develop morbidity and mortality criteria that dictate the need for further investigation. These criteria/triggers should be species specific. During a widespread HPAI outbreak, reports of clinical signs or unusual mortality from backyard producers (sick bird calls) are investigated as rapidly as possible.

However, sick bird calls may overwhelm available resources, particularly when investigation and/or management of Infected and Contact Premises are not complete. In this case, the IMT may recommend triaging disease investigations on backyard premises, using the morbidity and mortality criteria and/or farm risk factors (e.g., close proximity to bodies of water with waterfowl concentrations). These triggers should be based on the best information available, and should be developed in coordination with State/Tribal officials. Typically, premises in the Infected Zone should be prioritized over those in the Buffer Zone.

Start and Duration

Passive surveillance is conducted at all times in the United States through foreign animal disease investigations (per *VS Guidance Document 12001*); passive surveillance is intensified through rapid

¹ Poultry is defined as: chickens, and any of the following birds, if these other birds are kept, raised, captured, bred, or otherwise used for a commercial purpose: turkeys, ducks, geese, swans, pheasants, partridges, grouse, quail, guinea fowl, pea fowl, pigeons, doves, ostriches, emus, rheas, and/or cassowaries. Commercial purposes include the production or sale of birds, or of their meat, eggs, or feathers. Does not include chickens or other birds displayed in a licensed exhibition or zoo. Gallinaceous birds include chickens, turkeys, pheasants, partridges, grouse, quail, guinea fowl, or pea fowl. All others listed here are considered non-gallinaceous, including domestic waterfowl.

and clear communication to backyard producers as soon as there is an HPAI detection.

Procedures

For sick bird calls on backyard premises, a Foreign Animal Disease Diagnostician (FADD) (or individual designated by the IMT) should:

1. If resources allow, investigate each call meeting the identified criteria immediately.
2. If necessary, prioritize those premises in the Infected Zone and those meeting morbidity/mortality triggers or having additional risk factors for further investigation. This should be conducted in coordination with State officials.
3. Schedule an appointment to collect samples as quickly as possible.
4. Conduct diagnostic testing according to sampling recommendations, submit samples to designated National Animal Health Laboratory Network (NAHLN) lab as indicated by the IMT (provided in “Sampling Scheme for Poultry” below).
5. Record all relevant information in the Emergency Management Response System (EMRS), including means of communication.

Routine/Active Surveillance

General Guidance

In addition to passive surveillance, routine/active surveillance is conducted to provide evidence that HPAI is not present.

Start and Duration

APHIS and/or State officials will determine the time period for active surveillance. It may begin upon completion of initial cleaning and disinfection (C&D) of the last Infected Premises in the Control Area (e.g., though compost piles may remain, all fomites, vehicles, and outside areas of the houses have undergone C&D), or a different timeline for sampling may be recommended. Active surveillance may continue after the Control Area has been released for international or bilateral trading partners.

Procedures

The IMT performs the following:

1. Select a minimum number of premises to sample (see Table 1).
 - a. Include high-risk premises, such as those near bodies of water.
 - b. Randomly select remaining premises (the IMT may have further guidance on which premises should be sampled when there are a large number of backyard premises in the Control Area).
2. Contact (by phone) all selected premises.
 - a. If the premises does not have any clinical signs, unusual morbidity/mortality, or other risk factors requiring further investigation, a site visit is not necessary.
3. Visit selected premises as needed.
 - a. If the premises has clinical signs, unusual morbidity/mortality, or other risk factors requiring investigation, a FADD (or individual designated by the IMT) should visit the premises for diagnostic testing.
 - b. State/Tribal officials may also choose to conduct site visits on apparently healthy backyard flocks within the Control Area. This may be useful when there is minimal

diagnostic test data available, e.g., in areas where there are few commercial operations and/or few sick bird calls.

4. Record all relevant information in EMRS, including all premises ‘sampled’ (meaning those contacted or visited for diagnostic testing). Follow IMT guidance on additional information to enter.

RECOMMENDED SAMPLING SCHEME

For premises that require further investigation, samples should be collected as follows and in line with the current version of *Recommendations for Collecting Specimens from Poultry for Viral Diagnostic Testing* (WI-AV-0020 available [here](#)):

1. Identify whether sick or recently dead birds are available for sampling. If not, document site visit and absence of clinical signs. Sampling is not required if sick or dead birds are not available, unless further instructed by the IC.
2. If clinical signs or morbidity are apparent, sampling a 5 bird pool is sufficient to detect HPAI with 86.5 percent confidence if prevalence across sick and dead birds is 50 percent²:
 - a. For premises with gallinaceous birds, sample 5 birds from sick, dead, or euthanized birds. If more than 50 sick or dead birds are available, sample 5 from each multiple of 50 (or less).
 - b. Sampling non-gallinaceous birds is not generally recommended for HPAI surveillance, as they may not exhibit clinical signs of HPAI infection.
 - i. If the IMT determines sampling is necessary in these flocks in the absence of clinical signs, larger sample sizes are recommended (refer to guidance in WI-AV-0020 for collection of up to 11-swab pools from gallinaceous poultry).
 - ii. Sampling of 30 birds is sufficient to detect HPAI with approximately 95 percent confidence where within-flock prevalence is 10 percent.
3. Collect swabs according the current version of *Recommendations for Collecting Specimens from Poultry for Viral Diagnostic Testing* (WI-AV-0020 available [here](#)):
 - a. Oropharyngeal swabs are preferred for gallinaceous birds.
 - b. If domestic waterfowl are sampled, cloacal swabs are preferred.
 - c. If pooling is conducted, only pool samples collected by the same sampling route from the same species; refer to section 3 “Pooling procedures” of WI-AV-0020 for further details.
 - d. Prepare, package, and process swabs for laboratory submission according to the guidance found in the *FAD Investigation Manual*.
4. Repeat visits and sampling on premises are not necessary, unless clinical signs continue or escalate.

² Five bird pools are typically used on backyard flocks due to smaller flock size (instead of 11-bird pools).

Table 1. Minimum number of backyard operations to contact or sample from each Control Area ^a

Total number of flocks in Control Area	Minimum number of flocks for active surveillance activity (See explanation)
11 or less	All flocks in Control Area
12 to 15	11
16 to 39	15
40 to 84	17
85 or greater	19

^a This presumes 15% design prevalence among premises, 95% confidence, and 95% within-flock sensitivity (or capability of detection).

DOCUMENTATION

As with all surveillance activities, documentation is critically important. EMRS is the system of record for all HPAI outbreaks in the United States, and relevant data regarding backyard surveillance activities must be entered into EMRS in as close to real-time as possible. This data may be reported internally and externally through situation or close-out reports and other means.

At a minimum, the following items are important to maintain and report. Refer to IMT guidance for how to appropriately record these and other data:

- ◆ Number of backyard premises in Control Area.
- ◆ Number contacted, and means of contact, for passive surveillance.
- ◆ Number of visited and sampled for passive surveillance.
- ◆ Number contacted by phone for active surveillance.
- ◆ Number visited and sampled for active surveillance.
- ◆ Total birds sampled per premises.
- ◆ Laboratory results for all submissions.

FOR MORE INFORMATION

USDA APHIS VS. *Surveillance Sampling: Commercial Premises in Control Area*.

www.aphis.usda.gov/fadprep.

Recommendations for Collecting Specimens from Poultry for Viral Diagnostic Testing (NVSL WI-AV-0020) https://www.aphis.usda.gov/animal_health/lab_info_services/downloads/WIAV0020.pdf

USDA APHIS VS. 2015. *Foreign Animal Disease Investigation Manual (FAD PReP Manual 4-0)*.

www.aphis.usda.gov/fadprep.

USDA APHIS VS. Draft August 2015. *Highly Pathogenic Avian Influenza (HPAI) Response Plan: The Red Book*. www.aphis.usda.gov/fadprep.

USDA APHIS VS. 2014. *Guidance Document 12001.2: Policy for the Investigation of Potential Foreign Animal Disease/Emerging Disease Incidents (FAD/EDI)*.

www.aphis.usda.gov/animal_health/lab_info_services/downloads/VSG_12001.pdf.