

**United States Department of Agriculture
Performance Appraisal**

| | | | |
|-----------------------|-------------------|------------|-----------------|
| 1 Social Security No. | 2 Position Number | 3 Pay Plan | 4 Occup. Series |
|-----------------------|-------------------|------------|-----------------|

| | | | | |
|--------------------------------------|-----------------|-------------------------------|---|--|
| 5 Name (Last, First, Middle Initial) | | 6 Grade/Step or Pay Level | 7 Appraisal Period From _____ To _____ | |
| 8 Official Position Title | | 9 Organization Structure Code | | |
| 10 Duty Station | 11 Funding Unit | 12 Agency Use | 13 NFC Use | |

Instructions

Blocks 1 through 10, completed by NFC, should be reviewed and, if necessary, corrected.
 Block 11. Enter funding unit number.
 Block 14. Enter brief description of performance elements.
 Block 15A. Check performance elements identified as critical.

Blocks 15B, 15C., 15D. Rate actual performance by entering 2 for critical elements and 1 for non-critical elements in appropriate column.
 Blocks 15E, 15F, 15G. Enter total of each column.
 Block 15H. Enter total from 15E, 15F and 15G.
 Block 16A. Check off the correct summary rating described in decision table (16B).
 Blocks 17 through 22. Self-explanatory.

| 14 Performance Elements | 15A Critical Element (✓) | 15B Exceeds Fully Successful | 15C Meets Fully Successful | 15D Does Not Meet Fully Successful |
|----------------------------|--------------------------------|---------------------------------|-------------------------------|---------------------------------------|
| 1) | | | | |
| 2) | | | | |
| 3) | | | | |
| 4) | | | | |
| 5) | | | | |
| 6) | | | | |
| 7) | | | | |
| 8) | | | | |
| 9) | | | | |
| 10) | | | | |

16B Decision Table (check off Summary Rating in block 16A)

Rating of Outstanding if 15E equals 15H.
 Rating of Unacceptable ^{1/} if any critical element is rated in 15D.
 Rating of Superior if no element is rated in 15D; 15F is greater than zero; and 15E is greater than 15F.
 Rating of Marginal ^{2/} if 15G is greater than 15E, and no critical element is rated in 15D.
 Rating of Fully Successful if none of the above apply.
^{1/} Unsatisfactory for SES
^{2/} Minimally Satisfactory for SES

| | | |
|-------------|-----------|-------------------|
| 15E Exceeds | 15F Meets | 15G Does Not Meet |
|-------------|-----------|-------------------|

| | |
|--|-----|
| 15H Enter total 15E + 15F + 15G = 15H | 15H |
|--|-----|

16A Summary Rating (See Decision Table in 16B)

- Outstanding
- Superior
- Fully Successful
- Marginal ^{2/}
- Unacceptable ^{1/}

^{1/} Unsatisfactory for SES
^{2/} Minimally Satisfactory for SES

| | | | |
|--|------|---|--|
| 17 Employee (Check off appropriate box) | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| I have a copy of USDA and Agency regulations on employee responsibilities and conduct; I have discussed them with my supervisor and questions have been answered to my satisfaction. | | | |
| 18 Employee's Signature | Date | If employee did not sign, state reason. | |
| (Instructions for resolutions of disputes are on the reverse of employee copy.) | | | |

| | | | |
|---------------------------|------|-------------------------|------|
| 19 Supervisor's Signature | Date | 20 Reviewer's Signature | Date |
|---------------------------|------|-------------------------|------|

| | | | |
|--|------|-----------------|--------------------|
| 21 Approving Official's or Funding Unit Manager's Signature (optional) | Date | 22 FOR SES ONLY | |
| | | PLA to ES _____ | Bonus Amount _____ |