



HPAI Outbreak 2014–2015

Appraisal and Indemnity Procedures

(Guidance issued September 18, 2015)



Policy Update

- Provides responders with revised procedures learned from the 2014–2015 highly pathogenic avian influenza (HPAI) outbreak.

Key Components

- General Requirements
- Procedures
- Special Note for First Detections of HPAI in a State
- Information Required for Appraisal and Indemnity

General Requirements

- Best practices to contain/eradicate HPAI by stamping-out within 24 hours require:
 - rapid depopulation,
 - appraisal,
 - and indemnity procedures.
- Depopulation of any birds or eggs must be approved by State Animal Health Officials (SAHOs) and APHIS, which will require rapid communication between:
 - producer,
 - company,
 - State officials,
 - APHIS,
 - and laboratory officials.
- These appraisal and indemnity procedures apply to both the first detection of HPAI in a State and subsequent HPAI detections in a State.

Procedures

1. Inventory of poultry and other required information should be compiled as soon as:
 - a) FAD investigation is initiated or,
 - b) an HPAI presumptive positive case is identified or,
 - c) an HPAI suspect case is identified or,
 - d) whichever is earliest.
2. A State or APHIS official will help the owner, grower, licensed veterinarian, or company official to submit information electronically to HPAI OPS Group, SAHOs, VS District, and/or IMT.
 - a) APHIS officials will collect hard copies of all documents (e.g., barn records) used to substantiate indemnity claim.
3. VS 1-23 Appraisal & Indemnity Claim Form is created by APHIS based on information provided including the age, sex, type and value of the birds. Indemnity values for birds and eggs are provided by the HPAI OPS group based on current USDA calculators.
4. If Veterinary Services (VS) District or Incident Management Team (IMT) cannot meet the 24 hour deadline to prepare VS 1-23 – HPAI OPS Group will prepare.



Procedures (continued)

- As soon as the HPAI presumptive positive case definition is met, or joint State-APHIS decision to depopulate is made, a State or APHIS official will obtain a signature on the completed VS 1-23. Signatures will be electronically submitted prior to depopulation; hard copies for all records and the VS 1-23 will be collected by APHIS officials.
- In some investigations, the HPAI suspect case definition will be used to initiate depopulation; the same above procedures will apply.
- A signed flock plan (owner, APHIS, State animal health official) will no longer be required for indemnity payments, but is required for State quarantine or hold order release, APHIS disposal assistance, and APHIS cleaning and disinfection assistance.

This information is required to be completed for the appraisal of animals, for which indemnity is claimed. No moneys or other benefits may be paid out unless this report is completed and filed as authorized under (9 CFR 81).

According to the Paperwork Reduction Act of 1985 no persons are required to respond to a collection of information unless it displays a valid OMB number. The time to complete this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, researching existing data sources, gathering and maintaining the data needed, and completing and reviewing the form.

The valid OMB control numbers for these information collections are 0578-0047, 0578-0048, 0578-0049, 0578-0050, 0578-0051, 0578-0052, 0578-0053, 0578-0054, 0578-0055, 0578-0056, 0578-0057, 0578-0058, 0578-0059, 0578-0060, 0578-0061, 0578-0062, 0578-0063, 0578-0064, 0578-0065, 0578-0066, 0578-0067, 0578-0068, 0578-0069, 0578-0070, 0578-0071, 0578-0072, 0578-0073, 0578-0074, 0578-0075, 0578-0076, 0578-0077, 0578-0078, 0578-0079, 0578-0080, 0578-0081, 0578-0082, 0578-0083, 0578-0084, 0578-0085, 0578-0086, 0578-0087, 0578-0088, 0578-0089, 0578-0090, 0578-0091, 0578-0092, 0578-0093, 0578-0094, 0578-0095, 0578-0096, 0578-0097, 0578-0098, 0578-0099, 0578-0100, 0578-0101, 0578-0102, 0578-0103, 0578-0104, 0578-0105, 0578-0106, 0578-0107, 0578-0108, 0578-0109, 0578-0110, 0578-0111, 0578-0112, 0578-0113, 0578-0114, 0578-0115, 0578-0116, 0578-0117, 0578-0118, 0578-0119, 0578-0120, 0578-0121, 0578-0122, 0578-0123, 0578-0124, 0578-0125, 0578-0126, 0578-0127, 0578-0128, 0578-0129, 0578-0130, 0578-0131, 0578-0132, 0578-0133, 0578-0134, 0578-0135, 0578-0136, 0578-0137, 0578-0138, 0578-0139, 0578-0140, 0578-0141, 0578-0142, 0578-0143, 0578-0144, 0578-0145, 0578-0146, 0578-0147, 0578-0148, 0578-0149, 0578-0150, 0578-0151, 0578-0152, 0578-0153, 0578-0154, 0578-0155, 0578-0156, 0578-0157, 0578-0158, 0578-0159, 0578-0160, 0578-0161, 0578-0162, 0578-0163, 0578-0164, 0578-0165, 0578-0166, 0578-0167, 0578-0168, 0578-0169, 0578-0170, 0578-0171, 0578-0172, 0578-0173, 0578-0174, 0578-0175, 0578-0176, 0578-0177, 0578-0178, 0578-0179, 0578-0180, 0578-0181, 0578-0182, 0578-0183, 0578-0184, 0578-0185, 0578-0186, 0578-0187, 0578-0188, 0578-0189, 0578-0190, 0578-0191, 0578-0192, 0578-0193, 0578-0194, 0578-0195, 0578-0196, 0578-0197, 0578-0198, 0578-0199, 0578-0200.

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
VETERINARY SERVICES

APPRAISAL AND INDEMNITY CLAIM FOR
 ANIMALS DESTROYED MATERIALS DESTROYED

1. VS PROGRAM DISEASE NAME 2. PREMISES IDENTIFICATION NO.
3. HERDFLOCK IDENTIFICATION NO. 4. HERDFLOCK DISEASE STATUS
5. DATE ANIMALS/MATERIALS DESTROYED 6. DATE OF CLEANING AND DISINFECTING

7.a. OWNER-CLAIMANT LEGAL NAME 7.b. PREMISES WHERE APPRAISAL WAS MADE (if different from item 7)
7.c. OWNER-CLAIMANT MAILING ADDRESS (Number & street, or RFD) 7.d. PREMISES ADDRESS (Number & street, or RFD)
7.e. CITY 7.f. STATE 7.g. ZIP CODE 7.h. CITY 7.i. STATE 7.j. ZIP CODE

8. IF JOINT OWNERSHIP, GIVE FULL NAME OF ALL OWNERS (if same as item 7.a., so state) 9. COUNTY

| APPRAISED | | | | | | | | | | APPRAISAL | | TOTAL APPRAISAL | | AMOUNT DUE FROM | |
|--|--|---------|---------|-----------|--|-------------------------------|--------------------|---------------------|--------------------------|----------------|-------------------|------------------|--|-----------------|--|
| 11. DESCRIPTION IDENTIFICATION PAGE ID | 12. NO. OF VS FORM 1-23 (Description of species) | 13. AGE | 14. SEX | 15. BREED | 16. PURPOSE (e.g., show, breeding, etc.) | 17. UNIT (pounds, lbs., etc.) | 18. VALUE PER UNIT | 19. TOTAL APPRAISAL | 20. SALVAGE VS FORM 1-24 | 21. DIFFERENCE | 22. UNITED STATES | 23. STATE AGENCY | | | |
| 1 | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |

24. SOURCE OF PRICING DATA AND/OR SPECIAL FACTORS AFFECTING ANIMALS AND/OR MATERIALS
25. DATE ANIMALS/MATERIALS APPRAISED AND/OR TAGGED
26. BROWDED

CERTIFICATION AND APPRAISAL CERTIFICATE
I certify that animals and/or materials listed above are properly identified and are eligible for indemnity and animals and/or materials requiring appraisals are appraised individually unless all animals or materials in a group are of equal value.

27. NAME AND SIGNATURE OF GOVERNMENT APPRAISER OR REPRESENTATIVE 28. TITLE
29. NAME AND SIGNATURE OF SPECIAL EXPERT APPRAISER 30. DATE SIGNED
31. SIGNATURE OF OWNER-CLAIMANT OR AUTHORIZED REPRESENTATIVE IN ITEMS 7 OR 8 32. TITLE OF CLAIMANT
33. IF MORTGAGED, FEDERAL INDEMNITY CHECK WILL BE DRAWN IN FAVOR OF MORTGAGOR AND SHOULD BE MAILED TO: OWNER-MORTGAGOR MORTGAGEE

STATE CERTIFICATION: I certify the amount in item 25 was drawn from the State Agency in correct and each such amount has been or will be paid the Owner-Claimant.

34.a. NAME AND SIGNATURE OF MORTGAGOR OR AUTHORIZED REPRESENTATIVE 34.b. MORTGAGEE MAILING ADDRESS
34.c. CITY 34.d. STATE 34.e. ZIP CODE
35. NAME AND SIGNATURE 36. TITLE
37. STATE AGENCY 38. DATE
39. FOR \$ 40. ALLOTMENT NO. 41. BY NAME AND SIGNATURE 42. TITLE 43. DATE 44. PAGE ___ OF ___

VS FORM 1-23 (APR 2002)

Special Note for First Detections of HPAI in a State

- Please send samples to the National Animal Health Laboratory Network (NAHLN) and National Veterinary Services Laboratory (NVSL) at first indication of increased mortality or clinical signs, and follow FAD investigation procedures to maximize speed of APHIS international trade notifications.
- Don't wait to rule out other causes. Duplicate or second set of samples are the standard for FAD investigations.
- NVSL confirmation is not required for depopulation and indemnity, but is required for APHIS international trade notifications. Timely reporting prevents unnecessary trade disruptions with some trading partners.

Information Required for Appraisal and Indemnity

Must be sent to the preparer of the VS 1-23 and some information may need to be provided or verified by the owner, grower, private veterinarian, VS, or SAHO.

- Type of flock (turkey, chicken, layer, breeder, backyard, etc.)
- Flock information including the age, sex, breed, and number of each type of bird; number of birds in each barn; barn mortality records
- Date of onset of clinical signs (if present)
- Date of facility quarantine
- Reason for test (area surveillance, pre-movement, NPIP (National Poultry Improvement Plan), sick-bird call)
- County of premises
- Premises Identification Number (PIN)—location of infected poultry, not company home
- The GPS coordinates and 911 address of premises
- Name of facility/complex
- Claimant name and address
- Confirmation of mortgage status
- Appraisal Date – date value is assigned to birds/eggs
- Name of NAHLN lab submitting samples

USDA HPAI Incident Coordination Group (ICG) Contact Information

The below personnel are part of the USDA OPS Group (and larger ICG), which may include rotating personnel. The HPAI OPS Group is to be notified of all HPAI FAD priority 1, 2, or A HPAI investigations, HPAI suspect cases, and HPAI presumptive positive cases. No need to email the HPAI OPS Group personnel individually if the HPAI OPS Group main is working. However, phone contact and conference calls are required for and FAD investigations.

USDA OPS Group: hpaiops@aphis.usda.gov

To: Patricia.E.Fox@aphis.usda.gov; Jonathan.T.Zack@aphis.usda.gov;
Fidelis.N.Hegngi@aphis.usda.gov; LeeAnn.Thomas@aphis.usda.gov

Cc: Thomas.J.Myers@aphis.usda.gov; Burke.L.Healey@aphis.usda.gov;
Elizabeth.M.Brown@aphis.usda.gov; Sharon.S.Fisher@aphis.usda.gov

For More Information

- [APHIS FAD PReP Website](#)
- [VS Guidance Document 12001: Policy for the Investigation of Potential Foreign Animal Disease/Emerging Disease Incidents \(FAD/EDI\)](#)
- [Ready Reference Guide: Procedures and Policy for FAD Investigations \(VS Guidance Document 12001\)](#)
- [FAD Investigation Manual \(FAD PReP Manual 4-0\)](#)