

The 2014–2015 Highly Pathogenic Avian Influenza (HPAI) Outbreak

Permits and Movements





Overview

- > Introduction
- **→** Using EMRS 2.0
- > Outbreak Permitting Info
- > Outbreak Movement Info



PERMIT

EST RUN EGGS TO A LOCATION WITHOUT POULTRY FOR WASHING AND SANITIZING, BREAKING OR PROCESSING

Permit Information			
Permit number:	15 IAP 050615H MV:6529:15		
issued:	6/25/2015		
From:	OIREGGS XP Poultry [123 47TH ST Anywhere, IA 54321]		
To:	DLIKEEM EGGS WE Break - Six [111 4TH AVE SE, Somewhere, IA 12345]		
Date of movement:	5/6/2015 9:00 AM		
Email permit to:	Egghead@eggswer.com		

	Negative PCR test results (pooled samples)	
Date	5/5/15 Acc# 2109181 , 5/4/2015 Acc#2108912	

	Conditions
1	Truck drivers must wear disposable plastic boots or rubber boots that can be disinfected if they get out of the vehicle. Plastic boots may be disposed of on the premises.
2	Hands and rubber footwear must be thoroughly cleaned and disinfected prior to leaving the premises.
3	Tires must be sprayed with disinfectant before leaving the premises.
4	Figgs must be moved directly to the designated facility for washing and sanitaing, breaking or processing.
5	Exp. Anadim materials must be destroyed at the destination plant or cleaned and sentised. Explandling material can be esturned to the personness of inglish after a less 24 Analys have elegised since these materials were model from the farm and without contacting materials going to other premises. New paper or filter flast must be used for hand-gathered egst.
6	Two negative real time PCR tests for avian influenza must be conducted on pooled samples of originary right from 5 dead birds or 11 dead birds out of every 50 dead birds from each house on the premises. The second test must be on samples taken on the second dead foliation or later.

Authorized by	Cate	
Sen Those, DVM	5/6/2015	





PERMITTING and MOVEMENTS



INTRODUCTION



EMRS 2.0

- The Emergency Management Response System (EMRS) 2.0 is the system of record for disease incidents, including HPAI in the United States.
- ➤ Data should be entered into EMRS in a timely and accurate manner.



Using EMRS for Permitting and Movement

- ➤ Initial qualification for movement (e.g., biosecurity requirements for the Secure Food Supply Plans) may be tracked/kept in any IT system.
- ➤ When a Control Area (aka Control Zone) is established, the outbreak should be managed in EMRS.
- The request for permit and approval process can be tracked in EMRS.
- Permits may be issued using mail merge templates within EMRS.
- ➤ If alternate means of tracking permits for premises in the Control Area is used (not ideal), these are recorded in EMRS via a process for express import.

Secure Food Supply Plans for Poultry Implemented

- Secure Food Supply Plan recommendations helped animals and products keep moving during the outbreak.
- > Typically, depending on the movement, 2 negative rRT-PCR tests were required; one within 24 hours of movement.
- These items and their movements were permitted, issued, and recorded successfully in EMRS.
- Successful collaboration between States (both sending and receiving product), the Unified Incident Command, and APHIS.









Basic Information

- ➤ Permits can be created for a single movement or can be created and approved for multiple movements between the same two premises.
 - Each movement requires an update.
 - Check requirements, report # of items, record date.
- ➤ If testing or additional visits are required for movement these can be monitored and viewed in EMRS.
- ➤ If daily mortality reports are required for movement they can be uploaded into EMRS as attachments.



What are Permits?

Origin Premises



Destination
Premises

Intrastate

Interstate



Examples of Permitted Movement

- Out of Control Area:
 - Hatching eggs to hatchery or processor.
 - Movement of Control Area birds.
 - Movement of day old poults.
 - Nest run eggs.
 - Product moving out of Control Area.
- Into Control Area:
 - Birds into Control Area for slaughter.
 - Birds moved into Control Area.
 - Feed into Control Area.
 - Wood shavings into Control Area.
- Some movements require diagnostic testing prior to movement.



National Permitting Unit

- > During the HPAI outbreak, a National Permitting Unit was established.
- This unit could complete the entire permitting process.
- Based in Ft. Collins.
- Point of contact for all permitting data entry and questions.
- Reviewer role required to review and approve permits in EMRS.





National Permitting Unit for Intrastate Movements

- Created rapid (express) permit approval process.
- Produced permitted movement forms and sent to States for addition of tests if needed and distribution to producer.
- Completed entire process on behalf of State including adding test results and distributing to producers.
- Back-entered permit information into EMRS for permits produced outside of EMRS.



National Permitting Unit for Interstate Movements

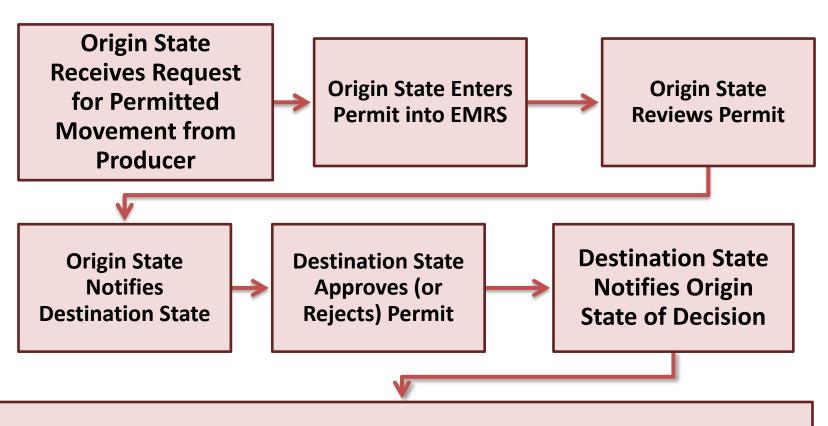
- > Received reviewed permit from Origin State.
- Approved on behalf of Destination State, returned to Origin State who then produced permitted forms, attached tests if needed, and distributed to producer.
- Created and express approved permits (reviewed on behalf of Origin State, approved on behalf of Destination State); produced permitted movement form and sent to Origin State for addition of tests if needed and distributed to producer.
- Completed entire process on behalf of origin and destination States; added test results and distributed to producers.
- Back-entered permit information into EMRS for permits produced outside of EMRS.



PERMITTING and **MOVEMENTS** Premises: 6 Any State 10 Miles Investigation Jewett City North Kingstown 138 Lebanon Exeter Bozrah Norwich Preston Middletown P Salem Newport Kingston 82 Wakefield Uncasville 85 Charlestown New London West Myst



Permitting Workflow



Origin State 1) Produces Permitted Movement Forms, 2) Attaches Testing Information if Needed, and 3) Distributes to Producers



Prerequisites for Permits in EMRS

> Intrastate:

- Origin and Destination Premises must be in EMRS.
- Both premises must have an Animal Business.
- Both premises must have an Investigation.

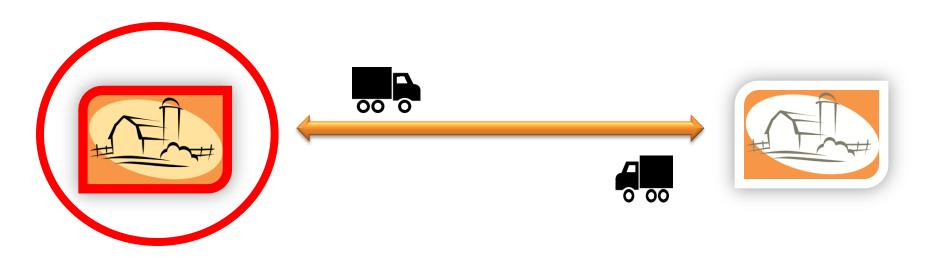
> Interstate:

- Origin premises must be in EMRS, with an Animal Business and Investigation.
- Basic destination information is entered into EMRS by the Origin State.
- Destination Premises, Animal Business, and Investigation must be entered by Destination State if not already in EMRS when they approve the permit.



Permitted Movements

- > Out of Control Area
- > Into Control Area





Four Simple Elements of Permitting

Request

Review

Approval (Regular or Express)

Movement (Single or Multiple)



Overview of Steps for a Permit Request

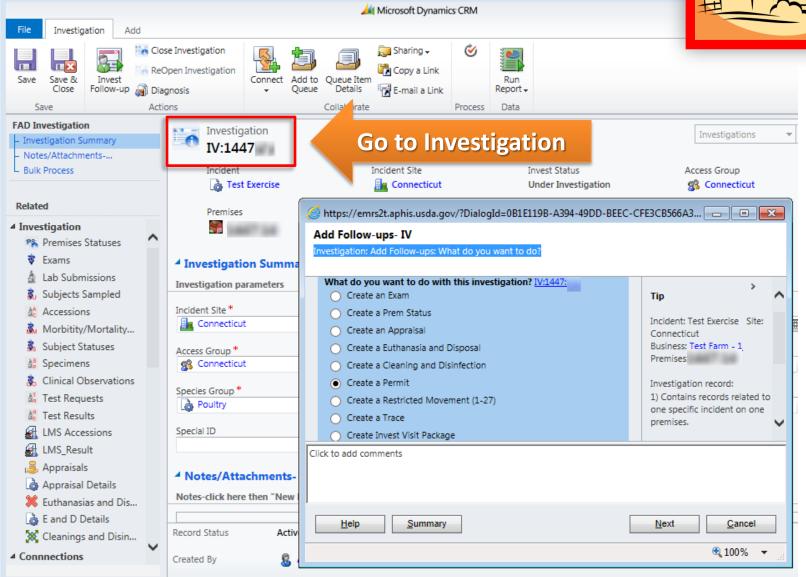
- > Go to the **Investigation** in EMRS.
- > Add an Investigation Follow-Up: "Create a Permit"
- Enter a Reason (e.g., Out of Control Area).
- > Enter Items Permitted.
- Enter Estimated Shipments.
- Enter Permit Destination Information.

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United States Department of Agriculture

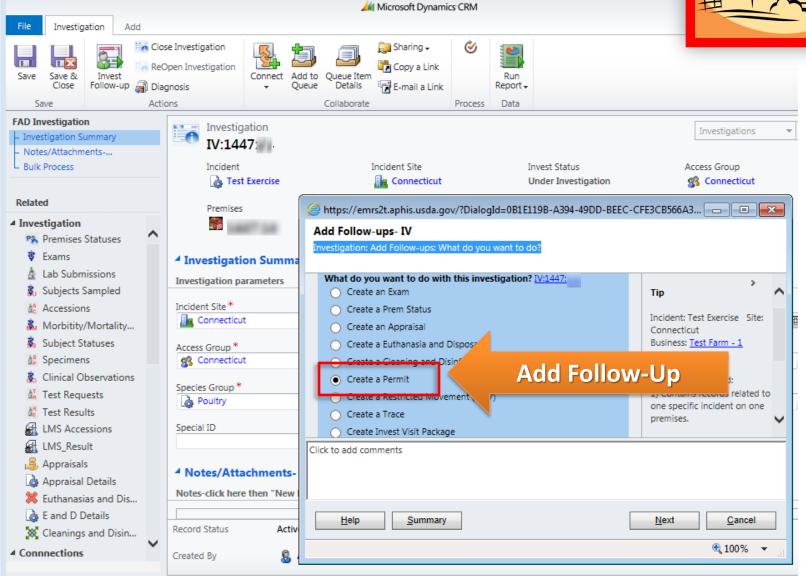






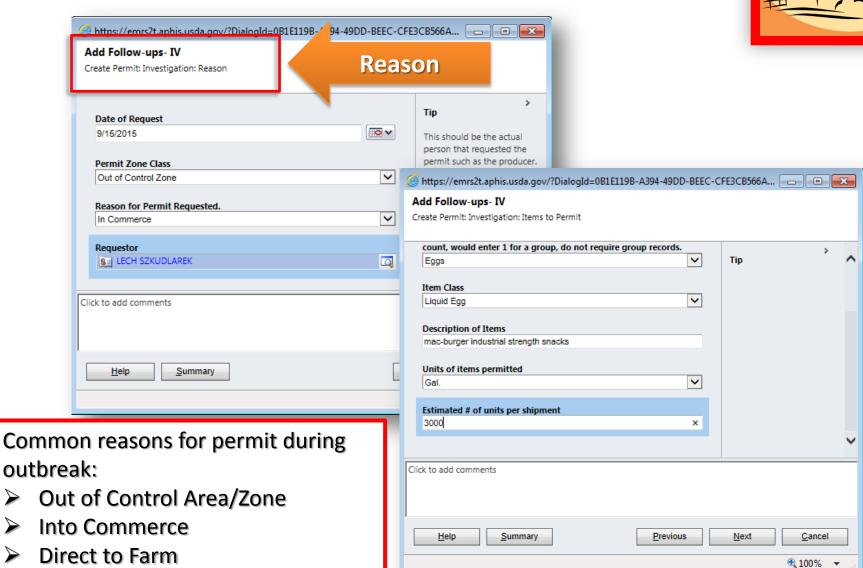
United States Department of Agriculture











EMRS 2.0

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https://emrs2t.aphis.usda.gov/?DialogId=0B1E119B- d Follow-ups- IV	-A394-49DD-BEEC-CI	CFE3CB566A
ate Permit: Investigation: Reason		
Date of Request		Tip
9/15/2015	□	This should be the actual person that requested the
Permit Zone Class		permit such as the producer.
Out of Control Zone	~	https://emrs2t.aphis.usda.gov/2DialogId=0B1E119B 394-49DD-BEEC-CFE3CB566A
Reason for Permit Requested.		Add Follow-ups- IV
In Commerce	\mathbf{r}	Create Permit: Investigation: Items to Permit Items To Permit
Requestor	L	count, would enter 1 for a group, do not require grove records.
LECH SZKUDLAREK	Q	Eggs Tip
		Item Class
to add comments		Liquid Egg
		Description of Items
		mac-burger industrial strength snacks
	-	
<u>H</u> elp <u>Summary</u>	L	Units of items permitted
		Gal.
		Estimated # of units per shipment
	_	3000 ×
		Click to add comments
	,	
		<u>H</u> elp <u>Summary</u> <u>Previous</u> <u>Next Cancel</u>



United States Department of Agriculture



	119B-A394-49DD-BEEC-CFE	3CB566	_	#
Add Follow-ups- IV Estimated Shipments	Estimated	Shipments		
Estimated Start Date of Shipments- required! 9/15/2015	т	ip ^		
Estimated End Date of Shipments- required! 9/18/2015		- 1		
Estimated # Shipments- required!	×	V		
Click to add comments				
<u>H</u> elp <u>S</u> ummary	Previous 1	<u>C</u> ancel	https://emrs2t.aphis.usda.gov/?DialogId=0B1E119B-A39 Add Follow-ups- IV Create Permit: Investigation: Permit Destination Information	04-49DD-B □ □ ■×
		€ 100% ▼	Requested Destination location namerequired Mama's Fresh Scrambled Egg Depot ×	Tip >
			Physical Address or requested destination.	This should be the name of the premises and or business where the items will be moving to
			Requested destination city	from origin.
			Requested destination state- required	
			Requested destination zip 06106	
			Phone number for destination contact.	

Destination Zone Status?

Free

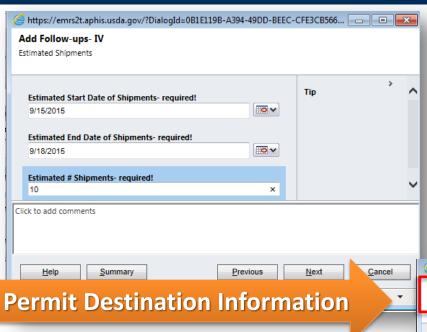
EMRS 2.0

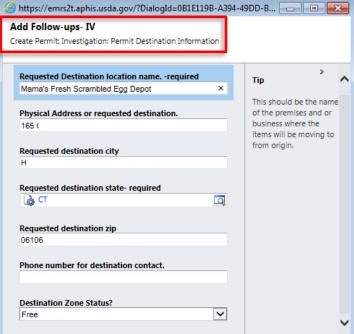
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https://emrs2t.aphis.usda.gov/?DialogId=0B1E119B-A394-49DD-BEEC-CFE3CB566A34&EntityNa...

Add Follow-ups- IV

it: Investigation: Success

SUCCESS!

You have successfully created the permit request (PM:1196:15) by (LECH SZKUDLAREK) on (9/15/2015) for (10)estimated shipments of (3,000 Gal.) of (Eggs) starting () and ending (). Dest location (Mama's Fresh Scrambled Egg Depot) Dest Address (16 Dest City (F) State (CT) Zip (06106)

What do you want to do next?

- Quit this dialog
- Return to Investigation Follow-ups dialog.

Tip

Permits are added to the origin access group (Connecticut) default queue where they can be reviewed by a responsible person at the origin who has the "Permit Reviewer" role, Permits must be reviewed and receive a favorable recommendation to be routed to the Destination access group default queue where they can be approved or denied. Only

Click to add comments

Help

Summary

Previous

Next

Cancel



USDA

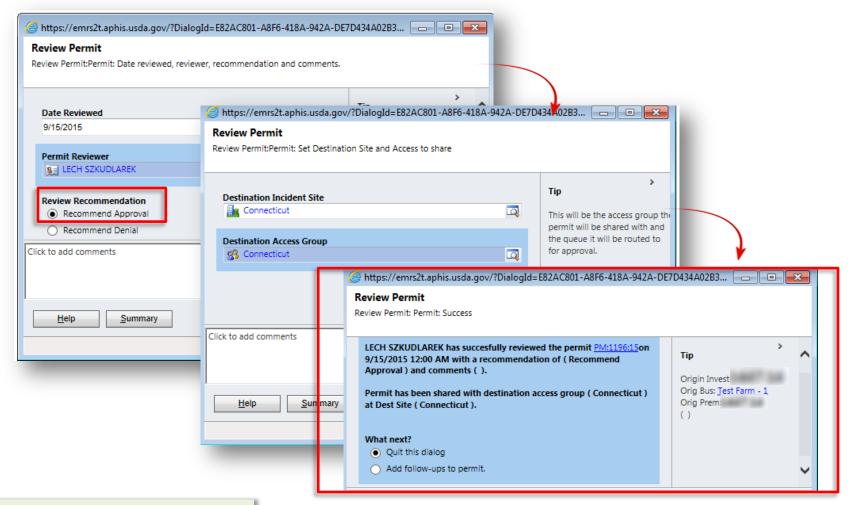
Overview of Steps to Review Permit

- > Review permit; recommend approval or denial.
- Send email link to permit to Destination State.
- The following must be completed by Destination State:
 - Destination Premises is in EMRS.
 - Animal Business is in EMRS for Destination Premises.
 - Investigation is in EMRS for Animal Business.

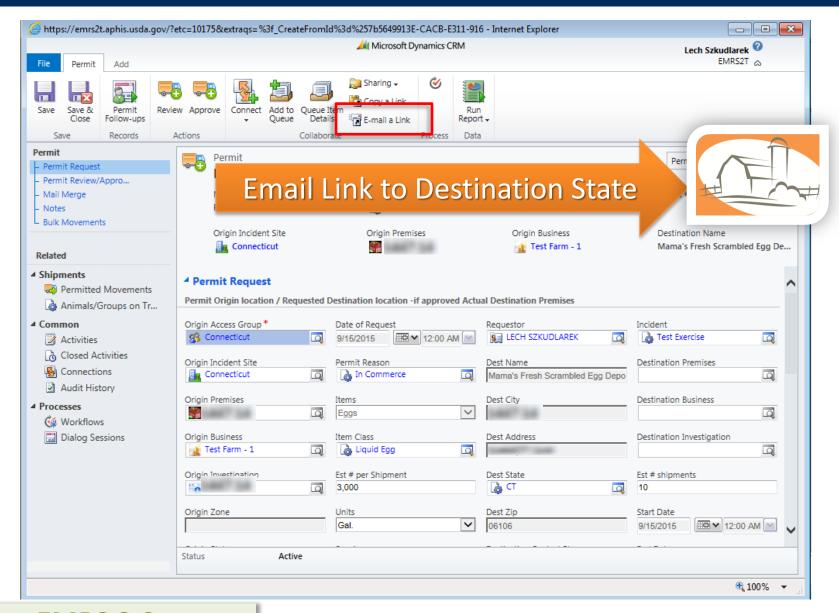




Review Permit (Requires Reviewer Role in EMRS)







Kristin.D.Keller@aphis.usda.gov



From *



Email Link

Send	То	Destination State					
Jena	Cc						
	Subject:	PM:1052:15 Permit Requiring Approval in EMRS					
	Below is an EMRS link for a Permit requiring Approval by your state.						
Details: Provide details of the requested permit.							
PM:10		phis.usda.gov/main.aspx?etc=10175&extrags=formid%3d97561f0d-2bad-495b-99a7-67dd0da6ba06&id=%7b0A5F4D0F-D3E9-E411-9283-005056B2395E%7d&pagetype=entityrecord>					

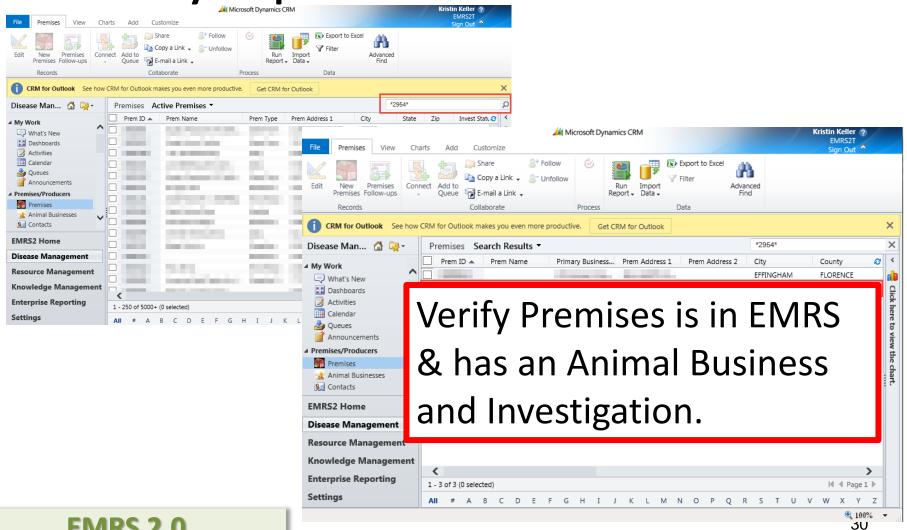






Destination State:

Verify Required Information is in EMRS



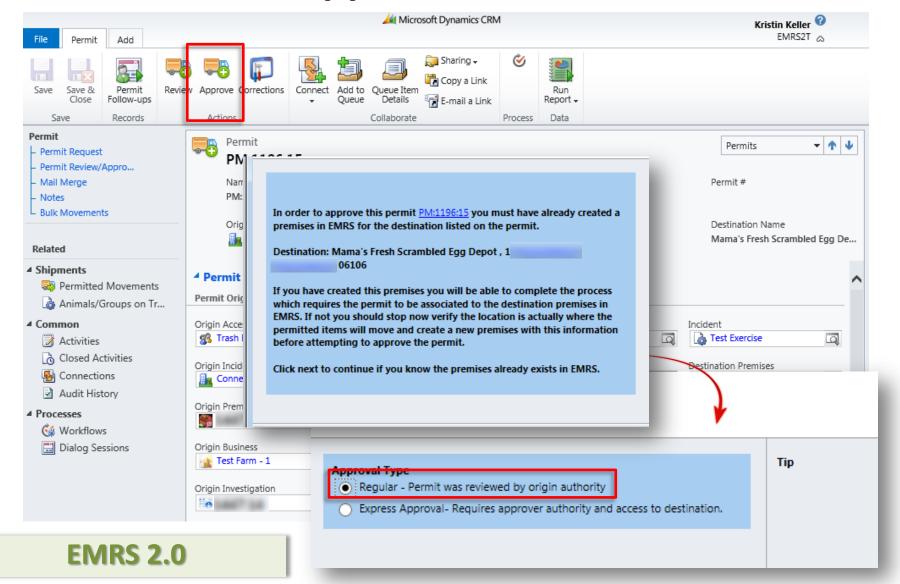
Overview of Steps to Approve Permit

- > After permit is reviewed,
- And the Destination Premises, Animal Business, and Investigation is in EMRS,
- > The permit can be **approved by Destination State**.
- Link to approved permit sent to Origin State.
- Or, the permit can be rejected by the Destination State.
- Link regarding permit rejection sent to Origin State.





Approve Permit





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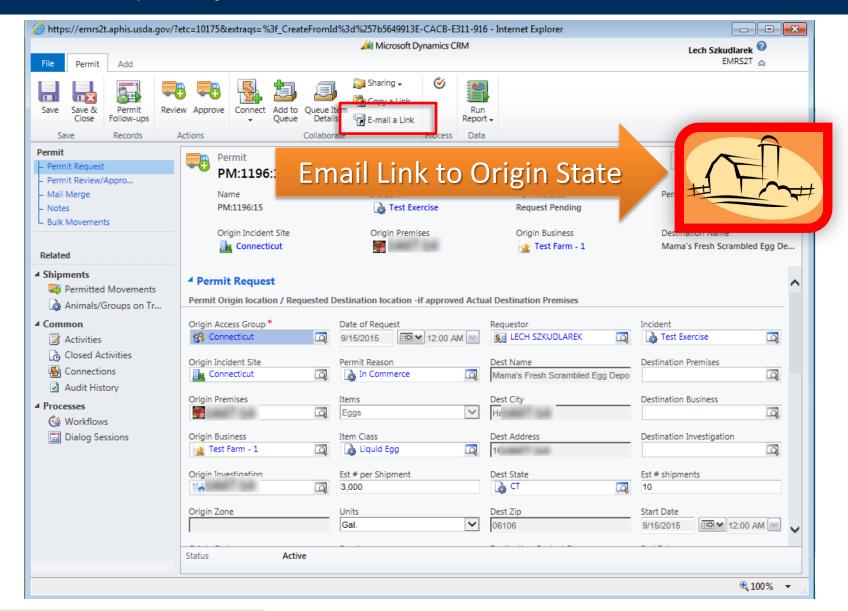
Approve Permit Approve or Deny Permit: Permit: Which	n action?		
	you have permissions to approve permits e access to the destination premises.	>	
What action would you like to tal Active Reviewed under Incident I Approve this permit request. Deny this permit Request.	Approve Permit Approve Permit: Select Bus and Investigation		
	Select destination business		
	Select destination investigation	Approve Permit Approve the following Permit	
	•	Approval Date- required	
		9/16/2015 Expiration Date- required	₩ 🕶
		10/16/2015	₩ 🕶
	manutu i	NONE Approve Permit Approve or Deny Permit: Success	·
		Approving Offi FRED BOUR	
MA	A STATE OF THE STA	You have approved the permit PM: with ap 9/16/2015 12:00 AM which expires on 10/16/2015 now available in the origin access group queue or permitted movements.	

EMRS 2.0

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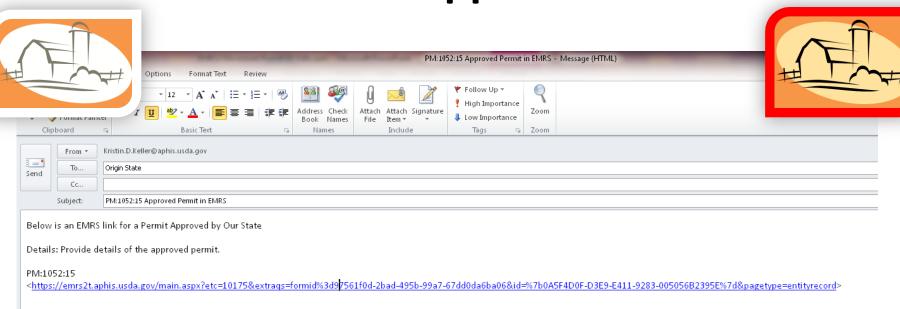


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Email Link to Approved Permit







Or, Reject Permit





Approve or Deny Permit: Permit: Which action?

What action would you like to take on this permit? PM:1054:15, with status Active Reviewed under Incident HPAI 2015 Test?

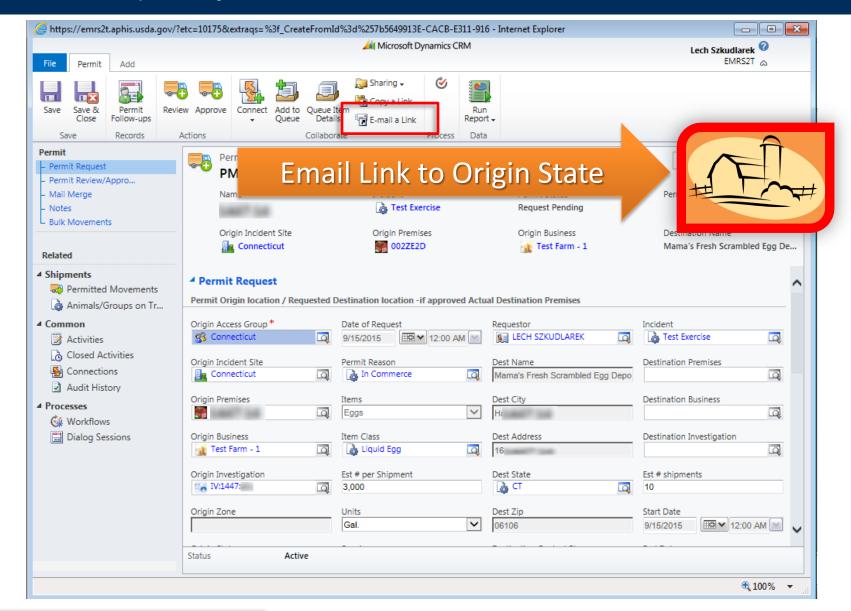
- Approve this permit request.
- Deny this permit Request.

EMRS 2.0

REJECTED

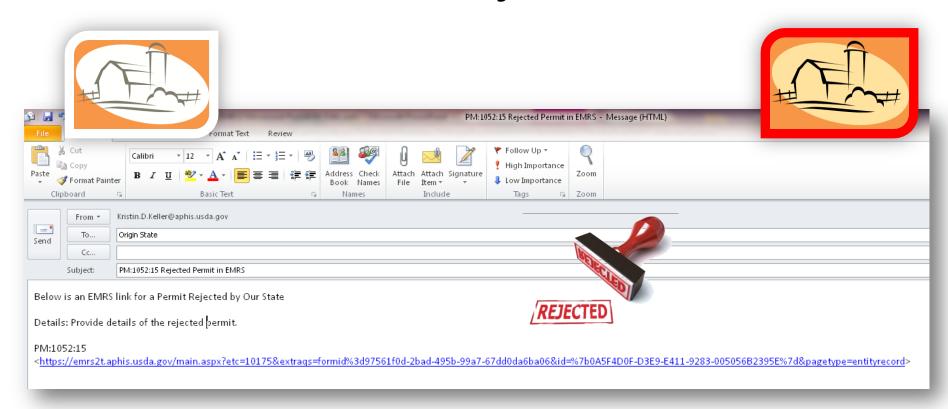


United States Department of Agriculture





Email Link to Rejected Permit



EMRS 2.0

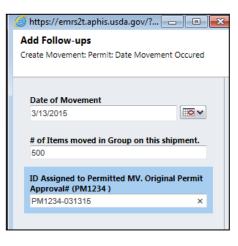
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Movements: Enter Permitted Movement

- Simply involves adding a movement to an existing permit.
- Each movement inherits the parameters of the approved permit and is very light data entry.
- > This requires only that the producer reports an intended movement and the issuance of permitted movement document by the origin state.

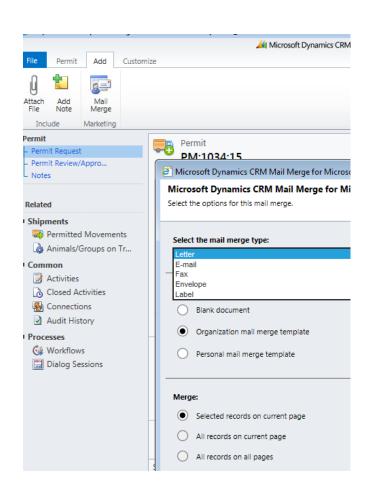








Issue a Permit for Movement



- ➤ There are mail merge templates for various permit types. Can be distributed multiple ways to the origin and destination states and/or directly to producer.
- ➤ The approval may cover a one time movement or multiple movements over a time span.



Iowa Permit Example



IOWA DEPARTMENT OF AGRICULTURE AND LAND STEWARDSHIP

Bill Northey, Secretary of Agriculture

PERMIT

NEST RUN EGGS TO A LOCATION WITHOUT POULTRY FOR WASHING AND SANITIZING, BREAKING OR PROCESSING

Permit Information		
Permit number:	15 IAP 050615I: MV:6529:15	
Issued:	6/25/2015	
From:	OIREGGS XP Poultry (123 47TH ST Anywhere, IA 54321)	
To:	OLIKEEM EGGS WE Break - Six (111 4TH AVE SE, Somewhere, IA 12345)	
Date of movement:	ovement: 5/6/2015 9:00 AM	
Email permit to:	Egghead@eggswer.com	

Negative PCR test results (pooled samples)		
Date	5/5/15 Acc# 2109181 , 5/4/2015 Acc#2108912	

Conditions	
1	Truck drivers must wear disposable plastic boots or rubber boots that can be disinfected if they get out of the vehicle. Plastic boots may be disposed of on the premises.
2	Hands and rubber footwear must be thoroughly cleaned and disinfected prior to leaving the premises.
3	Tires must be sprayed with disinfectant before leaving the premises.
4	Eggs must be moved directly to the designated facility for washing and sanitizing, breaking or processing.
5	Egg-handling materials must be destroyed at the destination plant or cleaned and sanitized. Egg-handling materials can be returned to the premises of origin after at least 24 hours have elapsed since these materials were moved from the farm and without contacting materials going to other premises. New paper or fiber flats must be used for hand-gathered eggs.
6	Two negative real time PCR tests for avian influenza must be conducted on pooled samples of oropharyngeal swab from 5 dead birds or 11 dead birds out of every 50 dead birds from each house on the premises. The second test must be on samples taken on the second day of holding or later.

Authorized by	Date	4
Ben There, DVM	5/6/2015	

Henry A. Wallace Building * Des Moines, Iowa 50319 * 515-281-5321 * agri@idals.state.ia.us
The Iowa Department of Agriculture and Land Stewardship is an equal opportunity employer and provider

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Minnesota Permit Example



PERMIT BIRDS MOVED INTO THE CONTROL ZONE FOR SLAUGHTER

www.mn.gov/bah

Permit Information		
Permit number:	PM:1262:15: MV:4814:15	
Issued:	6/25/2015	
Туре:	Turkey: 31,600 Head, Groups of animals, Meat Birds	
From:	00BWELL We R Turkey Farm (123 COUNTY ROAD 9 Anywhere, MN 54321)	
То:	00TURKY We R Turkey Plant (123 Something AVE SE, Somewhere MN 12345)	
Date of movement:	5/11/2015 1:00 AM	
Email permit to:	turkey@notme.net	

Conditions		
1	Trucks must be thoroughly cleaned and disinfected prior to leaving the slaughter facility.	

Authorized by	Date
Jimmy Dean, DVM	6/25/2015
Board of Animal Health	

625 Robert Street North • St. Paul, MN 55155 • 651-296-2942 • animalhealth@state.mn.us

In accordance with the Americans with Disabilities Act, this information is available in alternative formats of communication upon request by calling 651-296-2942.

TTY users can call the Minnesota Relay Service at 711 or 1-800-627-3529. The Board of Animal Health is an equal opportunity employer and provider.



Permitted Movement Form



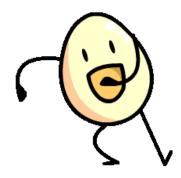
PERMIT HATCHING EGGS TO HATCHERY OR PROCESSING PLANT

Permit Information		
Permit number:	PM: MV	
Date of issuance:	5/13/2015	
From:		
To:		
Date of movement:	5/18/2015 12:00 PM	
Email permit to:		

Negative PCR test results (pooled samples)		
Date	None	

	Conditions	
1	Truck drivers must wear disposable plastic boots or rubber boots that can be disinfected if they get out of the vehicle. Plastic boots may be disposed of on the premises.	
2	Hands and rubber footwear must be thoroughly cleaned and disinfected prior to entering or leaving the premises. Farm personnel is should place hatching egg buggies on the loading dock but not enter the trailer. The hatching egg delivery truck driver should load the eggs from the dock and not enter the farm egg-storage room. The hatching egg delivery truck driver should wear appropriate PPE and the hatchery and the breeder farm. The hatching egg delivery truck driver should not enter the hatchery loading dock and the hatchery personnel should unload the eggs from vehicle trailer.	
3	Transport vehicles must be cleaned and disinfected. Tires must be sprayed with disinfectant before leaving the premises. The exterior of the hatching egg vehicle should be cleaned and disinfected before entering the hatchery.	
4	Hatching eggs must be packed in either new disposable materials or plastic materials that were previously cleaned and disinfected at the hatchery.	
6	Egg-handling materials must be destroyed at the destination plant or cleaned and sanitized. Egg-handling materials can be returned to the premises of origin after at least 24 hours have elapsed since these materials were moved from the farm and without contacting materials going to other premises. New paper or fiber flats must be used for hand-gathered eggs.	
7	Two negative real time PCR tests for avian influenza must be conducted on pooled samples of oropharyngeal swabs from 3 dead birds or 11 dead birds out of every 30 dead birds from each house on the premises. The second test must be on samples taken on the second day of holding or later.	

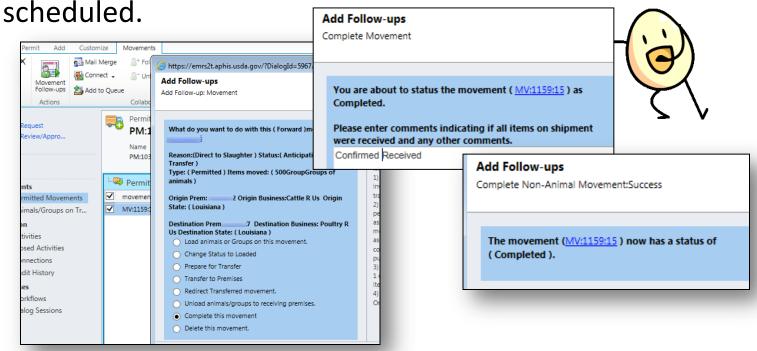






Complete Permitted Movement

➤ Allows for confirming actual movement occurred and animals or product received if needed. A workflow is available to automatically enter movements as complete 24 hours after





Review

- > Introduction to Permitting
- ➤ National Permitting Unit
- > Permitting in EMRS
 - Creating a Permit Request
 - Adding Origin and Destination Premises to EMRS
 - Approving/Rejecting a Permit Request
 - Creating a Permitted Movement
 - Producing a Permitted Movement Form





HPAI 2014-2015



OUTBREAK PERMITTING



Permitting Summary of Outbreak

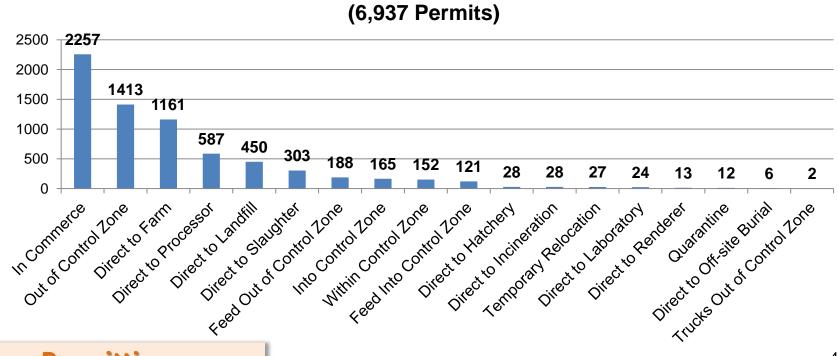
- > During this HPAI outbreak, permits were issued from EMRS for movement into/within/out of Control Areas.
 - Any permit may have one or more independent movements of the same item associated with it, depending on the type and scope of the permit issued. As such, there will be more "movements" in an outbreak than "permits" issued.
 - Each movement has to meet specific requirements.
 - As such, there will be more "movements" in an outbreak than "permits" issued.
 - Both permits and movements are entered into EMRS.
 - Data entered through 9/7/2015.
- ➤ Earliest permit approved 2/14/2015.
- ➤ Most recent permit approved for movement on 9/4/2015.
- *All data is from 9/8/2015, the last published Permitting & Movement Information Report*

Permitting



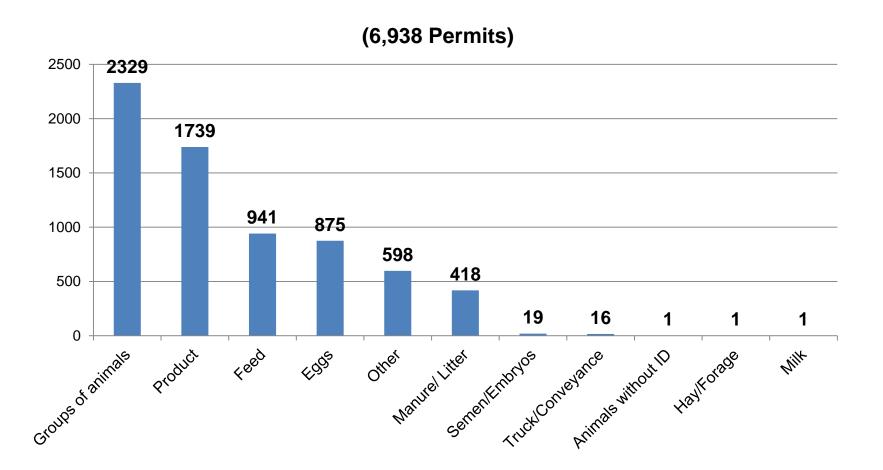
By Reason for Permit

- ➤ About 7,000 permits for movement were authorized, most from Minnesota (~2,740) and Iowa (~3,400).
- Successful National Permitting Unit established for rapid and accurate data entry in Ft. Collins.





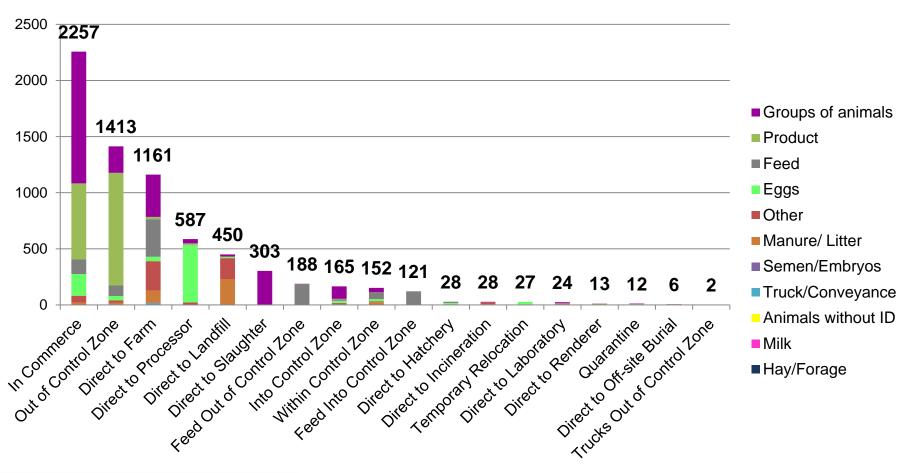
By Item Permitted





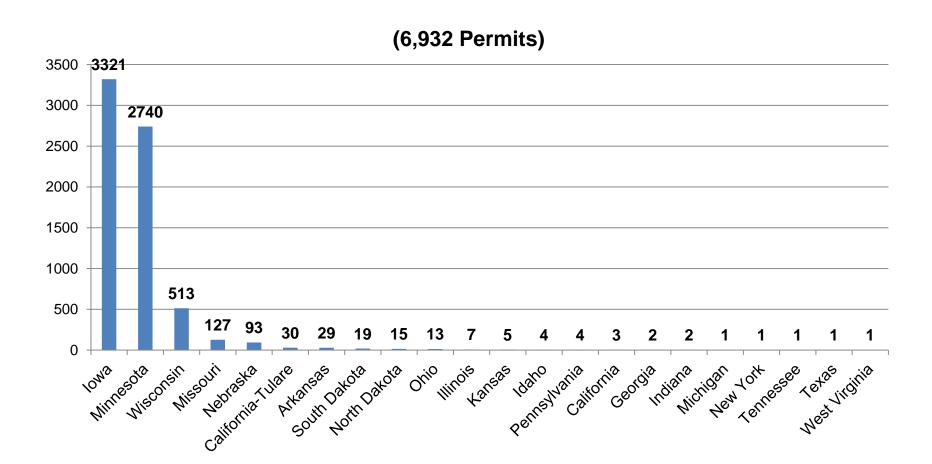
By Reason for Permit and Item Permitted

(6,937 Permits)





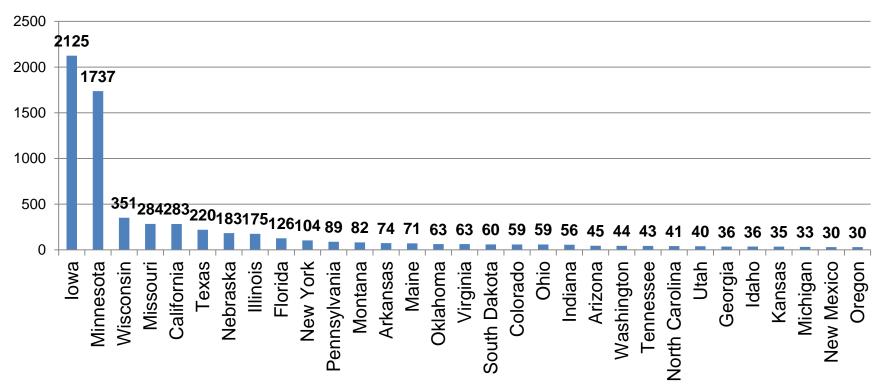
By State of Origin





By State of Destination

(6,938 Permits)



- ♦Only States with 30 permits or more (State of Destination) are illustrated.
- ♦ 49 States & the U.S. Virgin Islands are represented (NJ, NH, LA, MD, MA, SC, AL, CT, NV, WY, AK, ND, KY, V.I., MS, VT, RI, and DE are not illustrated).



HPAI 2014-2015



OUTBREAK MOVEMENTS



Movement Summary of Outbreak

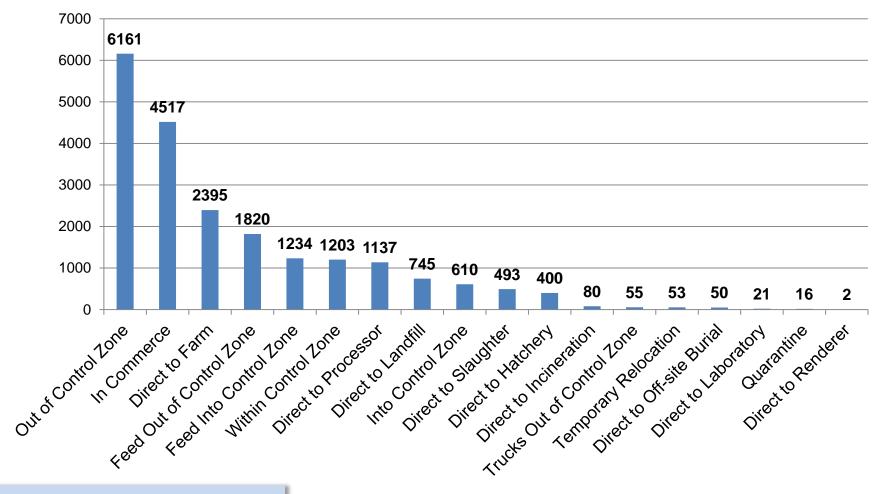
- ➤ Earliest movement on 12/22/2014.
- ➤ Last movement currently entered was anticipating transfer on 9/10/2015.
- > Each movement is included in only one category.
- ➤ However, these categories may not be mutually exclusive.
 - For example, "Out of Control Zone" may also be a product moving "In Commerce."
- ➤ *All data is from 9/8/2015, the last published Permitting & Movement Information Report*

Movements



By Movement Reason

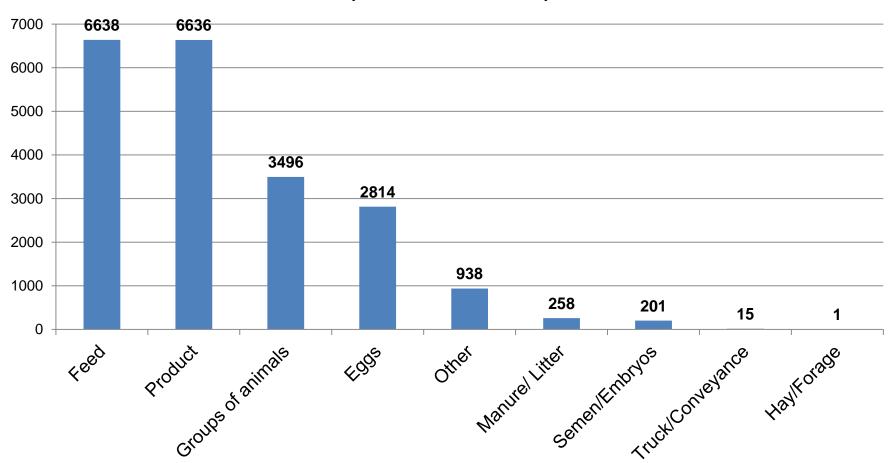
(20,992 Movements)





By Item Moved

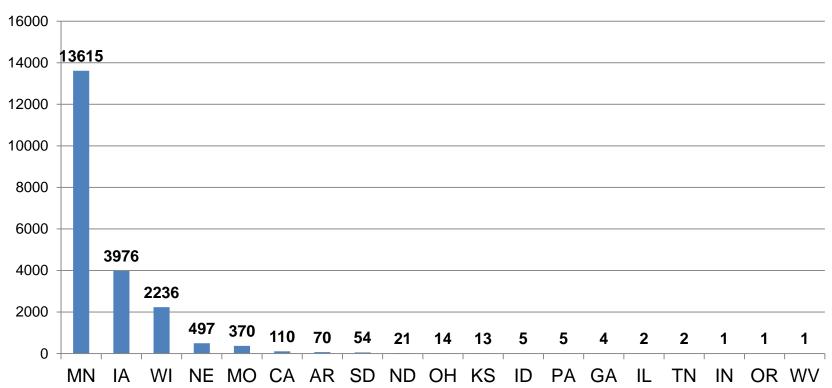
(20,997 Movements)



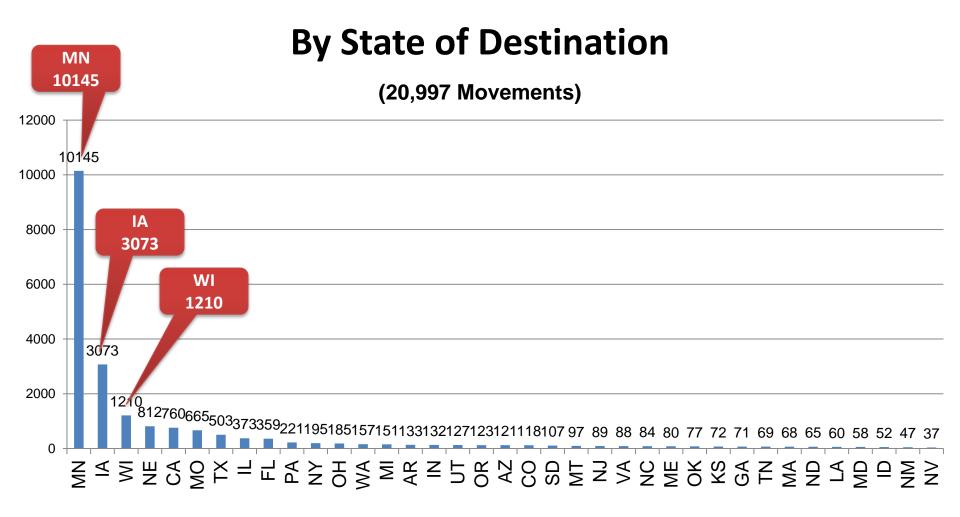


By State of Origin

(20,997 Movements)







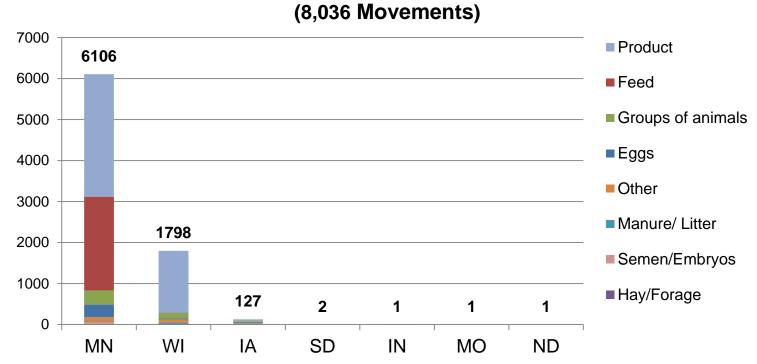
- ♦ Only States with 35 movements or more (State of destination) are illustrated.
- ♦48 States and the U.S. Virgin Islands are represented (CT, NH, SC, WY, AL, KY, AK, MS, V.I., DE, VT, and RI are not illustrated).

Movements



Items Moved Out of Control Areas

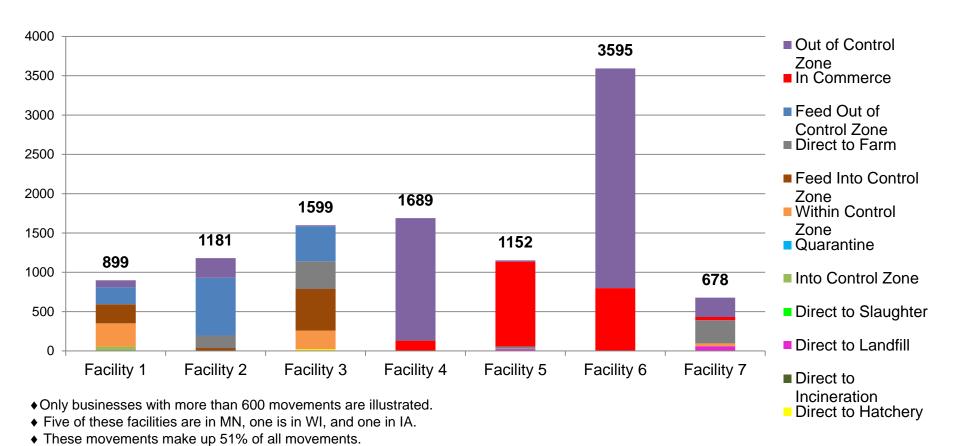
Continuity of business helps to facilitate agriculture and food industries maintain typical business, or return to business during a disease response, while the risk of disease spread and threat to public health is effectively managed.





Facilities with High-Volume of Movements, by Movement Reason per Facility

(10,793 Movements)

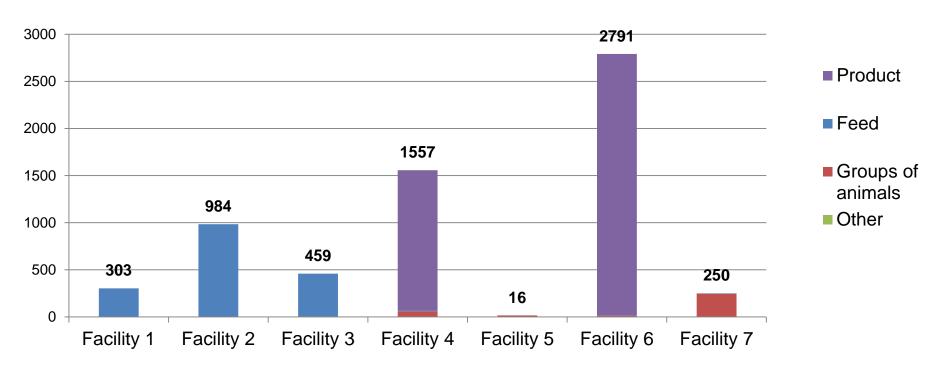


Movements

USDA

Facilities with High-Volume Movements, by Items Moved per Facility Out of Control Area

(6,360 Movements)



- ♦ Only businesses with more than 600 movements are illustrated.
- ♦ Five of these facilities ore in MN, one is in WI, and one in IA.
- ♦ These movements make up approximately 80% of all movements out of Control Areas during the outbreak.

Further Details on Movements

> A large percentage of movements were movements out

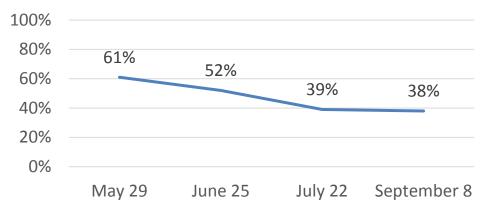
of the Control Area:

- May 29, 2015: **61%**

June 25, 2015: **52%**

- July 22, 2015: **39**%

September 8, 2015: 38%



- > Overall, in terms of total number of movement, seven facilities (five in MN, one in WI, and one in IA) made up
 - 51% of all movements
 - 80% of all movements out of Control Areas during the outbreak.

Movements



Thanks to all who helped control HPAI in the United States.

EMRS slides courtesy of the EMRS Staff.